

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/3/2019 through 2/9/2019**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Series Portfolios Trust, Equable Shares Small Cap Fund (Series 2), Class I	WEST ALLIS, WI	2/8/2019	849993-03	Filed
Steward Realty Trust, Inc.	Easton, MD	2/8/2019	850055-25	Filed
The UBS Funds UBS Emerging Markets Equity Opportunity Fund Class P	Chicago, IL	2/7/2019	849992-03	Filed
GMO Series Trust GMO Emerging Markets Series Fund Class PS	Boston, MA	2/6/2019	849980-03	Filed
Guggenheim Defined Portfolios, Series 1855 (Infrastructure & MLP Portfolio, of CEFs, Series 37)	Chicago, IL	2/6/2019	849988-05	Filed
Guggenheim Defined Portfolios, Series 1855 (Multinational Titans Portfolio, Series 16)	Chicago, IL	2/6/2019	849986-05	Filed
Guggenheim Defined Portfolios, Series 1855 (REIT Portfolio, Series 20)	Chicago, IL	2/6/2019	849987-05	Filed
Guggenheim Defined Portfolios, Series 1858 (Diversified Credit Portfolio of ETFs, Series 14)	Chicago, IL	2/6/2019	850030-05	Filed
Guggenheim Defined Portfolios, Series 1859 (Core Four 60/40 Retirement Portfolio, SEries 6)	Chicago, IL	2/6/2019	850029-05	Filed
Guggenheim Defined Portfolios, Series 1862 (Total Income Portfolio, Series 16)	Chicago, IL	2/6/2019	850028-05	Filed
Diamond Hill Funds Diamond Hill Global Fund Class A	Columbus, OH	2/5/2019	849971-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class A	Jersey City, NJ	2/5/2019	849967-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class C	Jersey City, NJ	2/5/2019	849968-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class F	Jersey City, NJ	2/5/2019	849969-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class F3	Jersey City, NJ	2/5/2019	849970-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class I	Jersey City, NJ	2/5/2019	849972-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class R2	Jersey City, NJ	2/5/2019	849973-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class R3	Jersey City, NJ	2/5/2019	849974-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class R4	Jersey City, NJ	2/5/2019	849975-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class R5	Jersey City, NJ	2/5/2019	849976-03	Filed
Lord Abbett Securities Trust Lord Abbett Focused Growth Fund Class R6	Jersey City, NJ	2/5/2019	849977-03	Filed
Advisors Disciplined Trust 1921 (60/40 Asset Allocation Portfolio, Series 2019-1Q)	Monument, CO	2/4/2019	849961-05	Filed
Advisors Disciplined Trust 1924 (Aerospace/Defense Opportunities Portfolio, Series 2019-1)	Monument, CO	2/4/2019	849985-05	Filed
Advisors Disciplined Trust 1924 (Cohen & Steers Covered Call & Income Strategies Closed-end Portfolio, Series 2019-1)	Monument, CO	2/4/2019	849984-05	Filed
Advisors Disciplined Trust 1924 (Cohen & Steers Dynamic Income Portfolio, Series 2019-1)	Monument, CO	2/4/2019	849983-05	Filed
Advisors Disciplined Trust 1924 (Cohen & Steers Global Covered Call & Income Strategies Closed-End Portfolio, Series 2019-1)	Monument, CO	2/4/2019	849982-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 1924 (Tactical Income Closed-End Portfolio, Series 2019-1)	Monument, CO	2/4/2019	849981-05	Filed
Delaware Group Income Funds Delaware Corporate Bond Fund Class R6	Philadelphia, PA	2/4/2019	849938-03	Filed
Loomis Sayles Funds I Loomis Sayles Intermediate Duration Bond Fund Class N	Boston, MA	2/4/2019	849939-03	Filed
Number of Investment Company Filings: 29				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AB Energy Opportunity (Cayman) Feeder Fund II, L.P.	New York, NY	2/8/2019	850013-07	Filed
Advanced Technology Select Fund VI LLC	Wall Street, NY	2/8/2019	850018-07	Filed
Arctos Capital LLC	San Francisco, CA	2/8/2019	849994-07	Filed
Atlas Blockchain Group Inc.	Vancouver, BC	2/8/2019	849999-07	Filed
Briar Meads SPV XIV, LLC	New York, NY	2/8/2019	850009-07	Filed
Bridge Office Fund International-A LP	Millcreek, UT	2/8/2019	850006-07	Filed
Crown Cleveland #26 Joint Venture	Carrollton, TX	2/8/2019	850012-07	Filed
Cruise Port Hotel QP, LLC	Chicago, IL	2/8/2019	850021-07	Filed
Deloitte Consulting LLP	New York, NY	2/8/2019	850008-07	Filed
Deloitte Tax LLP	New York, NY	2/8/2019	850007-07	Filed
Deloitte Touche Tohmatsu Services, Inc.	New York, NY	2/8/2019	850005-07	Filed
DHC Beech LLC	Northville, MI	2/8/2019	850020-07	Filed
ECLP PS EPQ LLC	San Francisco, CA	2/8/2019	850003-07	Filed
Helios Brands, Inc.	Austin, TX	2/8/2019	850004-07	Filed
Johnnie-O Inc.	Los Angeles, CA	2/8/2019	850011-07	Filed
Metalla Royalty & Streaming Ltd.	Vancouver, BC	2/8/2019	850000-07	Filed
OneChart Health, LLC	Lehi, UT	2/8/2019	850010-07	Filed
Passco Carolina Forest DST	Irvine, CA	2/8/2019	850016-07	Filed
Private Label Sk.in, LLC	Huntington, NY	2/8/2019	850015-07	Filed
Rand Road Community, LLC	Chicago, IL	2/8/2019	850001-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Tens PS EPQ, LLC	San Francisco, CA	2/8/2019	850002-07	Filed
Vegas Valley Capital Corp	Vancouver, BC	2/8/2019	850014-07	Filed
Wesern Wealth Capital XL US Investor, LP	Norh Vancouver, BC	2/8/2019	850017-07	Filed
Willis Towers Watson Group Trust Diversified Credit Fund	Arlington, VA	2/8/2019	850019-07	Filed
NB Select Opporutnities Fund II LP	Dallas, TX	2/6/2019	849989-07	Filed
Valisure LLC	New Haven, CT	2/6/2019	849990-07	Filed
Morgan Hotel, LLC	Neenah, WI	2/5/2019	849991-07	Filed
Number of Regulation D Notice Filings: 27				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ATC HEALTHCARE SERVICES LLC / ATC Healthcare Services	LAKE SUCCESS, NY	2/9/2019	621480	Registered
BAB SYSTEMS INC / BIG APPLE BAGELS	DEERFIELD, IL	2/8/2019	621478	Registered
Soldierfit Franchise, LLC / Soldierfit	Ijamsville, MD	2/7/2019	621476	Registered
IMG Universe, LLC / Miss Universe; Miss Universe Organization; Miss USA	New York, NY	2/7/2019	621474	Registered
IMG Universe, LLC / Miss Universe; Miss Universe Organization; Miss Teen USA	New York, NY	2/7/2019	621473	Registered
SHH Group, LLC / Sculpture Hospitality (Regional Director)	Toronto, ON	2/7/2019	621472	Registered
AirMD, LLC / AirMD	Boca Raton, FL	2/4/2019	621466	Registered
ANB Clean Franchising, LLC / ANB Clean	Shakopee, MN	2/4/2019	621465	Registered
Number of Franchise Filings: 8				