

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/2/2018 through 12/8/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7689 (Preferred Income Portfolio, Series 97)	Wheaton, IL	12/6/2018	849424-05	Filed
FT 7710 (Dow Target 10 Dec. '18 - Term 1/9/20)	Wheaton, IL	12/6/2018	849397-05	Filed
FT 7716 (AQA Large-Cap Portfolio Series)	Wheaton, IL	12/6/2018	849400-05	Filed
FT 7722 (Corporate Investment Grade Portfolio, 3-7 Year, Series 6)	Wheaton, IL	12/6/2018	849398-05	Filed
FT 7733 (Dividend Strength Opportunity Portfolio, Series 7)	Wheaton, IL	12/6/2018	849399-05	Filed
FT 7736 (Water Utility & Infrastructure Select Portfolio, Series 52)	Wheaton, IL	12/6/2018	849401-05	Filed
FT 7738 (Biotechnology Portfolio, Series 43)	Wheaton, IL	12/6/2018	849427-05	Filed
FT 7740 (High Dividend Equity Allocation Portfolio, Series 30)	Wheaton, IL	12/6/2018	849430-05	Filed
FT 7741 (REIT Growth & Income Select Portfolio, Series 48)	Wheaton, IL	12/6/2018	849426-05	Filed
FT 7742 (Strategic Dividend Select Portfolio, Series 35)	Wheaton, IL	12/6/2018	849444-05	Filed
FT 7746 (Market Strength Allocation Select Portfolio, Series 34)	Wheaton, IL	12/6/2018	849402-05	Filed
FT 7747 (Balanced Income Equity and ETF Opportunity Portfolio, Series 7)	Wheaton, IL	12/6/2018	849443-05	Filed
FT 7749 (Strategic Income Select Closed-End Portfolio, Series 37)	Wheaton, IL	12/6/2018	849428-05	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7751 (Dorsey Wright Relative Strength Dividend Portfolio, Series 33)	Wheaton, IL	12/6/2018	849403-05	Filed
FT 7752 (Equity Closed-End Portfolio, Series 51)	Wheaton, IL	12/6/2018	849436-05	Filed
FT 7753 (Content Leaders Opportunity Portfolio, Series 10)	Wheaton, IL	12/6/2018	849429-05	Filed
FT 7754 (Financials Opportunity Portfolio, Series 4)	Wheaton, IL	12/6/2018	849438-05	Filed
FT 7755 (International Capital Strength Opportunity Portfolio, Series 7)	Wheaton, IL	12/6/2018	849435-05	Filed
FT 7755 (SMid Capital Strength Opportunity Portfolio, Series 7)	Wheaton, IL	12/6/2018	849434-05	Filed
FT 7756 (Tactical Alpha Portfolio, Series 19)	Wheaton, IL	12/6/2018	849437-05	Filed
FT 7757 (Low Volatility Portfolio, Series 14)	Wheaton, IL	12/6/2018	849431-05	Filed
FT 7758 (Tactical Income Portfolio, Series 55)	Wheaton, IL	12/6/2018	849442-05	Filed
FT 7760 (Richard Bernstein Advisors Tactical Series, American Industrial Renaissance, 2018-3)	Wheaton, IL	12/6/2018	849433-05	Filed
FT 7761 (Aerospace & Defense Portfolio, Series 23)	Wheaton, IL	12/6/2018	849440-05	Filed
FT 7762 (Innovative Technology Portfolio, Series 21)	Wheaton, IL	12/6/2018	849423-05	Filed
FT 7763 (Mega-Cap Portfolio, Series 51)	Wheaton, IL	12/6/2018	849432-05	Filed
FT 7765 (Dow Jones Global Titans Portfolio, Series 26)	Wheaton, IL	12/6/2018	849421-05	Filed
FT 7767 (Interest Rate Hedge and ETF Portfolio, Series 23)	Wheaton, IL	12/6/2018	849422-05	Filed
FT 7772 (Municipal Advantage Closed-End and ETF Portfolio, Series 51)	Wheaton, IL	12/6/2018	849396-05	Filed
FT 7779 (Balanced Income Opportunity Portfolio, Series 8)	Wheaton, IL	12/6/2018	849404-05	Filed
FT 7781 (Alternative Income Portfolio, Series 31)	Wheaton, IL	12/6/2018	849439-05	Filed
FT 7791 (Strategic Income Advantage Select, Closed-End Portfolio, Series 80)	Wheaton, IL	12/6/2018	849441-05	Filed
FT 7795 (Tax-Advantaged Municipal Closed-End Portfolio, Series 55)	Wheaton, IL	12/6/2018	849425-05	Filed

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Firm Name	Location	Date Received	File Number	Status
Artisan Partners Funds, Inc. Artisan International Small-Mid Fund Advisor Shares	Milwaukee, WI	12/5/2018	849382-03	Pending-Cleared
CION Ares Diversified Credit Fund, Class W Shares	New York, NY	12/5/2018	849369-59	Filed
