

**WI Securities Law and Franchise Law Filings
for 1/13/2019 through 1/19/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Investment Managers Series Trust, AAM/HIMCO Global Enhanced Dividend Fund, Class A	Milwaukee, WI	1/14/2019	849767-03	Filed
Investment Managers Series Trust, AAM/HIMCO Global Enhanced Dividend Fund, Class C	Milwaukee, WI	1/14/2019	849769-03	Filed
Investment Managers Series Trust, AAM/HIMCO Global Enhanced Dividend Fund, Class I	Milwaukee, WI	1/14/2019	849770-03	Filed
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Baird Venture Partners V Limited Partnership	Milwaukee, WI	1/18/2019	849890-07	Filed
BVP V Affiliates Fund Limited Partnership	Milwaukee, WI	1/18/2019	849891-07	Filed
gener8tor Fund VII, LLC	Madison, WI	1/18/2019	849866-07	Filed
Number of Regulation D Notice Filings: 3				