

**WI Securities Law and Franchise Law Filings
for 4/28/2019 through 5/4/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LKCM Funds, LKCM International Equity Fund	Milwaukee, WI	5/1/2019	851746-03	Filed
Professionally Managed Portfolios, Muzinich Low Duration Fund, Institutional Shares	Milwaukee, WI	4/30/2019	851740-03	Filed
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Policy Confluence, Inc.	Middleton, WI	5/3/2019	851799-07	Filed
Two Rivers Hotel Group, LLC	Neenah, WI	4/29/2019	851741-07	Filed
Number of Regulation D Notice Filings: 2				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
R.E. Johnsen LLC / Jan-Pro of Milwaukee	West Allis, WI	5/3/2019	622561	Registered
GN Independents, Inc / Glass Nickel Pizza Co.	Madison, WI	5/1/2019	622528	Registered
PIGGLY WIGGLY MIDWEST, LLC	Sheboygan, WI	4/30/2019	622468	Registered

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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Benvenuto's Franchising Systems LLC / Benvenuto's Italian Grill	Three Lakes, WI	4/29/2019	622449	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Sandwich Shop	EAU CLAIRE, WI	4/28/2019	622389	Registered
Number of Franchise Filings: 5				