

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/10/2019 through 2/16/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Touchstone Strategic Trust Touchstone Credit Opportunities Fund Class Y	Cincinnati, OH	2/15/2019	850093-03	Filed
1WS Credit Income Fund Institutional Class	New York, NY	2/14/2019	850071-59	Filed
FT 7841 (FT Income Portfolio, Series 2)	Wheaton, IL	2/14/2019	850065-05	Filed
FT 7867 (Core Holdings Growth Trust, 2019 Spring Series)	Wheaton, IL	2/14/2019	850063-05	Filed
FT 7869 (Senior Loan & Limited Duration Closed-End Portfolio, Series 86)	Wheaton, IL	2/14/2019	850066-05	Filed
FT 7928 (Multi-Sector Income Portfolio, Series 44)	Wheaton, IL	2/14/2019	850064-05	Filed
GMO Series Trust GMO Resources Series Fund Class R6	Boston, MA	2/14/2019	850069-03	Filed
Invesco Unit Trusts, Municipal Series 1310; Quality Municipal Income Trust, 10-20 Year Series 101	Downers Grove, IL	2/14/2019	850084-05	Pending-Cleared
Invesco Unit Trusts, Municipal Series 1331; Quality Municipal Income Trust, 20+ Year Series 203	Downers Grove, IL	2/14/2019	850083-05	Filed
Invesco Unit Trusts, Series 1949	Downers Grove, IL	2/14/2019	850081-05	Pending-Cleared
Invesco Unit Trusts, Series 1950; Select 10 Industrial Portfolio 2019-2	Downers Grove, IL	2/14/2019	850080-05	Pending-Cleared
Invesco Unit Trusts, Series 1951	Downers Grove, IL	2/14/2019	850079-05	Pending-Cleared

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Invesco Unit Trusts, Series 1952	Downers Grove, IL	2/14/2019	850078-05	Pending-Cleared
Invesco Unit Trusts, Series 1953; Global Water Portfolio 2019-1	Downers Grove, IL	2/14/2019	850077-05	Pending-Cleared
Invesco Unit Trusts, Series 1954	Downers Grove, IL	2/14/2019	850076-05	Pending-Cleared
Invesco Unit Trusts, Series 1955	Downers Grove, IL	2/14/2019	850075-05	Pending-Cleared
Invesco Unit Trusts, Series 1956, Digital Portfolio 2019-1	Downers Grove, IL	2/14/2019	850074-05	Filed
Invesco Unit Trusts, Taxable Income Series 619, Investment Grade Corporate Trust, 2-4 Year Series 1	Downers Grove, IL	2/14/2019	850082-05	Filed
Northern Lights Fund Trust IV Anchor Tactical Global Strategies Fund Institutional Class	Omaha, NE	2/14/2019	850070-03	Filed
Smart Trust 415 (Smart Trust, Rising Interest Rates Hedge Trust, Series 12)	Parsippany, NJ	2/14/2019	850061-05	Filed
Smart Trust 416 (Smart Trust, Strategic Growth & Income Trust, Series 17)	Parsippany, NJ	2/14/2019	850062-05	Filed
Smart Trust 417 (Smart Trust, SMID Strength Trust, Series 2)	Parsippany, NJ	2/14/2019	850073-05	Filed
The Glenmede Fund, Inc. Quantitative U.S. Long/Short Equity Portfolio Institutional Shares	Boston, MA	2/14/2019	850072-03	Filed
Walhausen Funds Walhausen Small Cap Value Fund Institutional Class	Cincinnati, OH	2/14/2019	850068-03	Filed
Guggenheim Defined Portfolios, Series 1856 (Blue Chip Growth Portfolio, Series 21)	Chicago, IL	2/13/2019	850059-05	Filed
Guggenheim Defined Portfolios, Series 1856 (Dividend Growth Portfolio, Series 15)	Chicago, IL	2/13/2019	850060-05	Filed
Guggenheim Defined Portfolios, Series 1857 (Zacks Income Advantage Strategy Portfolio, Series 45)	Chicago, IL	2/13/2019	850057-05	Filed
Guggenheim Defined Portfolios, Series 1865 (Quality Quantamental Portfolio, Series 2)	Chicago, IL	2/13/2019	850058-05	Filed
World Funds Trust CBOE Vest S&P 500 DIVIDEND Aristocrats Target Income Fund Class C Shares	Richmond, VA	2/13/2019	850056-03	Filed

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Firm Name	Location	Date Received	File Number	Status
The Hartford Mutual Funds II, Inc. Hartford Schroders Securitized Income Fund Class A	Wayne, PA	2/12/2019	850024-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Schroders Securitized Income Fund Class F	Wayne, PA	2/12/2019	850022-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Schroders Securitized Income Fund Class I	Wayne, PA	2/12/2019	850025-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Schroders Securitized Income Fund Class SDR	Wayne, PA	2/12/2019	850023-03	Pending-Cleared
The Hartford Mutual Funds II, Inc. Hartford Schroders Securitized Income Fund Class Y	Wayne, PA	2/12/2019	850026-03	Pending-Cleared
Advisors Disciplined Trust 1925 (Advisors Core Equity Strategy Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850031-05	Filed
Advisors Disciplined Trust 1925 (Bahl & Gaynor Income Growth Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850032-05	Filed
Advisors Disciplined Trust 1925 (Blue Chip Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850033-05	Filed
Advisors Disciplined Trust 1925 (Dividend Advantage Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850034-05	Filed
Advisors Disciplined Trust 1925 (Dividend Sustainability Portfolio, Series 2019-1Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	2/11/2019	850035-05	Filed
Advisors Disciplined Trust 1925 (Energy Opportunities Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850037-05	Filed
Advisors Disciplined Trust 1925 (European Select Portfolio, Series 2019-1Q - A Cyrus J. Lawrence LLC ("CJL") Portfolio)	Monument, CO	2/11/2019	850027-05	Filed
Advisors Disciplined Trust 1925 (International High 30 Dividend Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850038-05	Filed
Advisors Disciplined Trust 1925 (Minimum Volatility Equity Income Portfolio, Series 2019-1Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	2/11/2019	850039-05	Filed

