

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/16/2018 through 12/22/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Hayes Brokerage Opportunity Fund #1, LLC	De Pere, WI	12/19/2018	849610-27	Pending
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Touchstone Strategic Trust Touchstone International Equity Fund Class C	Cincinnati, OH	12/21/2018	849555-03	Filed
Touchstone Strategic Trust Touchstone Large Company Growth Fund Institutional Class	Cincinnati, OH	12/21/2018	849556-03	Filed
American Beacon Funds American Beacon ARK Transformational Innovation Fund A Class	Irving, TX	12/20/2018	849537-03	Pending-Cleared
American Beacon Funds American Beacon ARK Transformational Innovation Fund C Class	Irving, TX	12/20/2018	849533-03	Pending-Cleared
American Beacon Funds American Beacon Stephens Mid-Cap Growth Fund R6 Class	Irving, TX	12/20/2018	849534-03	Pending-Cleared
Morgan Stanley Institutional Fund Trust Ultra-Short Municipal Income Portfolio Class A	New York, NY	12/20/2018	849530-03	Filed
Morgan Stanley Institutional Fund Trust Ultra-Short Municipal Income Portfolio Class IR	New York, NY	12/20/2018	849531-03	Filed
Morgan Stanley Institutional Fund Trust Ultra-Short Municipal Income Portfolio Institutional Class	New York, NY	12/20/2018	849528-03	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/16/2018 through 12/22/2018**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Morgan Stanley Institutional Fund, Inc. Global Endurance Portfolio Class A	New York, NY	12/20/2018	849532-03	Filed
Morgan Stanley Institutional Fund, Inc. Global Endurance Portfolio Class C	New York, NY	12/20/2018	849536-03	Filed
Morgan Stanley Institutional Fund, Inc. Global Endurance Portfolio Class I	New York, NY	12/20/2018	849535-03	Filed
Morgan Stanley Institutional Fund, Inc. Global Endurance Portfolio Class IS	New York, NY	12/20/2018	849529-03	Filed
Virtus Opportunities Trust Virtus Newfleet Low Duration Income Fund Class R6	Greenfield, MA	12/20/2018	849538-03	Filed
Fidelity Oxford Street Trust Fidelity SAI Inflation-Focused Fund	Boston, MA	12/19/2018	849527-03	Filed
ALAIA Market Linked Trust Defined Preservation 95 Fund, Series 7-1	Darien, CT	12/18/2018	849512-05	Withdrawn
FundVantage Trust Gotham Master Neutral Fund Institutional Class Shares	Wilmington, DE	12/18/2018	849511-03	Filed
DoubleLine Funds Trust DoubleLine Colony Real Estate and Income Fund Class I Shares	WEST ALLIS, WI	12/17/2018	849500-03	Filed
DoubleLine Funds Trust DoubleLine Colony Real Estate and Income Fund Class N Shares	WEST ALLIS, WI	12/17/2018	849499-03	Filed
FT 7748 (Sabrient Baker's Dozen Portfolio, December 2018 Series)	Wheaton, IL	12/17/2018	849523-05	Filed
FT 7764 (Global Deep Value Dividend Portfolio, Series 21)	Wheaton, IL	12/17/2018	849520-05	Filed
FT 7766 (Richard Bernstein Advisors Global Dividend Kings, Series 29)	Wheaton, IL	12/17/2018	849519-05	Filed
FT 7768 (AI, Robotics & Technology Opportunity Portfolio, Series 6)	Wheaton, IL	12/17/2018	849525-05	Filed
FT 7769 (Strategic International Opportunity Portfolio, Series 7)	Wheaton, IL	12/17/2018	849507-05	Filed
FT 7770 (Sabrient Small Cap Growth Portfolio, Series 21)	Wheaton, IL	12/17/2018	849516-05	Filed
FT 7773 (Stifel Best Picks, December 2018)	Wheaton, IL	12/17/2018	849517-05	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/16/2018 through 12/22/2018**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 77801 (MLP Closed-End Fund and Energy Portfolio, Series 62)	Wheaton, IL	12/17/2018	849559-05	Pending-Cleared
FT 7792 (High-Yield Income Closed-End Portfolio, Series 84)	Wheaton, IL	12/17/2018	849522-05	Filed
FT 7793 (Global Equity Income Closed-End Portfolio, Series 62)	Wheaton, IL	12/17/2018	849518-05	Filed
FT 7794 (Tactical Income Opportunity Portfolio, Series 8)	Wheaton, IL	12/17/2018	849558-05	Pending-Cleared
FT 7796 (Silicon Valley Opportunity Portfolio, Series 2)	Wheaton, IL	12/17/2018	849521-05	Filed
FT 7797 (E-Commerce Opportunity Portfolio, Series 6)	Wheaton, IL	12/17/2018	849524-05	Filed
FT 7798 (Innovative Transaction & Process Portfolio, Series 4)	Wheaton, IL	12/17/2018	849508-05	Filed
FT 7800 (Communication Services Opportunity Portfolio, Series 2)	Wheaton, IL	12/17/2018	849509-05	Pending-Cleared
