

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/10/2019 through 3/16/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Triton Pacific Investment Corporation, Inc.	Los Angeles, CA	3/13/2019	850375-29	Registered
Mountain View Community Church of Murrieta / Temecula Valley d/b/a The View Church	Menifee, CA	3/11/2019	850360-02	Registered
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RBC Funds Trust Access Capital Community Investment Fund Class IS	Minneapolis, MN	3/15/2019	850379-03	Filed
MainStay Funds Trust MainStay Epoch Global Equity Yield Fund Class R6	New York, NY	3/14/2019	850364-03	Filed
MainStay Funds Trust MainStay Epoch U.S. All Cap Fund Class R6	New York, NY	3/14/2019	850369-03	Filed
MainStay Funds Trust MainStay MacKay Emerging Markets Equity Fund Class R6	New York, NY	3/14/2019	850368-03	Filed
Mutual Fund Series Trust Catalyst/MAP Global Balanced Fund Class I	Omaha, NE	3/14/2019	850362-03	Filed
The MainStay Funds MainStay Income Builder Fund Class R6	New York, NY	3/14/2019	850363-03	Filed
The MainStay Funds MainStay Large Cap Growth Fund Class R6	New York, NY	3/14/2019	850367-03	Filed
The MainStay Funds MainStay MacKay High Yield Corporate Bond Fund Class R6	New York, NY	3/14/2019	850366-03	Filed
The MainStay Funds MainStay MacKay Unconstrained Bond Fund Class R6	New York, NY	3/14/2019	850365-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Wells Fargo Funds Trust Wells Fargo Treasury Plus Money Market Fund Select Class	San Francisco, CA	3/14/2019	850361-03	Filed
Fundrise Real Estate Investment Trust, LLC	Washington, DC	3/13/2019	850378-25	Filed
FT 7910 (Content Leaders Opportunity Portfolio, Series 11)	Wheaton, IL	3/12/2019	850349-05	Filed
FT 7912 (Tactical Alpha Portfolio, Series 20)	Wheaton, IL	3/12/2019	850358-05	Filed
FT 7913 (Strategic Income Select Closed-End Portfolio, Series 38)	Wheaton, IL	3/12/2019	850350-05	Filed
FT 7914 (Low Volatility Portfolio, Series 15)	Wheaton, IL	3/12/2019	850343-05	Filed
FT 7915 (Preferred Income Portfolio, Series 98)	Wheaton, IL	3/12/2019	850355-05	Filed
FT 7916 (Aerospace & Defense Portfolio, Series 24)	Wheaton, IL	3/12/2019	850348-05	Filed
FT 7917 (Innovative Technology Portfolio, Series 22)	Wheaton, IL	3/12/2019	850346-05	Filed
FT 7918 (Mega-Cap Portfolio, Series 52)	Wheaton, IL	3/12/2019	850344-05	Filed
FT 7919 (Tax-Advantaged Municipal Closed-End Portfolio, Series 56)	Wheaton, IL	3/12/2019	850356-05	Filed
FT 7931 (Capital Strength Buy-Write Portfolio, Series 44)	Wheaton, IL	3/12/2019	850347-05	Filed
FT 7932 (S&P Dividend Aristocrats Buy-Write Portfolio, Series 5)	Wheaton, IL	3/12/2019	850345-05	Filed
FT 7933 (Richard Bernstein Advisors Global Dividend Kings, Series 30)	Wheaton, IL	3/12/2019	850357-05	Filed
FT 7934 (Corporate Investment Grade Laddered Portfolio, Series 8)	Wheaton, IL	3/12/2019	850352-05	Filed
FT 7936 (Equity Closed-End Portfolio, Series 52)	Wheaton, IL	3/12/2019	850351-05	Filed
FT 7937 (Financials Opportunity Portfolio, Series 5)	Wheaton, IL	3/12/2019	850342-05	Filed
FT 7938 (Tactical Income Portfolio, Series 56)	Wheaton, IL	3/12/2019	850354-05	Filed
FT 7940 (Sabrient Small Cap Growth Portfolio, Series 22)	Wheaton, IL	3/12/2019	850353-05	Withdrawn
FT 7940 (Sabrient Small Cap Growth Portfolio, Series 22)	Wheaton, IL	3/12/2019	850359-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7976 (Core Three Closed-End Allocation Portfolio, Series 37)	Wheaton, IL	3/12/2019	850341-05	Filed
Guggenheim Defined Portfolios, Series 1871 (BDC Scorecard Portfolio, Series 15)	Chicago, IL	3/12/2019	850374-05	Filed
Guggenheim Defined Portfolios, Series 1872 (Dow Jones Value Dividend Focus Portfolio, Series 36)	Chicago, IL	3/12/2019	850372-05	Filed
Guggenheim Defined Portfolios, Series 1872 (Global 100 Dividend Strategy Portfolio, Series 18)	Chicago, IL	3/12/2019	850371-05	Filed
Guggenheim Defined Portfolios, Series 1872 (Technological Innovation Portfolio, Series 13)	Chicago, IL	3/12/2019	850373-05	Filed
