

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/17/2019 through 3/23/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7954 (FT Equity Allocation ETF Model Portfolio, 2Q '19)	Wheaton, IL	3/22/2019	851425-05	Pending-Cleared
FT 7955 (FT High Income Model Portfolio, 2Q '19)	Wheaton, IL	3/22/2019	851422-05	Filed
FT 7957 (Stonebridge Preferred Income Portfolio, Series 22)	Wheaton, IL	3/22/2019	851419-05	Filed
FT 7960 (Senior Loan & Limited Duration Closed-End Portfolio, Series 87)	Wheaton, IL	3/22/2019	851424-05	Filed
FT 7963 (Alternative Income Portfolio, Seires 33)	Wheaton, IL	3/22/2019	851417-05	Filed
FT 7964 (Strategic Income Advantage Opportunity, Closed-End Portfolio, Series 13)	Wheaton, IL	3/22/2019	851423-05	Filed
FT 7982 (Richard Bernstein Advisors Quality Income Portfolio, Series 33)	Wheaton, IL	3/22/2019	851420-05	Filed
FT 7986 (Corporate Investment Grade Portfolio, 3-7 Year, Series 8)	Wheaton, IL	3/22/2019	851418-05	Filed
FT 7998 (Dividend & Income Select Closed-End Portfolio, Series 74)	Wheaton, IL	3/22/2019	851426-05	Filed
FT 7999 (Interest Rate Hedge Portfolio, Series 123)	Wheaton, IL	3/22/2019	851421-05	Filed
Fundrise Growth eREIT 2019, LLC	Washington, DC	3/22/2019	851443-25	Filed
Fundrise Income eREIT 2019, LLC	Washington, DC	3/22/2019	851442-25	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Smart Trust 422 (Smart Trust, High 20 Dividend Strategy Trust, Series 22)	Parsippany, NJ	3/22/2019	851427-05	Filed
Smart Trust 422 (Smart Trust, Tax Free Income Trust, Series 31)	Parsippany, NJ	3/22/2019	851428-05	Filed
Advisors Disciplined Trust 1932 (Q-GARP Portfolio, Series 2019-1Q)	Monument, CO	3/21/2019	851416-05	Filed
Invesco Unit Trusts, Series 1967, Balanced Dividend Sustainability & Income Portfolio 2019-2	Downers Grove, IL	3/21/2019	851414-05	Pending-Cleared
Invesco Unit Trusts, Series 1968; Select 10 Industrial Portfolio 2019-3	Downers Grove, IL	3/21/2019	851415-05	Pending-Cleared
Invesco Unit Trusts, Taxable Income Series 617; Investment Grae Corporate Trust, 5-8 Year Series 38	Downers Grove, IL	3/21/2019	851413-05	Filed
Cresset Private Markets Opportunity Fund	Chicago, IL	3/19/2019	850394-03	Pending-Cleared
FT 7920 (Global Deep Value Dividend Portfolio, Series 22)	Wheaton, IL	3/18/2019	850384-05	Filed
FT 7922 (International High Dividend Equity Portfolio, Series 36)	Wheaton, IL	3/18/2019	850389-05	Filed
FT 7923 (Sabrient Baker's Dozen Portfolio, March 2019 Series)	Wheaton, IL	3/18/2019	850387-05	Filed
FT 7925 (MLP Closed-End Fund and Energy Portfolio, Series 63)	Wheaton, IL	3/18/2019	850383-05	Filed
FT 7939 (Dow Jones Global Titans Portfolio, Series 27)	Wheaton, IL	3/18/2019	850388-05	Filed
FT 7941 (AI, Robotics & Technology Opportunity Portfolio, Series 7)	Wheaton, IL	3/18/2019	850381-05	Filed
FT 7942 (E-Commerce Opportunity Portfolio, Series 7)	Wheaton, IL	3/18/2019	850392-05	Filed
FT 7952 (Nasdaq Dividend Achievers 25 Portfolio, Series 15)	Wheaton, IL	3/18/2019	850391-05	Filed
FT 7953 (Balanced Income Select Portfolio, Series 81)	Wheaton, IL	3/18/2019	850382-05	Filed
Guggenheim Defined Portfolios, Series 1873 (NDR Precious Metals & Miners Portfolio, Series 16)	Chicago, IL	3/18/2019	850385-05	Filed
