

**WI Securities Law and Franchise Law Filings
for 12/30/2018 through 1/5/2019**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Trust For Professional Managers, Performance Trust Strategic Bond Fund, Class A Shares	Milwaukee, WI	1/2/2019	849651-03	Filed
Trust For Professional Managers, Performance Trust Strategic Bond Fund, Class C Shares	Milwaukee, WI	1/2/2019	849652-03	Filed
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SB Acquisition, LLC / Pizza Pit	Monona, WI	12/31/2018	621396	Registered
Number of Franchise Filings: 1				