

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/30/2018 through 1/5/2019**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Forbes Energy Services Ltd.	Alice, TX	1/2/2019	849583-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Touchstone Funds Group Trust Touchstone Emerging Markets Small Cap Fund Class C	Cincinnati, OH	1/4/2019	849611-03	Filed
Advisors Disciplined Trust 1916 (Balanced Portfolio, Series 2019-1)	Monument, CO	1/3/2019	849618-05	Filed
Advisors Disciplined Trust 1916 (Cohen & Steers Equity Dividend & Income Closed-End Portfolio, Series 2019-1)	Monument, CO	1/3/2019	849621-05	Filed
Advisors Disciplined Trust 1916 (Financial Opportunities Portfolio, Series 2019-1)	Monument, CO	1/3/2019	849622-05	Filed
Advisors Disciplined Trust 1916 (Ubiquitous Strategy Portfolio, Series 2019-1)	Monument, CO	1/3/2019	849623-05	Filed
Advisors Disciplined Trust 1917 (Income Quality Covered Call Portfolio, Series 2019-1Q)	Monument, CO	1/3/2019	849619-05	Filed
Advisors Disciplined Trust 1918 (Blue Chip Covered Call Portfolio, Series 2019-1Q)	Monument, CO	1/3/2019	849620-05	Filed
FT 7775 (FT Equity Allocation ETF Model Portfolio, 1Q '19)	Wheaton, IL	1/3/2019	849636-05	Pending-Cleared
FT 7775 (FT High Income Model Portfolio, 1Q '19)	Wheaton, IL	1/3/2019	849633-05	Pending-Cleared
FT 7789 (European Target High Quality Dvd. 1Q '19 - Term)	Wheaton, IL	1/3/2019	849629-05	Filed

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FT 7789 (Target Dvd. Blend 1Q '19 - Term 4/9/20)	Wheaton, IL	1/3/2019	849627-05	Filed
FT 7789 (Target High Quality Dvd. 1Q '19 - Term 4/9/20)	Wheaton, IL	1/3/2019	849628-05	Filed
FT 7802 (Stonebridge Preferred Income Portfolio, Series 21)	Wheaton, IL	1/3/2019	849640-05	Filed
FT 7803 (Brookmont Equity Dividend Portfolio, Series 18)	Wheaton, IL	1/3/2019	849637-05	Filed
FT 7804 (Deep Value Dividend Opportunity Portfolio, Series 7)	Wheaton, IL	1/3/2019	849638-05	Filed
FT 7805 (High Dividend Equity Opportunity Portfolio, Series 7)	Wheaton, IL	1/3/2019	849631-05	Filed
FT 7806 (Technology Opportunity Portfolio, Series 7)	Wheaton, IL	1/3/2019	849630-05	Filed
FT 7824 (Dividend & Income Select Closed-End Portfolio, Series 72)	Wheaton, IL	1/3/2019	849634-05	Filed
FT 7829 (UBS Fallen Angels: Equity Advisor Group. 2019 Portfolio)	Wheaton, IL	1/3/2019	849624-05	Filed
FT 7835 (Senior Loan & Limited Duration Opportunities Closed-End Portfolio, Series 60)	Wheaton, IL	1/3/2019	849639-05	Filed
FT 7843 (Income Dividend Equity Allocation (IDEA) Portfolio, Series 19)	Wheaton, IL	1/3/2019	849632-05	Filed
FT 7844 (Richard Bernstein Advisors Quality Income Portfolio, Series 32)	Wheaton, IL	1/3/2019	849635-05	Filed
Smart Trust 411 (Smart Trust, Argus Dividend Growers Total Return Trust, Series 19)	Parsippany, NJ	1/3/2019	849625-05	Filed
Smart Trust 411 (Smart Trust, Morningstar Dividend Yield Select Trust, Series 8)	Parsippany, NJ	1/3/2019	849626-05	Filed
The Lazard Funds, Inc. Lazard International Compounders Portfolio Institutional Shares	New York, NY	1/3/2019	849584-03	Filed
The Lazard Funds, Inc. Lazard International Compounders Portfolio Open Shares	New York, NY	1/3/2019	849585-03	Filed
FPA Funds Trust, FPA Flexible Fixed Income Fund	Los Angeles, CA	1/2/2019	849614-03	Filed
Invesco Unit Trusts, Municipal Series 1326; Investment Grade Municipal Trust, 7-13 Year Series 81	Downers Grove, IL	1/2/2019	849642-05	Pending-Cleared

