

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Federated Adviser Series Federated Hermes Global Equity Fund Institutional Shares	Warrendale, PA	4/19/2019	851657-03	Filed
Federated Adviser Series Federated Hermes Global Small Cap Fund Institutional Shares	Warrendale, PA	4/19/2019	851658-03	Filed
OFI Carlyle Private Credit Fund Class N Shares	Centennial, CO	4/19/2019	851659-59	Filed
The Weitz Funds, Balanced Fund, Institutional Class	Omaha, NE	4/19/2019	851671-03	Filed
Advisors Disciplined Trust 1936 (Dividend Ruler Portfolio, Series 2019B)	Monument, CO	4/18/2019	851669-05	Filed
Advisors Disciplined Trust 1937 (60/40 Asset Allocation Portfolio, Series 2019-2Q)	Monument, CO	4/18/2019	851668-05	Filed
Capitol Series Trust Fuller & Thaler Behavioral Small-Cap Equity Fund A Shares	Cincinnati, OH	4/18/2019	851627-03	Filed
Capitol Series Trust Fuller & Thaler Behavioral Small-Cap Equity Fund C Shares	Cincinnati, OH	4/18/2019	851628-03	Filed
Capitol Series Trust Fuller & Thaler Behavioral Small-Cap Growth Fund A Shares	Cincinnati, OH	4/18/2019	851629-03	Filed
Capitol Series Trust Fuller & Thaler Behavioral Small-Cap Growth Fund C Shares	Cincinnati, OH	4/18/2019	851630-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios, Series 1883 (NDR Rising Rate Defensive Equity Portfolio, Series 12)	Chicago, IL	4/18/2019	851666-05	Filed
Guggenheim Defined Portfolios, Series 1883 (Technology Portfolio, Series 25)	Chicago, IL	4/18/2019	851667-05	Filed
Guggenheim Defined Portfolios, Series 1884 (Balanced Income Builder Portfolio, Series 27)	Chicago, IL	4/18/2019	851670-05	Filed
FIDELITY INVESTMENT TRUST Fidelity SAI International SMA Completion Fund Fidelity SAI International SMA Completion Fund	Boston, MA	4/16/2019	851588-03	Filed
FT 7951 (Top Picks April 2019 Portfolio)	Wheaton, IL	4/16/2019	851610-05	Filed
FT 7970 (Emerging Markets Strength Portfolio, Series 44)	Wheaton, IL	4/16/2019	851609-05	Filed
FT 7972 (Sabrient Dividend Portfolio, Series 27)	Wheaton, IL	4/16/2019	851621-05	Filed
FT 7973 (ETF Growth and Income Apr. '19)	Wheaton, IL	4/16/2019	851618-05	Filed
FT 7975 (Select DSIP Portfolio, 2nd Quarter 2019 Series)	Wheaton, IL	4/16/2019	851612-05	Filed
FT 7978 (Dividend Strength Portfolio, Series 44)	Wheaton, IL	4/16/2019	851614-05	Filed
FT 7979 (MLP Closed-End Portfolio, Series 25)	Wheaton, IL	4/16/2019	851617-05	Filed
FT 7980 (Sabrient Baker's Dozen Portfolio, April 2019 Series)	Wheaton, IL	4/16/2019	851616-05	Filed
FT 7988 (CBOE Vest Large Cap Buffered Portfolio Series)	Wheaton, IL	4/16/2019	851613-05	Filed
FT 7989 (Balanced Income Equity and ETF Portfolio, Series 36)	Wheaton, IL	4/16/2019	851620-05	Filed
FT 7990 (Strategic Income Advantage Select, Closed-End Portfolio, Series 82)	Wheaton, IL	4/16/2019	851619-05	Filed
FT 7993 (Dividend Growers and Tax-Advantaged Income Portfolio, Series 18)	Wheaton, IL	4/16/2019	851611-05	Filed
FT 8036 (Balanced Income Select Portfolio, Series 82)	Wheaton, IL	4/16/2019	851615-05	Filed
John Hancock Investment Trust John Hancock International Dynamic Growth Fund Class A	Boston, MA	4/16/2019	851589-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