FundVantage Trust Gotham Enhanced S&P 500 Index Fund Institutional Class Shares	Wilmington, DE	12/5/2018	849371-03	Filed
FundVantage Trust Gotham Total Return Fund Investor Class Shares	Wilmington, DE	12/5/2018	849372-03	Filed
The Integrity Funds, Integrity Growth & Income Fund, Class I	Minot, ND	12/5/2018	849370-03	Filed
Guggenheim Funds Trust Guggenheim Ultra Short Duration Fund A Class	Rockville, MD	12/4/2018	849344-03	Pending-Cleared
Guggenheim Funds Trust Guggenheim Ultra Short Duration Fund Institutional Class	Rockville, MD	12/4/2018	849345-03	Pending-Cleared
Virtus Alternative Solutions Trust Virtus KAR Long/Short Equity Fund Class A	Greenfield, MA	12/4/2018	849337-03	Filed
Virtus Alternative Solutions Trust Virtus KAR Long/Short Equity Fund Class C	Greenfield, MA	12/4/2018	849338-03	Filed
Virtus Alternative Solutions Trust Virtus KAR Long/Short Equity Fund Class I	Greenfield, MA	12/4/2018	849339-03	Filed
Virtus Alternative Solutions Trust Virtus KAR Long/Short Equity Fund Class R6	Greenfield, MA	12/4/2018	849340-03	Filed
Wells Fargo Funds Trust Wells Fargo Alternative Risk Premia Fund Class R6	San Francisco, CA	12/4/2018	849342-03	Filed
Wells Fargo Funds Trust Wells Fargo Alternative Risk Premia Fund Institutional Class	San Francisco, CA	12/4/2018	849341-03	Filed
Williamsburg Investment Trust FBP Appreciation & Income Opportunities Fund	Cincinnati, OH	12/4/2018	849343-03	Filed
Advisors Disciplined Trust 1906 (Building America Strategy Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849380-05	Filed
Advisors Disciplined Trust 1906 (Core Angels Portfolio, Series 2018-2)	Monument, CO	12/3/2018	849384-05	Filed

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Firm Name	Location	Date Received	File Number	Status
Advisors Disciplined Trust 1906 (Ubiquitous Opportunities Portfolio, Series 2018-2)	Monument, CO	12/3/2018	849385-05	Filed
Advisors Disciplined Trust 1907 (American Renaissance Portfolio, Series 20118-4- A Cyrus J. Lawrence LLC ("CJL") Portfolio)	Monument, CO	12/3/2018	849379-05	Filed
Advisors Disciplined Trust 1907 (Business Development Company Opportunities Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849386-05	Filed
Advisors Disciplined Trust 1907 (Cohen & Steers Convertible and Income Closed-End Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849387-05	Filed
Advisors Disciplined Trust 1907 (Cohen & Steers MLP, Midstream & Energy Income Closed-End Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849388-05	Filed
Advisors Disciplined Trust 1907 (Cohen & Steers Municipal Closed-End Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849389-05	Filed
Advisors Disciplined Trust 1907 (Cohen & Steers Senior Variable Rate and Income Closed-End Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849383-05	Filed
Advisors Disciplined Trust 1907 (Global Basic Materials Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849390-05	Filed
Advisors Disciplined Trust 1907 (Health Care Opportunities Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849391-05	Pending-Cleared
Advisors Disciplined Trust 1907 (Small/Mid-Cap Advantage Portfolio, Series 2018-4)	Monument, CO	12/3/2018	849392-05	Filed
Advisors Disciplined Trust 1923 (Kames Sustainable Equity Portfolio, Series 2018-4Q)	Monument, CO	12/3/2018	849374-05	Filed
Advisors Series Trust, PIA High Yield (MACS) Fund	Milwaukee, WI	12/3/2018	849373-03	Filed
Columbia Funds Series Trust I Columbia Ultra Short Term Bond Fund Advisor	Boston, MA	12/3/2018	849325-03	Filed