Guggenheim Defined Portfolios, Series 1874 (Diversified Dividend & Income Portfolio, Series 30)	Chicago, IL	3/18/2019	850386-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Northern Lights Fund Trust II North Star Opportunity Fund Class A	Omaha, NE	3/18/2019	850380-03	Filed
Smart Trust 421 (Smart Trust, Preferred Plus Trust, Series 9)	Parsippany, NJ	3/18/2019	850393-05	Filed
The First Trust Combined Series 588 (Tax Exempt Municipal Income Trust, Series 306)	Wheaton, IL	3/18/2019	850390-05	Filed
Number of Investment Company Filings: 33				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Arthur Ventures 2017 Opportunity Fund, LP	Fargo, ND	3/21/2019	851448-07	Filed
Empyrean Capital Overseas Fund, Ltd.	Grand Cayman,	3/21/2019	851452-07	Filed
Hancock Park Corporate Income, Inc.	Chicago, IL	3/21/2019	851447-07	Filed
Minnesota Medical University, LLC	Gaylord, MN	3/21/2019	851450-07	Filed
Neuvokas Acceleration Fund, LLC	Calumet, MI	3/21/2019	851451-07	Filed
Newton MA Investor LP	New York, NY	3/21/2019	851449-07	Filed
OCA OHA Credit Fund Segregated Portfolio	Camana Bay,	3/21/2019	851445-07	Filed
Sealy Industrial Partners, LP	Shreveport, LA	3/21/2019	851446-07	Filed
Sundance Debt Partners, LLC / DE	Salt Lake City, UT	3/21/2019	851453-07	Filed
Number of Regulation D Notice Filings: 9				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Chic Chateau Inc.	Basking Ridge, NJ	3/22/2019	621651	Registered
Pet Butler, LLC / Pet Butler®	Plainfield, IL	3/22/2019	621650	Registered
Apex Fun Run, LLC / Apex Fun Run	Phoenix, AZ	3/22/2019	621649	Registered
SPRING GREEN LAWN CARE CORP / Spring-Green	PLAINFIELD, IL	3/22/2019	621648	Registered

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BlueFrog Plumbing and Drain, LLC / BlueFrog Plumbing+Drain	Waco, TX	3/22/2019	621647	Registered
Camp Bow Wow Franchising, Inc. / Camp Bow Wow	Westminster, CO	3/22/2019	621646	Registered
PANERA, LLC / PANERA BREAD BAKERY-CAFE	St. Louis, MO	3/22/2019	621645	Registered
PIRTEK USA LLC / Pirtek	ROCKLEDGE, FL	3/22/2019	621644	Registered
Budget Blinds, LLC / Budget Blinds	Irvine, CA	3/22/2019	621643	Registered
American Decorative Coatings, LLC / Concrete Craft	Irvine, CA	3/22/2019	621642	Registered
Tailored Living, LLC / Tailored Living	Irvine, CA	3/22/2019	621641	Registered
Pro One Janitorial, Inc. / Pro One Janitorial	Green Bay, WI	3/22/2019	621639	Registered
National Internet Corporation / "WSI ICE", "WSI IM" "WSI and Globe Design", "WSI", WSI Internet Marketing", "WSI Internet Consulting & Education" and "National Internet Corporation"	Lewes, DE	3/22/2019	621640	Registered
Bonchon Franchise LLC / Bonchon Business and Restaurant	New York, NY	3/22/2019	621638	Registered
HomeTeam Inspection Service, Inc. / HomeTeam Inspection Service	Milford, OH	3/22/2019	621637	Registered
BRIDGESTONE BANDAG, LLC / BANDAG	Nashville, TN	3/22/2019	621636	Registered
NewPoint Franchisor, LLC / Homes & Land; Estates & Homes	Bee Cave, TX	3/22/2019	621635	Registered
EMERGING VISION, INC. / STERLING OPTICAL	New York, NY	3/21/2019	621634	Registered
KFC US, LLC / KFC (NON-TRADITIONAL)	Louisville, KY	3/21/2019	621632	Registered
KFC US, LLC / KFC (Traditional)	Louisville, KY	3/21/2019	621630	Registered
MORAN INDUSTRIES, INC. / Mr. Transmission/Milex Complete Auto Care	MIDLOTHIAN, IL	3/21/2019	621628	Registered
My Place Hotels of America, LLC / My Place	Aberdeen, SD	3/21/2019	621627	Registered