John Hancock Investment Trust John Hancock International Dynamic Growth Fund Class C	Boston, MA	4/16/2019	851590-03	Filed
John Hancock Investment Trust John Hancock International Dynamic Growth Fund Class I	Boston, MA	4/16/2019	851591-03	Filed
John Hancock Investment Trust John Hancock International Dynamic Growth Fund Class R6	Boston, MA	4/16/2019	851592-03	Filed
Smart Trust 426 (Smart Trust, Enhanced Value II Trust, Series 23)	Parsippany, NJ	4/16/2019	851623-05	Filed
Smart Trust 426 (Smart Trust, Portfolio of Closed-End Fund Opportunities Trust, Series 18)	Parsippany, NJ	4/16/2019	851622-05	Filed
Smart Trust 427 (Smart Trust, Defensive 50 Equities Trust, Series 19)	Parsippany, NJ	4/16/2019	851626-05	Filed
Smart Trust 427 (Smart Trust, Smart Ten Trust, Series 16)	Parsippany, NJ	4/16/2019	851624-05	Filed
Smart Trust 427 (Smart Trust, Zacks GARP Composite 35 Trust, Series 21)	Parsippany, NJ	4/16/2019	851625-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 1882 (US High Dividend Strategy Portfolio, Series 32)	Chicago, IL	4/15/2019	851607-05	Filed
Guggenheim Defined Portfolios, Series 1882 (US SMid High Dividend Portfolio, Series 32)	Chicago, IL	4/15/2019	851608-05	Filed
Invesco Unit Trusts, Municipal Series 1333; Insured Municipals Income Trust, Series 706	Downers Grove, IL	4/15/2019	851600-05	Pending-Cleared
Invesco Unit Trusts, Municipal Series 1334; Quality Municipal Income Trust, 20+ Year Series 204	Downers Grove, IL	4/15/2019	851599-05	Pending-Cleared
Invesco Unit Trusts, Series 1966	Downers Grove, IL	4/15/2019	851596-05	Filed
Invesco Unit Trusts, Series 1969	Downers Grove, IL	4/15/2019	851595-05	Pending-Cleared
Invesco Unit Trusts, Series 1970	Downers Grove, IL	4/15/2019	851594-05	Pending-Cleared
Invesco Unit Trusts, Series 1971	Downers Grove, IL	4/15/2019	851593-05	Pending-Cleared
Invesco Unit Trusts, Taxable Income Series 618; Investment Grade Corporate Trust, 5-8 Year Series 39	Downers Grove, IL	4/15/2019	851598-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Invesco Unit Trusts, Taxable Income Series 622; High Yield Corporate Trust, 4-7 Year Series 20	Downers Grove, IL	4/15/2019	851597-05	Filed
Morgan Stanley Portfolios, Series 30 - Optimized Equity Dividend Strategy, Series 6	Downers Grove, IL	4/15/2019	851601-05	Pending-Cleared
Number of Investment Company Filings: 47				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALM Fund I, a Series of Unpopular VC, LP	Seattle, WA	4/19/2019	851695-07	Filed
Bottonwood Beacon QP Fund LLC Series C-1	New York, NY	4/19/2019	851699-07	Filed
Brown Advisory Private Equity Partners VII (QP), LLLP	Baltimore, MD	4/19/2019	851707-07	Filed
Greenwoods Financial Group Inc	Lake Mills, WI	4/19/2019	851694-07	Filed
GrizzlyRock Value Partners, LP	Chicago, IL	4/19/2019	851711-07	Filed
Gryphon Mezzanine Partners II, L.P.	San Francisco, CA	4/19/2019	851701-07	Filed
Harvest Invest-003 LLC (a series of Harvest Invest LLC)	Fort Worth, TX	4/19/2019	851704-07	Filed
HealthQuest Partners III, L.P.	Belmont, CA	4/19/2019	851706-07	Filed
LL Private Lending Fund, L.P.	Philadelphia, PA	4/19/2019	851691-07	Filed
Morning Consult Holdings, Inc.	Washington, DC	4/19/2019	851702-07	Filed
