

**WI Securities Law and Franchise Law Filings
for 4/14/2019 through 4/20/2019**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Investment Company Filings: 0 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Greenwoods Financial Group Inc | Lake Mills, WI | 4/19/2019 | 851694-07 | Filed |
| Vario American Center, LLC | Madison, WI | 4/19/2019 | 851708-07 | Filed |
| Number of Regulation D Notice Filings: 2 | | | | |

| Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| ADVENTURES IN ADVERTISING FRANCHISE LLC | NEENAH, WI | 4/16/2019 | 622182 | Registered |
| Store-In-A-Store, Inc. / Barber Stop | Onalaska, WI | 4/15/2019 | 622158 | Registered |
| Rococo Franchise Corporation / Rocky Rococo Pizza and Pasta | Oconomowoc, WI | 4/15/2019 | 622143 | Registered |
| Number of Franchise Filings: 3 | | | | |