

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/9/2018 through 12/15/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Bethel Presbyterian Church	Marlborough, MA	12/12/2018	849526-02	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GAMCO Global Series Funds, Inc. The GAMCO Global Growth Fund Class I Shares	Rye, NY	12/14/2018	849488-03	Filed
Guggenheim Defined Portfolios, Series 1839 (BDC Scorecard Portfolio, Series 14)	Chicago, IL	12/14/2018	849495-05	Filed
Guggenheim Defined Portfolios, Series 1839 (European High Dividend Portfolio, Series 14)	Chicago, IL	12/14/2018	849497-05	Filed
Guggenheim Defined Portfolios, Series 1840 (Dow Jones Value Dividend Focus Portfolio, Series 35)	Chicago, IL	12/14/2018	849498-05	Filed
Guggenheim Defined Portfolios, Series 1840 (Global 100 Dividend Strategy Portfolio, Series 17)	Chicago, IL	12/14/2018	849496-05	Filed
American Beacon Funds American Beacon AHL TargetRisk Fund Institutional Class	Irving, TX	12/13/2018	849480-03	Pending-Cleared
American Beacon Funds American Beacon AHL TargetRisk Fund Investor Class	Irving, TX	12/13/2018	849481-03	Pending-Cleared
American Beacon Funds American Beacon AHL TargetRisk Fund Y Class	Irving, TX	12/13/2018	849479-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
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American Beacon Funds American Beacon Continuous Capital Emerging Markets Fund Institutional Class	Irving, TX	12/13/2018	849478-03	Filed
American Beacon Funds American Beacon Continuous Capital Emerging Markets Fund Investor Class	Irving, TX	12/13/2018	849476-03	Filed
American Beacon Funds American Beacon Continuous Capital Emerging Markets Fund Y Class	Irving, TX	12/13/2018	849477-03	Filed
FT 7799 (Nasdaq Dividend Achievers 25 Portfolio, Series 14)	Wheaton, IL	12/13/2018	849487-05	Filed
Fundrise Growth eREIT III, LLC	Washington, DC	12/13/2018	849491-25	Filed
Fundrise Income eREIT III, LLC	Washington, DC	12/13/2018	849492-25	Filed
Hightimes Holding Corp.	Los Angeles, CA	12/13/2018	849489-25	Filed
John Hancock Investment Trust John Hancock Global Thematic Opportunities Fund Class A	Boston, MA	12/13/2018	849484-03	Filed
John Hancock Investment Trust John Hancock Global Thematic Opportunities Fund Class C	Boston, MA	12/13/2018	849485-03	Filed
John Hancock Investment Trust John Hancock Global Thematic Opportunities Fund Class I	Boston, MA	12/13/2018	849482-03	Filed
John Hancock Investment Trust John Hancock Global Thematic Opportunities Fund Class R6	Boston, MA	12/13/2018	849483-03	Filed
PIMCO Flexible Credit Income Fund Class A	New York, NY	12/13/2018	849474-59	Filed
PIMCO Flexible Municipal Income Fund Institutional Class	New York, NY	12/13/2018	849475-59	Filed
Advisors Disciplined Trust 1908 (Bahl & Gaynor smig (Small/Mid Cap Income Growth) Portfolio, Series 2018-4Q)	Monument, CO	12/12/2018	849459-05	Filed
Advisors Disciplined Trust 1908 (Cohen & Steers Preferred Income Opportunities Portfolio, Series 2018-4Q)	Monument, CO	12/12/2018	849458-05	Filed
Advisors Disciplined Trust 1908 (Emerging Markets Dividend Portfolio, Series 2018-4Q)	Monument, CO	12/12/2018	849456-05	Filed
Advisors Disciplined Trust 1908 (High 50 Dividend Strategy Portfolio, Series 2018-4Q)	Monument, CO	12/12/2018	849455-05	Filed