Movano Inc.	Livermore, CA	4/19/2019	851710-07	Filed
NPC Hims, LLC	Redwood City, CA	4/19/2019	851703-07	Filed
Strategic Storage Growth Trust II, Inc.	Ladera Ranch, CA	4/19/2019	851696-07	Filed
TFG Providence Center REIT, LLC	Boston, MA	4/19/2019	851698-07	Filed
Tommy's Express Holdings, Inc.	Osprey, FL	4/19/2019	851693-07	Filed
TPEG Tree House Investors LLC	Southlake, TX	4/19/2019	851700-07	Filed
US Fund I, a Series of AngelList-Forefront Venture Partners-Funds, LP	Seattle, WA	4/19/2019	851697-07	Filed
Vario American Center, LLC	Madison, WI	4/19/2019	851708-07	Filed
We-Innventure, LLC	Chicago, IL	4/19/2019	851705-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Western Wealth Capital XLI US Investor, LP	North Vancouver, BC	4/19/2019	851692-07	Filed
Whitehorse Liquidity Partners III LP	Toronto, ON	4/19/2019	851709-07	Filed
Number of Regulation D Notice Filings: 21				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SVN International Corp. / SVN	Boston, MA	4/20/2019	622246	Registered
Pistor and Associates, Inc. / Miracle Method	Colorado Springs, CO	4/20/2019	622245	Registered
Fas-Tes Franchise Systems, LLC / Fastest Labs	San Antonio, TX	4/20/2019	622244	Registered
InXpress, LLC / InXpress	South Jordan, UT	4/20/2019	622243	Registered
BWI Licensing, Inc. / "Best Western®," "Best Western Plus®," "Best Western Premier®," "Executive Residency by Best Western®," "Vib® by Best Western," and "GLO® by Best Western"	Phoenix, AZ	4/19/2019	622242	Registered
SureStay, Inc. / "SureStay Hotel by Best Western®," "SureStay Plus Hotel by Best Western®", or "SureStay Collection Hotel by Best Western®"	Phoenix, AZ	4/19/2019	622241	Registered
MOD Super Fast Pizza Franchising, LLC / MOD Pizza	Bellevue, WA	4/19/2019	622240	Registered
Dog Haus Worldwide, LLC / Dog Haus	Pasadena, CA	4/19/2019	622239	Registered
We Rock the Spectrum, LLC / We Rock the Spectrum Kid's Gym for All Kids	Tarzana, CA	4/19/2019	622238	Registered
EPCON COMMUNITIES FRANCHISING INC / Epcon Communities	DUBLIN, OH	4/19/2019	622237	Registered
1800Packouts Franchise, LLC / 1-800-Packouts	Jasper, GA	4/19/2019	622233	Registered
Image One Facility Solutions, Inc. / IMAGE ONE FACILITY SOLUTIONS	Rolling Meadows, IL	4/19/2019	622235	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	4/19/2019	622234	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
College Nannies & Tutors Development, Inc. / College Nannies, Sitters & Tutors	Minneapolis, MN	4/19/2019	622231	Registered
RSP Franchise, LLC / Red's Savoy Pizza	Eden Prairie, MN	4/19/2019	622230	Registered
Tiger-Rock MAI Systems, Inc. / Tiger-Rock Martial Arts	Olathe, KS	4/19/2019	622229	Registered
Capriotti's Sandwich Shop, Inc. / Capriotti's Sandwich Shop and Capriotti's	Las Vegas, NV	4/19/2019	622228	Registered
Mongolian Management and Investment Company, L.L.C. / bd's Mongolian Grill®	Irving, TX	4/19/2019	622227	Registered
Good Feet Worldwide, LLC / GOOD FEET®	CARLSBAD, CA	4/19/2019	622226	Registered
Gimex Properties Corp., Inc. / Tuffy Auto Service Centers/Tuffy Tire & Auto Serv	Toledo, OH	4/19/2019	622225	Registered
SPICE & TEA EXCHANGE FRANCHISING LLC / The Spice & Tea Exchange	Palm Harbor, FL	4/19/2019	622224	Registered