Number of Investment Company Filings: 34				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Cen Biotech, Inc.	Windsor, ON	3/15/2019	851395-07	Filed
Fifth Wall Ventures Retail Fund, L.P.	Venice, CA	3/15/2019	851398-07	Filed
Forgepoint Cybersecurity Fund II, L.P.	San Mateo, CA	3/15/2019	851400-07	Filed
Genlith Inc.	Wayne, PA	3/15/2019	851407-07	Filed
Healthcare Portfolio VI DST	Oak Brook, IL	3/15/2019	851403-07	Filed
Helus, Inc.	Chicago, IL	3/15/2019	851408-07	Filed
Innovation X Holdings QP, LLC - Series 2019-1	New York, NY	3/15/2019	851404-07	Filed
IRC/RCX Real Property Schaumburg DST, A Delaware Statutory Trust	New York, NY	3/15/2019	851399-07	Filed
Lovell Minnick Equity Partners V LP	Chesterbrook, PA	3/15/2019	851405-07	Filed
Neuvokas Corp	Calumet, MI	3/15/2019	851397-07	Filed
OCA CMTG LLC	New York, NY	3/15/2019	851406-07	Filed
Orchards at Market Plaza, LLC	Overland Park, KS	3/15/2019	851409-07	Filed
PWM Euclid, LLC	Plano, TX	3/15/2019	851402-07	Filed
RAF 5 LLC	Hghlnds Ranch, CO	3/15/2019	851401-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SC/CA 4th Street Lofts, LLC	Evanston, IL	3/15/2019	851394-07	Filed
Scuderi-Holyoke	West Springfield, MA	3/15/2019	851396-07	Filed
American Pacific Mining Corp	Vancouver, BC	3/14/2019	850377-07	Filed
Imandra Inc.	Austin, TX	3/13/2019	850376-07	Filed
Number of Regulation D Notice Filings: 18				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Schmizza International, Inc. / Pizza Schmizza	Salem, OR	3/15/2019	621587	Registered
Figaro's Italian Pizza, Inc. / Figaro's and Nick-N-Willy's	Salem, OR	3/15/2019	621586	Registered
WOODCRAFT FRANCHISE, LLC / WOODCRAFT	PARKERSBURG, WV	3/15/2019	621585	Registered
ABC, Inc., a North Dakota corporation / abc Seamless	Fargo, ND	3/15/2019	621584	Registered
Restoration Specialties Franchise Group, LLC / ART	Livonia, MI	3/15/2019	621583	Registered
Restoration Specialties Franchise Group, LLC / ERS	Livonia, MI	3/15/2019	621582	Registered
EmbroidMe.com, Inc. / Fully Promoted	West Palm Beach, FL	3/15/2019	621581	Registered
Benjamin Franklin Franchising, LLC / d/b/a Benjamin Franklin Plumbing	Houston, TX	3/14/2019	621580	Registered
One Hour Air Conditioning Franchising, L.L.C. / One Hour Heating & Air Conditioning	Houston, TX	3/14/2019	621579	Registered
Mister Sparky Franchising, L.L.C. / Mister Sparky	Houston, TX	3/14/2019	621577	Registered
Renue Systems Development Corp., Inc. / Renue Systems	Lombard, IL	3/14/2019	621575	Registered
FITNESS TOGETHER FRANCHISE, LLC / Fitness Together (Individual Unit Franchise Program)	Englewood, CO	3/13/2019	621573	Registered
ELEMENTS THERAPEUTIC MASSAGE, LLC / Elements Massage (Individual Unit Franchise Program)	Englewood, CO	3/13/2019	621572	Registered
Amazing Lash Franchise, LLC / Amazing Lash Studio	Englewood, CO	3/13/2019	621571	Registered

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Maid Brigade, Inc. / Maid Brigade	Atlanta, GA	3/13/2019	621570	Registered
WEICHERT REAL ESTATE AFFILIATES INC / Weichert Realtors	MORRIS PLAINS, NJ	3/13/2019	621569	Registered
Wine and Design Franchise LLC / Wine and Design	Raleigh, NC	3/13/2019	621568	Registered
PRECISION HOLDINGS OF BREVARD INC / Precision Door Service	TITUSVILLE, FL	3/13/2019	621566	Registered
Transworld Business Advisors, LLC / Transworld	West Palm Beach, FL	3/13/2019	621565	Registered
Canine Dimensions Franchising, LLC / Canine Dimensions	Marlton, NJ	3/12/2019	621564	Registered
Enviro-Master International Franchise, LLC / Enviro-Master	Charlotte, NC	3/12/2019	621563	Registered
GREAT HARVEST FRANCHISING, INC / Great Harvest Bread Co.	DILLON, MT	3/12/2019	621562	Registered
JFE Franchising, Inc. / JFE, Sushi Box, Snow Fox	Houston, TX	3/11/2019	621561	Registered
BAB Systems, Inc. / My Favorite Muffin	Deerfield, IL	3/11/2019	621560	Registered
Patrice & Associates Franchising, Inc. / Patrice & Associates - Unit Program	Dunkirk, MD	3/11/2019	621559	Registered
TFL Franchise Systems, LLC / The Flying Locksmiths	Braintree, MA	3/11/2019	621557	Registered
Number of Franchise Filings: 26				