

**WI Securities Law and Franchise Law Filings
for 12/16/2018 through 12/22/2018**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Hayes Brokerage Opportunity Fund #1, LLC	De Pere, WI	12/19/2018	849610-27	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DoubleLine Funds Trust DoubleLine Colony Real Estate and Income Fund Class I Shares	WEST ALLIS, WI	12/17/2018	849500-03	Filed
DoubleLine Funds Trust DoubleLine Colony Real Estate and Income Fund Class N Shares	WEST ALLIS, WI	12/17/2018	849499-03	Filed
Investment Managers Series Trust 361 Global Equity Absolute Return Fund Class I	Milwaukee, WI	12/17/2018	849504-03	Pending-Cleared
Investment Managers Series Trust 361 Global Equity Absolute Return Fund Class Y	Milwaukee, WI	12/17/2018	849505-03	Pending-Cleared
Investment Managers Series Trust 361 Global Equity Absolute Return Fund Investor Class	Milwaukee, WI	12/17/2018	849506-03	Pending-Cleared
Number of Investment Company Filings: 5				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Artisan Thematic Fund LP	Milwaukee, WI	12/21/2018	849597-07	Filed
Gravy Live, Inc.	Madison, WI	12/21/2018	849605-07	Filed
HSI Orchard Hills HC LLC	Brookfield, WI	12/21/2018	849591-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MLG/PF Midwest CCC Co-Investor LLC	Brookfield, WI	12/21/2018	849600-07	Filed
Number of Regulation D Notice Filings: 4				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Legacy Franchise Group, LLC / Country Kitchen Restaurants	Middleton, WI	12/19/2018	620367	Registered
Number of Franchise Filings: 1				