FT 7813 (Municipal Income Select, Closed-End Portfolio, Series 99)	Wheaton, IL	12/17/2018	849564-05	Pending-Cleared
FT 7838 (Equity Closed-End Opportunity Portfolio, Series 4)	Wheaton, IL	12/17/2018	849510-05	Pending-Cleared
Invesco Unit Trusts, Municipal Series 1330; Quality Municipal Income Trust, 20+ Year Series 202	Downers Grove, IL	12/17/2018	849501-05	Filed
Invesco Unit Trusts, Series 1939	Downers Grove, IL	12/17/2018	849502-05	Pending-Cleared
Invesco Unit Trusts, Series 1940, Buyback Leaders Portfolio 2019-1	Downers Grove, IL	12/17/2018	849503-05	Pending-Cleared
Investment Managers Series Trust 361 Global Equity Absolute Return Fund Class I	Milwaukee, WI	12/17/2018	849504-03	Pending-Cleared
Investment Managers Series Trust 361 Global Equity Absolute Return Fund Class Y	Milwaukee, WI	12/17/2018	849505-03	Pending-Cleared
Investment Managers Series Trust 361 Global Equity Absolute Return Fund Investor Class	Milwaukee, WI	12/17/2018	849506-03	Pending-Cleared
Smart Trust 408 (Smart Trust, Enhanced Value II Trust, Series 22)	Parsippany, NJ	12/17/2018	849513-05	Filed
Smart Trust 408 (Smart Trust, Portfolio of Closed-End Fund Opportunities Trust, Series 17)	Parsippany, NJ	12/17/2018	849514-05	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/16/2018 through 12/22/2018**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Smart Trust 408 (Smart Trust, Tax-Advantaged Growth & Income, Series 18)	Parsippany, NJ	12/17/2018	849515-05	Filed
<b>Number of Investment Company Filings: 44</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Artisan Thematic Fund LP	Milwaukee, WI	12/21/2018	849597-07	Filed
Astarte Medical Partners, Inc.	Yardley, PA	12/21/2018	849595-07	Filed
BP SLL Trust	Wilmington, DE	12/21/2018	849609-07	Filed
Bullard 392, LLC	Dallas, TX	12/21/2018	849589-07	Filed
Craft Ventures II, L.P.	San Francisco, CA	12/21/2018	849608-07	Filed
CuriosityStream, Inc.	Silver Spring, MD	12/21/2018	849593-07	Filed
Digital Asset Capital Group LLC	Chicago, IL	12/21/2018	849598-07	Filed
FactoryFix, Inc.	Chicago, IL	12/21/2018	849594-07	Filed
Gardenia Partners LLC	Anniston, AL	12/21/2018	849604-07	Filed
Grand Energy Inc.	Addison, TX	12/21/2018	849601-07	Filed
Gravy Live, Inc.	Madison, WI	12/21/2018	849605-07	Filed
HSI Orchard Hills HC LLC	Brookfield, WI	12/21/2018	849591-07	Filed
KNOW Bio, LLC	Durham, NC	12/21/2018	849599-07	Filed
MLG/PF Midwest CCC Co-Investor LLC	Brookfield, WI	12/21/2018	849600-07	Filed
Nantucket Cove Investments LLC	Indianapolis, IN	12/21/2018	849603-07	Filed
OS Fund Biome, LLC	Park Ridge, IL	12/21/2018	849588-07	Filed
Rand 6200, LLC	Knoxville, TN	12/21/2018	849587-07	Filed
REI Energy 2018-A Drilling & Income Fund, L.P.	Richardson, TX	12/21/2018	849590-07	Filed
Sandfort Homes, LLC	Pensacola, FL	12/21/2018	849586-07	Filed
SignalFire Breakout Fund II, L.P.	San Francisco, CA	12/21/2018	849606-07	Filed
SignalFire Fund III, L.P.	San Francisco, CA	12/21/2018	849607-07	Filed
Southeast Multifamily Portfolio II LLC	Dallas, TX	12/21/2018	849602-07	Filed
Tensility Venture Fund II, LP	Chicago, IL	12/21/2018	849596-07	Filed
Tucson 2 Portfolio, LLC	Fremont, CA	12/21/2018	849592-07	Filed
<b>Number of Regulation D Notice Filings: 24</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/16/2018 through 12/22/2018**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NextHome, Inc. / NextHome	Pleasanton, CA	12/21/2018	621373	Registered
Dale Carnegie & Associates, Inc. / Dale Carnegie Training	Melville, NY	12/21/2018	621371	Registered
Image Studios 360 Franchies, LLC / Image Studios 360	Salt Lake City, UT	12/20/2018	621369	Registered
Legacy Franchise Group, LLC / Country Kitchen Restaurants	Middleton, WI	12/19/2018	620367	Registered
Alair Enterprises USA Inc. / Alair Homes	Nanaimo, BC	12/18/2018	620365	Registered
Alair Enterprises USA Inc. / Alair Homes	Nanaimo, BC	12/18/2018	620364	Registered
Valbridge Property Advisors Franchising System, LLC / Valbridge Property Advisors	Naples, FL	12/18/2018	620363	Registered
Chatime USA, LLC / Chatime (Unit Franchise)	Zhubei City, Hsinchu County,	12/17/2018	620359	Registered
Legendary Q Brands / BILLY SIMS BARBECUE	Tulsa, OK	12/17/2018	620358	Registered
Sonic Franchising LLC / Sonic Drive-In	Oklahoma City, OK	12/17/2018	620356	Registered
<b>Number of Franchise Filings: 10</b>				