

**WI Securities Law and Franchise Law Filings
for 2/24/2019 through 3/2/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Barden Ozone Fund, LLC	Kenosha, WI	2/25/2019	850185-08	Not Disallowed
Mead & Hunt Companies, Inc.	Middleton, WI	2/25/2019	850150-08	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Investment Company Filings: 0				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NEI VI, LLC	Waukesha, WI	3/1/2019	850291-07	Filed
Wellbe Inc.	Madison, WI	3/1/2019	850289-07	Filed
Number of Regulation D Notice Filings: 2				