CareBuilders At Home, LLC / ARA Program	Lake Success, NY	4/19/2019	622223	Registered
The Bar Method Franchising Inc. / The Bar Method	Culver City, CA	4/19/2019	622221	Registered
FocalPoint Coaching, Inc. / FocalPoint unit franchise business	Henderson, NV	4/19/2019	622222	Registered
FocalPoint Coaching, Inc. / FocalPoint Area Representative Franchise Business	Henderson, NV	4/19/2019	622220	Registered
Security Franchisors, LLC / Security 101	West Palm Beach, FL	4/19/2019	622219	Registered
Bark Busters North America, LLC / Bark Busters	Danville, CA	4/19/2019	622218	Registered
Living Assistance Services, Inc. / Visiting Angels	Bryn Mawr, PA	4/19/2019	622214	Registered
Kline Franchising Inc / Burn Boot Camp	Huntersville, NC	4/19/2019	622215	Registered
WaterWalk Franchise Services LLC / WaterWalk	Wichita, KS	4/19/2019	622213	Registered
PALM BEACH TAN FRANCHISING, INC / Palm Beach Tan	Coppell, TX	4/19/2019	622212	Registered
F45 Training Incorporated / F45 Training	El Segundo, CA	4/19/2019	622211	Registered
Cookie Advantage, Inc. / Cookie Advantage	Bixby, OK	4/18/2019	622210	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Neurosculpting Institute Franchising, LLC / The Neurosculpting Institute	Denver, CO	4/18/2019	622209	Registered
Executive Home Care Franchising LLC / Executive Care Your Home Care Company	Hackensack, NJ	4/18/2019	622208	Registered
GDK USA, Inc. / Doner Kebab Outlet	Concord, MA	4/18/2019	622207	Registered
DHH Group, Inc. / My Elder	Great Neck, NY	4/18/2019	622206	Registered
RX2LIVE, Inc. / RX2LIVE (Unit)	Highland, UT	4/18/2019	622205	Registered
THM Management, LLC / TheHomeMag	Cape Coral, FL	4/18/2019	622204	Registered
HandyPro International, LLC / HandyPro	Farmington, MI	4/18/2019	622203	Registered
Nothing Bundt Franchising, LLC / Nothing Bundt Cakes	Addison, TX	4/18/2019	622202	Registered
Medi-Weightloss Franchising USA, LLC / Medi-Weightloss Business	Tampa, FL	4/18/2019	622201	Registered
CoreLife Eatery Franchisor, LLC / CoreLife Eatery	Binghamton, NY	4/18/2019	622200	Registered
Sweet Charlie's Franchising, LLC / Sweet Charlie's	Philadelphia, PA	4/18/2019	622199	Registered
MAIDPRO FRANCHISE CORPORATION / MAIDPRO	Boston, MA	4/18/2019	622198	Registered
Supporting Strategies Partners, LLC / Supporting Strategies	Beverly, MA	4/18/2019	622197	Registered
Club Z!, Inc. / Club Z!	Tampa, FL	4/18/2019	622196	Registered
7-Eleven, Inc. / 7-Eleven Micro Market	Irving, NY	4/18/2019	622195	Registered
Sarillian Management, LLC / Golden Heart Senior Care	Frisco, TX	4/17/2019	622194	Registered
CHECKERS DRIVE IN RESTAURANTS INC / Checkers Restaurants	TAMPA, FL	4/17/2019	622193	Registered
PuroSystems, LLC / PuroClean	Tamarac, FL	4/17/2019	622192	Registered
ICENY USA LLC / I-CE-NY	Silver Spring, MD	4/17/2019	622191	Registered
9Round Franchising, LLC / 9Round	Simpsonville, SC	4/17/2019	622190	Registered
Lil' Kickers Inc. / Lil' Kickers	Redmond, WA	4/17/2019	622189	Registered
Clothes Mentor, LLC / Clothes Mentor	Minnetonka, MN	4/17/2019	622188	Registered
Tropical Smoothie Cafe, LLC / Tropical Smoothie Cafe	Atlanta, GA	4/17/2019	622185	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BLUE MOON ESTATE SALES USA LLC / BLUE MOON ESTATE SALES	Fuquay-Varina, NC	4/17/2019	622184	Registered