Drama Kids International, Inc. / Drama Kids	Fort Myers, FL	3/21/2019	621626	Registered
American Dairy Queen Corporation / DQ® Treat franchise	Bloomington, MN	3/21/2019	621625	Registered

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American Dairy Queen Corporation / DQ Grill & Chill® franchise	Bloomington, MN	3/21/2019	621624	Registered
American Poolplayers Association, Inc. / American Poolplayers Association	Lake Saint Louis, MO	3/21/2019	621623	Registered
The Little Gym International, Inc. / The Little Gym	Scottsdale, AZ	3/21/2019	621622	Registered
PostNet International Franchise Corporation / PostNet	Lakewood, CO	3/21/2019	621621	Registered
P&B Franchise, LLC / Primp and Blow	Scottsdale, AZ	3/21/2019	621619	Registered
First Choice Business Brokers, Inc. / First Choice Business Brokers	Las Vegas, NV	3/21/2019	621618	Registered
EXPRESS SERVICES INC / Express Employment Professionals	OKLAHOMA CITY, OK	3/20/2019	621617	Registered
Certified Restoration DryCleaning Network LLC / CRDN or Certified Restoration DryCleaning Network	Berkley, MI	3/20/2019	621616	Registered
TeamLogic, Inc. / TeamLogicIT	Mission Viejo, CA	3/20/2019	621615	Registered
HEALTHSOURCE CHIROPRACTIC, INC / HealthSource Chiropractic Single-Unit Clinics	Avon, OH	3/20/2019	621613	Registered
Structural Elements Franchising, LLC / Structural Elements (Micro-Franchise)	Hagerstown, MD	3/20/2019	621612	Registered
Border Magic Franchising, LLC / Border Magic	Waco, TX	3/20/2019	621611	Registered
CareBuilders At Home, LLC / CareBuilders At Home	Lake Success, NY	3/20/2019	621609	Registered
Hands-On Diagnostics Franchising, LLC / Hands-On Diagnostic Center	Westbury, NY	3/19/2019	621603	Registered
AmSpirit Franchise Corp / AmSpirit Business Connections	Columbus, OH	3/19/2019	621607	Registered
Griswold International, LLC / Griswold Home Care (Full Employment Model)	Plymouth Meeting, PA	3/19/2019	621606	Registered
LG AS Franchisor LLC / Affordable Suites of America	Matthews, NC	3/19/2019	621605	Registered
STARCYLE FRANCHISE, LLC / STARCYLE	Lake Oswego, OR	3/19/2019	621604	Registered
ABCSP, LLC / Always Best Care Senior Services	Roseville, CA	3/18/2019	621601	Registered

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Zoom Franchise Company LLC / Zoom Sewer and Drain Cleaning	Norristown, PA	3/18/2019	621600	Registered
Big Frog Custom T-Shirts, Inc. / Big Frog	Dunedin, FL	3/18/2019	621599	Registered
Winmark Corporation / Style Encore	Minneapolis, MN	3/18/2019	621598	Registered
Winmark Corporation / Plato's Closet	Minneapolis, MN	3/18/2019	621597	Registered
Winmark Corporation / Music Go Round	Minneapolis, MN	3/18/2019	621596	Registered
WINMARK CORP / Once Upon A Child Franchise Program	MINNEAPOLIS, MN	3/18/2019	621595	Registered
Winmark Corporation / Play It Again Sports	Minneapolis, MN	3/18/2019	621594	Registered
FLOORS TO GO LLC / Floors To Go	BONITA SPRINGS, FL	3/18/2019	621592	Registered
ABBEY CARPET CO., INC. / Abbey Carpet & Floor Showrooms	BONITA SPRINGS, FL	3/18/2019	621591	Registered
LIME Franchise Systems, LLC / LIME Painting	Greenwood Village, CO	3/17/2019	621589	Registered
Dryer Vent Wizard International LLC / Dryer Vent Wizard	Farmington Hills, MI	3/17/2019	621588	Registered
Number of Franchise Filings: 54				