

**WI Securities Law and Franchise Law Filings  
for 3/31/2019 through 4/6/2019**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Stone Ridge Trust, Elements Emerging Markets Portfolio, Class Y	MILWAUKEE, WI	4/2/2019	851457-03	Filed
Stone Ridge Trust, Elements International Portfolio, Class Y	MILWAUKEE, WI	4/2/2019	851456-03	Filed
Stone Ridge Trust, Elements International Small Cap Portfolio, Class Y	MILWAUKEE, WI	4/2/2019	851458-03	Filed
Stone Ridge Trust, Elements U.S. Portfolio, Class Y	MILWAUKEE, WI	4/2/2019	851459-03	Filed
Stone Ridge Trust, Elements U.S. Small Cap Portfolio, Class Y	MILWAUKEE, WI	4/2/2019	851455-03	Filed
Advisors Series Trust Aasgard Dividend Growth Small & Mid-Cap Fund No Load Class	MILWAUKEE, WI	4/1/2019	851444-03	Filed
<b>Number of Investment Company Filings: 6</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Bergamot, LLC	Mount Horeb, WI	4/4/2019	851494-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				

**WI Securities Law and Franchise Law Filings  
for 3/31/2019 through 4/6/2019**

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Men's Hair House Holdings, Inc. / Men's Hair House	Menasha, WI	4/6/2019	622047	Registered
Chefs For Seniors Franchising, LLC / Chefs For Seniors	Sun Prairie, WI	4/4/2019	622012	Registered
Board and Brush Creative Studio Franchising LLC / Board and Brush Creative Studio	Hartland, WI	4/3/2019	621961	Registered
<b>Number of Franchise Filings: 3</b>				