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Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 1908 (Next Generation Portfolio, Series 2018-4Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	12/12/2018	849454-05	Filed
Advisors Disciplined Trust 1908 (Peroni Growth Portfolio, Winter 2019 Series)	Monument, CO	12/12/2018	849453-05	Filed
Advisors Disciplined Trust 1908 (REIT Portfolio, Series 2018-4Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	12/12/2018	849452-05	Filed
Advisors Disciplined Trust 1908 (The Dividend Income Value Strategy Portfolio, Series 2018-4Q)	Monument, CO	12/12/2018	849457-05	Filed
Advisors Disciplined Trust 1908 (Utilities Portfolio, Series 2018-4Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	12/12/2018	849451-05	Filed
Advisors Disciplined Trust 1909 (Q-GARP Portfolio, Series 2018-4Q)	Monument, CO	12/12/2018	849493-05	Filed
Advisors Disciplined Trust 1910 (Building America Opportunities Portfolio, Series 2018-2)	Monument, CO	12/12/2018	849494-05	Filed
Invesco Unit Trusts, Series 1933	Downers Grove, IL	12/12/2018	849445-05	Pending-Cleared
Invesco Unit Trusts, Series 1934	Downers Grove, IL	12/12/2018	849446-05	Pending-Cleared
Invesco Unit Trusts, Series 1935, Policy Opportunities Portfolio 2019-1	Downers Grove, IL	12/12/2018	849447-05	Pending-Cleared
Invesco Unit Trusts, Series 1936; Dividend Income & Value Portfolio 2019-1	Downers Grove, IL	12/12/2018	849448-05	Pending-Cleared
Invesco Unit Trusts, Series 1937	Downers Grove, IL	12/12/2018	849449-05	Pending-Cleared
Invesco Unit Trusts, Series 1938, Closed-End Strategy: Senior Loan and Limited Duration Portfolio 2019-1	Downers Grove, IL	12/12/2018	849450-05	Pending-Cleared
World Funds Trust Treador Select Fund Institutional Class	Richmond, VA	12/11/2018	849418-03	Filed
Coherix, Inc.	Ann Arbor, MI	12/10/2018	849419-25	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Fidelity Summer Street Trust Fidelity High Income Fund Fidelity Advisor High Income Fund - Class A	Boston, MA	12/10/2018	849412-03	Filed
Fidelity Summer Street Trust Fidelity High Income Fund Fidelity Advisor High Income Fund - Class C	Boston, MA	12/10/2018	849410-03	Filed
Fidelity Summer Street Trust Fidelity High Income Fund Fidelity Advisor High Income Fund - Class I	Boston, MA	12/10/2018	849411-03	Filed
Fidelity Summer Street Trust Fidelity High Income Fund Fidelity Advisor High Income Fund - Class M	Boston, MA	12/10/2018	849413-03	Filed
Fidelity Summer Street Trust Fidelity High Income Fund Fidelity Advisor High Income Fund - Class Z	Boston, MA	12/10/2018	849415-03	Filed
Fidelity Summer Street Trust Fidelity New Markets Income Fund Fidelity Advisor New Markets Income Fund - Class A	Boston, MA	12/10/2018	849406-03	Filed
Fidelity Summer Street Trust Fidelity New Markets Income Fund Fidelity Advisor New Markets Income Fund - Class C	Boston, MA	12/10/2018	849407-03	Filed
Fidelity Summer Street Trust Fidelity New Markets Income Fund Fidelity Advisor New Markets Income Fund - Class I	Boston, MA	12/10/2018	849408-03	Filed
Fidelity Summer Street Trust Fidelity New Markets Income Fund Fidelity Advisor New Markets Income Fund - Class M	Boston, MA	12/10/2018	849409-03	Filed
Fidelity Summer Street Trust Fidelity New Markets Income Fund Fidelity Advisor New Markets Income Fund - Class Z	Boston, MA	12/10/2018	849414-03	Filed