AdvantaClean Systems, LLC / AdvantaClean	Huntersville, NC	4/16/2019	622183	Registered
ADVENTURES IN ADVERTISING FRANCHISE LLC	NEENAH, WI	4/16/2019	622182	Registered
SHH Group, LLC / Sculpture Hospitality	Toronto, ON	4/16/2019	622179	Registered
Pieology Franchise, LLC / Pieology	Tustin, CA	4/16/2019	622178	Registered
AlphaGraphics, Inc. / AlphaGraphics Business Center	Lakewood, CO	4/16/2019	622176	Registered
No Frill Franchising, Inc. / Instant Imprints - Unit	San Diego, CA	4/16/2019	622174	Registered
Uptown Cheapskate, LLC / Uptown Cheapskate	North Salt Lake, UT	4/16/2019	622173	Registered
Pool Scouts Franchising, LLC	Virginia Beach, VA	4/16/2019	622172	Registered
COAST TO COAST ENGINEERING SERVICES INC / Criterium Engineers	Freeport, ME	4/16/2019	622170	Registered
Doughnuttery Franchise, LLC / Doughnuttery	New York, NY	4/16/2019	622169	Registered
MW EQUITY, INC. / MyWay Mobile Storage	Grand Rapids, MI	4/16/2019	622168	Registered
MINT CONDITION FRANCHISING, INC. / Mint Condition	FORT MILL, SC	4/16/2019	622166	Registered
SBS Franchising, LLC / Stratus Building Solutions	North Hollywood, CA	4/16/2019	622165	Registered
NTY Clothing Exchange, LLC / NTY Clothing Exchange	Minnetonka, MN	4/16/2019	622164	Registered
Kid to Kid Franchise System, Inc. / Joslin Wright	North Salt Lake, UT	4/15/2019	622163	Registered
Buffalo Wild Wings International, Inc. / Buffalo Wild Wings®	Atlanta, GA	4/15/2019	622162	Registered
Profile Franchising, LLC / Profile Sanford	Sioux Falls, SD	4/15/2019	622161	Registered
Store-In-A-Store, Inc. / Barber Stop	Onalaska, WI	4/15/2019	622158	Registered
Drybar Franchising LLC / Drybar	Irvine, CA	4/15/2019	622157	Registered
No Frill Franchising, Inc. / Instant Imprints (AREA Program)	San Diego, CA	4/15/2019	622156	Registered
Ziggi's Coffee Franchise, LLC / ZIGGI'S Coffee	Longmont, CO	4/15/2019	622154	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/14/2019 through 4/20/2019**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Cellairis Franchise, Inc. / Cellairis	Alpharetta, GA	4/15/2019	622155	Registered
The Closet Factory Franchise Corporation / Closet Factory	Los Angeles, CA	4/15/2019	622152	Registered
Wine and Design Franchise LLC / Wine and Design	Raleigh, NC	4/15/2019	622149	Registered
Choice Hotels International, Inc. / WoodSpring Suites	Rockville, MD	4/15/2019	622148	Registered
DUCTZ International, LLC / DUCTZ	Ann Arbor, MI	4/15/2019	622147	Registered
FUSA, INC. / FIX AUTO	San Diego, CA	4/15/2019	622146	Registered
McDonald's USA, LLC / McDonald's	Chicago, IL	4/15/2019	622145	Registered
Burrito Holdings Franchise Services, Inc. / Uberrito	Houston, TX	4/15/2019	622144	Registered
Rococo Franchise Corporation / Rocky Rococo Pizza and Pasta	Oconomowoc, WI	4/15/2019	622143	Registered
GOLDEN CORRAL FRANCHISING SYSTEMS INC / Golden Corral	RALEIGH, NC	4/15/2019	622142	Registered
Best Brains, Inc. / Best Brains	Arlington Heights, IL	4/15/2019	622138	Registered
BPC Franchising, LLC / Buzz Pop Cocktails	Palm Harbor, FL	4/15/2019	622137	Registered
Number of Franchise Filings: 90				