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Advisors Disciplined Trust 1925 (Strategic Foundations of Growth Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850040-05	Filed
Advisors Disciplined Trust 1925 (Strategic High 80 Dividend Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850041-05	Filed
Advisors Disciplined Trust 1925 (Tactical Plus Closed-End Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850042-05	Filed
Advisors Disciplined Trust 1925 (The Dow Value Ten Portfolio, Series 2019-1Q)	Monument, CO	2/11/2019	850036-05	Filed
Advisors Disciplined Trust 1925 (Ubiquitous Strategy Portfolio, 15 Month, Series 2019-1Q)	Monument, CO	2/11/2019	850043-05	Filed
FT 7859 (Global Dividend Portfolio, Series 58)	Wheaton, IL	2/11/2019	850047-05	Filed
FT 7862 (Financials Select Portfolio, Series 67)	Wheaton, IL	2/11/2019	850046-05	Filed
FT 7863 (Utilities Select Portfolio, Series 50)	Wheaton, IL	2/11/2019	850054-05	Filed
FT 7864 (Interest Rate Hedge Portfolio, Series 122)	Wheaton, IL	2/11/2019	850049-05	Filed
FT 7865 (Health Care Select Portfolio, Series 53)	Wheaton, IL	2/11/2019	850044-05	Filed
FT 7866 (Structured Portfolio Plan Defined Portfolio, February 2019 Series)	Wheaton, IL	2/11/2019	850050-05	Filed
FT 7868 (Sabrient Baker's Dozen Portfolio, February 2019 Series)	Wheaton, IL	2/11/2019	850051-05	Filed
FT 7883 (Covered Call Select Closed-End Portfolio, Series 69)	Wheaton, IL	2/11/2019	850048-05	Filed
FT 7884 (U.S. Equity Closed-End Portfolio, Series 30)	Wheaton, IL	2/11/2019	850053-05	Filed
FT 7885 (Alternative Income Portfolio, Series 32)	Wheaton, IL	2/11/2019	850052-05	Filed
FT 7927 (Corporate Investment Grade Portfolio, 3-7 Year, Series 7)	Wheaton, IL	2/11/2019	850045-05	Filed
PIMCO Funds PIMCO GNMA and Government Securities Fund Class I-3	Newport Beach, CA	2/11/2019	849998-03	Filed
The Advisors' Inner Circle Fund III Nicholas Partners Small Cap Growth Fund Institutional Shares	Oaks, PA	2/11/2019	849997-03	Filed

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Firm Name	Location	Date Received	File Number	Status
The Advisors' Inner Circle Fund LSV Emerging Markets Equity Fund Institutional Class Shares	Boston, MA	2/11/2019	849995-03	Filed
The Advisors' Inner Circle Fund LSV Emerging Markets Equity Fund Investor Class Shares	Boston, MA	2/11/2019	849996-03	Filed
Number of Investment Company Filings: 63				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Bioventrix Inc	San Ramon, CA	2/15/2019	850086-07	Filed
CQS ABS Feeder Fund LLC	New York, NY	2/15/2019	850186-07	Filed
Fasetto, Inc.	Superior, WI	2/15/2019	850090-07	Filed
NHG Funding III, LLC	Fairfield, CT	2/15/2019	850087-07	Filed
PMB Grand Junction LLC	San Diego, CA	2/15/2019	850088-07	Filed
Sky Holdco Corp	New York, NY	2/15/2019	850085-07	Filed
Soverign Value-Add & Income Strategy Fund I, LLC	New York, NY	2/15/2019	850091-07	Filed
TripDoctor, LLC	San Juan, PR	2/15/2019	850089-07	Filed
Number of Regulation D Notice Filings: 8				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TGA FRANCHISE SPORTS HOLDINGS, LLC / TGA Premier Sports	El Segundo, CA	2/15/2019	621492	Registered
Snap-on Tools Company LLC / Snap-on Tools	KENOSHA, WI	2/15/2019	621490	Registered
21st Century Home Care Franchise, LLC / Home Care for the 21st Century	Bradenton, FL	2/15/2019	621489	Registered
Qdoba Restaurant Corporation / "Qdoba" "Qdoba Mexican Eats" "Qdoba Mexican Grill"	San Diego, CA	2/14/2019	621487	Registered
Praxis S-10, LLC / PriceFixer.com	Sarasota, FL	2/13/2019	621486	Registered
Wings Over, Inc	New York, NY	2/13/2019	621485	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
GarageKings.com Inc. / Garage Kings	Newark, DE	2/13/2019	621483	Registered
LEADING EDGE MARKETING, INC. / ProSource Wholesale	St. Louis, MO	2/12/2019	621482	Registered
Number of Franchise Filings: 8				