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Invesco Unit Trusts, Series 1941	Downers Grove, IL	1/2/2019	849645-05	Pending-Cleared
Invesco Unit Trusts, Series 1942, Balanced Dividend Sustainability & Income Portfolio 2019-1	Downers Grove, IL	1/2/2019	849646-05	Pending-Cleared
Invesco Unit Trusts, Series 1943; Inflation Hedge Portfolio 2019-1	Downers Grove, IL	1/2/2019	849647-05	Pending-Cleared
Invesco Unit Trusts, Series 1944	Downers Grove, IL	1/2/2019	849648-05	Pending-Cleared
Invesco Unit Trusts, Series 1945	Downers Grove, IL	1/2/2019	849649-05	Pending-Cleared
Invesco Unit Trusts, Series 1946	Downers Grove, IL	1/2/2019	849650-05	Pending-Cleared
Invesco Unit Trusts, Taxable Income Series 615; Investment Grade Corporate Trust, 5-8 Year Series 37	Downers Grove, IL	1/2/2019	849643-05	Pending-Cleared
Invesco Unit Trusts, Taxable Income Series 616; High Yield Corporate Trust, 4-7 Year	Downers Grove, IL	1/2/2019	849644-05	Pending-Cleared
Morgan Stanley Portfolios, Series 26 - Global Best Business Models, Series 2	Purchase, NY	1/2/2019	849641-05	Pending-Cleared
Trust For Professional Managers, Performance Trust Strategic Bond Fund, Class A Shares	Milwaukee, WI	1/2/2019	849651-03	Filed
Trust For Professional Managers, Performance Trust Strategic Bond Fund, Class C Shares	Milwaukee, WI	1/2/2019	849652-03	Filed
Axonic Alternative Income Fund	New York, NY	12/31/2018	849568-59	Filed
Eaton Vance Mutual Funds Trust Eaton Vance Global Bond Fund Class I Shares	Boston, MA	12/31/2018	849570-03	Pending-Cleared
Eaton Vance Mutual Funds Trust Eaton Vance Global Bond Fund Class I Shares	Boston, MA	12/31/2018	849574-03	Filed
Natixis Funds Trust I Mirova International Sustainable Equity Fund Class A	Boston, MA	12/31/2018	849575-03	Filed
Natixis Funds Trust I Mirova International Sustainable Equity Fund Class A	Boston, MA	12/31/2018	849571-03	Pending-Cleared
Natixis Funds Trust I Mirova International Sustainable Equity Fund Class N	Boston, MA	12/31/2018	849572-03	Pending-Cleared
Natixis Funds Trust I Mirova International Sustainable Equity Fund Class N	Boston, MA	12/31/2018	849576-03	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Natixis Funds Trust I Mirova International Sustainable Equity Fund Class Y	Boston, MA	12/31/2018	849577-03	Filed
Natixis Funds Trust I Mirova International Sustainable Equity Fund Class Y	Boston, MA	12/31/2018	849573-03	Pending-Cleared
Thrivent Church Loan and Income Fund Class S Shares	Minneapolis, MN	12/31/2018	849569-59	Filed
<b>Number of Investment Company Filings: 49</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ABRY Advanced Securities Fund IV, L.P.	Boston, MA	1/4/2019	849773-07	Filed
ABRY Partners IX, L.P.	Boston, MA	1/4/2019	849772-07	Filed
Allocation Solutions 14, LLC	Southlake, TX	1/4/2019	849723-07	Filed
BRH Property, LLC	Vero Beach, FL	1/4/2019	849729-07	Filed
Bridge Multifamily IV ASP Fund, a series of Alternative Strategies Private Capital Platform, LLC	Charlotte, NC	1/4/2019	849722-07	Filed
Cortland Growth & Income, L.P.	Atlanta, GA	1/4/2019	849768-07	Filed
Hadestown Broadway Ltd Liability Co	New York, NY	1/4/2019	849726-07	Filed
ITB Packaging LLC	Holland, MI	1/4/2019	849728-07	Filed
Jones Financial Companies LLLP	Des Peres, MO	1/4/2019	849771-07	Filed
L Catterton Asia 3 LP	Camana Bay, Grand Cayman,	1/4/2019	849775-07	Filed
Lixte Biotechnology Holdings, Inc.	Setauket, NY	1/4/2019	849720-07	Filed
Lodging Fund REIT III, Inc.	Fargo, ND	1/4/2019	849727-07	Filed
Maxone LLC	Zeeland, MI	1/4/2019	849766-07	Filed
New Rochelle Holdings, LLC	Norfolk, VA	1/4/2019	849724-07	Filed
Patriot Software Co	canton, OH	1/4/2019	849721-07	Filed
Revive Solutions, Inc.	San Mateo, CA	1/4/2019	849774-07	Filed
TFG Trade Centre REIT, LLC	Boston, MA	1/4/2019	849777-07	Filed
Transportant, Inc.	Shawnee, KS	1/4/2019	849725-07	Filed
VIP Partners VII, LLC	Elmhurst, IL	1/4/2019	849776-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Lakeview 4450, LLC	Lynnwood, WA	1/2/2019	849653-07	Filed
<b>Number of Regulation D Notice Filings: 20</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AdvantaClean Systems, Inc. / AdvantaClean	Huntersville, NC	1/4/2019	621406	Registered
Hommati Franchise Network, Inc. / Hommati	Westerville, OH	12/31/2018	621398	Registered
Luby's Fuddruckers Restaurants, LLC / "Fuddruckers" and "Fuddruckers, World's Greatest Hamburgers"	Houston, TX	12/31/2018	621397	Registered
SB Acquisition, LLC / Pizza Pit	Monona, WI	12/31/2018	621396	Registered
<b>Number of Franchise Filings: 4</b>				