Columbia Funds Series Trust I Columbia Ultra Short Term Bond Fund Insitutional	Boston, MA	12/3/2018	849326-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY SELECT PORTFOLIOS Communication Services Portfolio Fidelity Advisor Communication Services Fund - Class A	Boston, MA	12/3/2018	849332-03	Filed
FIDELITY SELECT PORTFOLIOS Communication Services Portfolio Fidelity Advisor Communication Services Fund - Class C	Boston, MA	12/3/2018	849333-03	Filed
FIDELITY SELECT PORTFOLIOS Communication Services Portfolio Fidelity Advisor Communication Services Fund - Class I	Boston, MA	12/3/2018	849335-03	Filed
FIDELITY SELECT PORTFOLIOS Communication Services Portfolio Fidelity Advisor Communication Services Fund - Class M	Boston, MA	12/3/2018	849334-03	Filed
FIDELITY SELECT PORTFOLIOS Communication Services Portfolio Fidelity Advisor Communication Services Fund - Class Z	Boston, MA	12/3/2018	849336-03	Filed
Guggenheim Defined Portfolios, Series 1834 (Discount Opportunity Strategy Portfolio of CEFs, Series 15)	Chicago, IL	12/3/2018	849381-05	Filed
Guggenheim Defined Portfolios, Series 1834 (Equity & Income Portfolio of CEFs, Series 45)	Chicago, IL	12/3/2018	849378-05	Filed
Guggenheim Defined Portfolios, Series 1835 (covered Call & Income Portfolio of CEFs, Series 42)	Chicago, IL	12/3/2018	849375-05	Filed
Guggenheim Defined Portfolios, Series 1836 (Income & Treasury Limited Duration Portfolio of Funds, Series 58)	Chicago, IL	12/3/2018	849376-05	Filed
Guggenheim Defined Portfolios, Series 1837 (Diversified Income Wave Portfolio, Series 71)	Chicago, IL	12/3/2018	849377-05	Filed
Managed Account Series BlackRock GA Disciplined Volatility Equity Fund Institutional	Wilmington, DE	12/3/2018	849327-03	Filed
Managed Account Series BlackRock GA Enhanced Equity Fund Institutional	Wilmington, DE	12/3/2018	849328-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Northern Lights Fund Trust Ascendant Tactical Yield Fund Class I	Omaha, NE	12/3/2018	849329-03	Filed
Shelton Funds Nasdaq-100 Index Fund K Shares	Denver, CO	12/3/2018	849330-03	Filed
Shelton Funds S&P 500 Index Fund Direct Shares	Denver, CO	12/3/2018	849331-03	Filed
Number of Investment Company Filings: 78				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Boise Downtown Lodging Associates, LLC	Middleton, WI	12/6/2018	849420-07	Filed
Authorized Luxury Group, Inc.	Newark, NJ	12/5/2018	849468-07	Filed
CPG Vintage Access Fund II, LLC	New York, NY	12/5/2018	849464-07	Filed
FMR LLC	Boston, MA	12/5/2018	849461-07	Filed
GLL Health Sciences Fund, LLC	Chicago, IL	12/5/2018	849466-07	Filed
Golf Life Enterprises, LLC	Naples, FL	12/5/2018	849463-07	Filed
Hudson Capital LLC	Fe Warren Afb, WY	12/5/2018	849471-07	Filed
LeaseLock, Inc.	Marina DI Rey, CA	12/5/2018	849462-07	Filed
Paperg, Inc	San Francisco, CA	12/5/2018	849460-07	Filed
Parkside Covered Call Fund, LP	Bannockburn, IL	12/5/2018	849472-07	Filed
Shorenstein Realty Investors Twelve, L.P.	San Francisco, CA	12/5/2018	849465-07	Filed
Spendindie, Inc.	Raleigh, NC	12/5/2018	849469-07	Filed
Staton Techiya, LLC	Delray Beach, FL	12/5/2018	849473-07	Filed
Titan Spine, Inc.	Mequon, WI	12/5/2018	849467-07	Filed
Treyburn Project, LLC	Durham, NC	12/5/2018	849470-07	Filed
Whizdotai, Inc.	Edison, NJ	12/5/2018	849395-07	Filed
Number of Regulation D Notice Filings: 16				

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Maids International, Inc. / The Maids	Omaha, NE	12/6/2018	620333	Registered
Challenge Island Global, LLC / Challenge Island	Marietta, GA	12/5/2018	620332	Registered
OFW Brands LLC / Patrick Walters	Waukesha, WI	12/4/2018	620330	Registered
Frontier Adjusters, Inc.	Phoenix, AZ	12/3/2018	620324	Registered
MMI-CPR, LLC / Cell Phone Repair (Area Rep)	Independence, OH	12/3/2018	620323	Registered
Number of Franchise Filings: 5				