Guggenheim Defined Portfolios, Series 1838 (UBS Opportunistic Equity Income List: Equity Advisory Group 2018-4, Series 15)	Chicago, IL	12/10/2018	849416-05	Filed
Guggenheim Defined Portfolios, Series 1842 (Kensho Space Portfolio, Series 1)	Chicago, IL	12/10/2018	849417-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
RiverPark Funds Trust RiverPark Floating Rate CMBS Fund Retail Class Shares	New York, NY	12/10/2018	849405-03	Filed
Number of Investment Company Filings: 53				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Acreage FINCO B.C. Ltd.	New York, NY	12/14/2018	849554-07	Filed
AVF I LP	San Francisco, CA	12/14/2018	849544-07	Filed
Capital Funding Bancorp, Inc.	Baltimore, MD	12/14/2018	849551-07	Filed
CC - Tiger Global PIP XI, LP	Boulder, CO	12/14/2018	849545-07	Filed
Congruex LLC	Boulder, CO	12/14/2018	849542-07	Filed
Engaged Capital Flagship Fund, Ltd.	Newport Beach, CA	12/14/2018	849550-07	Filed
Fetch Rewards, Inc.	Madison, WI	12/14/2018	849546-07	Filed
GenoPalate, Inc.	Brookfield, WI	12/14/2018	849552-07	Filed
Highway 101 Spirits, Inc.	San Diego, CA	12/14/2018	849540-07	Filed
Knightscope, Inc.	Mountain View, CA	12/14/2018	849543-07	Filed
Midwest Growth Partners II, L.P.	Wdm, IA	12/14/2018	849541-07	Filed
Motley Fool Ventures LP	Alexandria, VA	12/14/2018	849547-07	Filed
Riverside Park, LLC	Lake Oswego, OR	12/14/2018	849548-07	Filed
Sun Capital Partners VII, L.P.	Boca Raton, FL	12/14/2018	849539-07	Filed
Wamba Video Game Partners, LLC	Las Vegas, NV	12/14/2018	849549-07	Filed
Warburg pincus Global Growth, L.P.	New York, NY	12/14/2018	849553-07	Filed
10,000 Days Fund, LP	New York, NY	12/13/2018	849490-07	Filed
Urbana Hotel, LLC	Neenah, WI	12/12/2018	849486-07	Filed
Number of Regulation D Notice Filings: 18				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
FIBRENEW USA, LTD. / FIBRENEW	Black Diamond, AB	12/14/2018	620353	Registered

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BATTLE HOUSE LASER COMBAT, INC. / BATTLE HOUSE	Wilmington, NC	12/14/2018	620351	Registered
Chatime USA, LLC / Chatime (Master Franchise)	Zhubei City, Hsinchu County,	12/14/2018	620352	Registered
TACTIC FRANCHISING, LLC / 100% CHIROPRACTIC	RANCHO SANTA FE, CA	12/14/2018	620350	Registered
CK Franchising, Inc. / Comfort Keepers	Irvine, CA	12/14/2018	620349	Registered
RRG Brands LLC / The Basement Doctor	Reynoldsburg, OH	12/13/2018	620348	Registered
SANDLER SYSTEMS INC / SANDLER TRAINING	Owings Mills, MD	12/13/2018	620347	Registered
SiempreTax, LLC / SiempreTax LLC	Virginia Beach, VA	12/12/2018	620346	Registered
Quick Lane Franchising LLC / Quick Lane, Quick Lane Tire & Auto Center	Dearborn, MI	12/12/2018	620344	Registered
JTH Tax, Inc. / Liberty Tax Service - Unit	Virginia Beach, VA	12/12/2018	620345	Registered
GLORIA JEAN'S GOURMET COFFEES FRANCHISING CORP. / Gloria Jean's Coffees	Santa Fe Springs, CA	12/12/2018	620343	Registered
RestorFX Automotive, Inc. / RestorFX	Bellingham, WA	12/11/2018	620339	Registered
D&D Beauty Care Company / Shapes Brow Bar and RMLA	Chicago, IL	12/10/2018	620342	Registered
Medi-Weightloss Franchising USA, LLC / Medi-Weightloss Business	Tampa, FL	12/10/2018	620336	Registered
Number of Franchise Filings: 14				