

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/18/2018 through 11/24/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Calvary Chapel Newport News Newport News Virginia	Newport News, VA	11/23/2018	849252-08	Not Disallowed
National Rural Utilities Cooperative Finance Corporation	Dulles, VA	11/21/2018	849283-08	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Guggenheim Defined Portfolios Series 1830 Dividend Strength Portfolio Series 32	Chicago, IL	11/23/2018	849250-05	Filed
Guggenheim Defined Portfolios Series 1830 Emerging Markets Dividend Strategy Portfolio Series 28	Chicago, IL	11/23/2018	849248-05	Filed
Guggenheim Defined Portfolios Series 1830 Undervalued Top Picks Portfolio Series 19	Chicago, IL	11/23/2018	849251-05	Filed
Guggenheim Defined Portfolios Series 1831 Total Income Portfolio Series 15	Chicago, IL	11/23/2018	849247-05	Filed
Guggenheim Defined Portfolios Series 1832 NDR Thematic Opportunities Portfolio Series 6	Chicago, IL	11/23/2018	849249-05	Filed
BlackRock Funds iShares Municipal Bond Index Fund Class K Shares	Wilmington, DE	11/20/2018	849235-03	Filed
BlackRock Funds iShares Municipal Bond Index Fund Institutional Shares	Wilmington, DE	11/20/2018	849233-03	Filed
BlackRock Funds iShares Municipal Bond Index Fund Investor A Shares	Wilmington, DE	11/20/2018	849234-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BlackRock Funds iShares Municipal Bond Index Fund Investor P Shares	Wilmington, DE	11/20/2018	849236-03	Filed
Ultimus Managers Trust Wavelength Interest Rate Neutral Fund	Cincinnati, OH	11/20/2018	849237-03	Filed
Advisors Disciplined Trust 1927 (Transformers Opportunities Portfolio, Series 2018-4Q)	Monument, CO	11/19/2018	849239-05	Filed
Allianz Funds Multi-Strategy Trust AllianzGI Green Bond Fund Class A	New York, NY	11/19/2018	849215-03	Filed
Allianz Funds Multi-Strategy Trust AllianzGI Green Bond Fund Class P	New York, NY	11/19/2018	849217-03	Filed
Allianz Funds Multi-Strategy Trust AllianzGI Green Bond Fund Institutional Class	New York, NY	11/19/2018	849216-03	Filed
FT 7696 (Sabrient Baker's Dozen Portfolio, November 2018 Series)	Wheaton, IL	11/19/2018	849241-05	Filed
FT 7698 (Cloud Computing Portfolio, Series 23)	Wheaton, IL	11/19/2018	849246-05	Filed
FT 7699 (Strategic Income Closed-End Portfolio, Series 69)	Wheaton, IL	11/19/2018	849245-05	Filed
FT 7700 (Banking Opportunity Portfolio, Series 32)	Wheaton, IL	11/19/2018	849279-05	Filed
FT 7701 (Capital Strength Opportunity Portfolio, Series 7)	Wheaton, IL	11/19/2018	849243-05	Filed
FT 7702 (Cybersecurity Portfolio, Series 14)	Wheaton, IL	11/19/2018	849281-05	Filed
FT 7703 (Defensive Equity Portfolio, Series 47)	Wheaton, IL	11/19/2018	849244-05	Filed
FT 7704 (Sabrient Defensive Equity Portfolio, Series 18)	Wheaton, IL	11/19/2018	849275-05	Filed
FT 7717 (U.S. Infrastructure Portfolio, Series 16)	Wheaton, IL	11/19/2018	849276-05	Filed
FT 7727 (Limited Duration Fixed Income ETF Portfolio, Series 27)	Wheaton, IL	11/19/2018	849242-05	Filed
FT 7728 (Senior Loan and Dividend Growers Portfolio, Series 21)	Wheaton, IL	11/19/2018	849277-05	Filed
FT 7729 (Senior Loan & Limited Duration Closed-End Portfolio, Series 85)	Wheaton, IL	11/19/2018	849278-05	Filed
FT 7730 (January Effect Portfolio, Series 8)	Wheaton, IL	11/19/2018	849272-05	Filed

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Firm Name	Location	Date Received	File Number	Status
FT 7731 (Covered Select Closed-End Portfolio, Series 68)	Wheaton, IL	11/19/2018	849269-05	Filed
FT 7732 (Cyclical Strength Portfolio, Series 24)	Wheaton, IL	11/19/2018	849273-05	Filed
FT 7734 (Dorsey Wright Relative Strength Top 50, Series 33)	Wheaton, IL	11/19/2018	849271-05	Pending-Cleared
FT 7735 (Energy Select Portfolio, Series 70)	Wheaton, IL	11/19/2018	849267-05	Pending-Cleared
FT 7739 (Balanced Income Select Portfolio, Series 79)	Wheaton, IL	11/19/2018	849240-05	Filed
FT 7744 (Capital Strength Portfolio, Series 45)	Wheaton, IL	11/19/2018	849265-05	Pending-Cleared
FT 7745 (Market Strength Allocation Opportunity Portfolio, Series 7)	Wheaton, IL	11/19/2018	849266-05	Pending-Cleared
FT 7759 (Interest Rate Hedge Portfolio, Series 121)	Wheaton, IL	11/19/2018	849282-05	Filed
FT 7776 (Senior Loan Select Closed-End Portfolio, Series 35)	Wheaton, IL	11/19/2018	849280-05	Filed
FT 7777 (Strategic Income Advantage Opportunity, Closed-End Portfolio, Series 11)	Wheaton, IL	11/19/2018	849268-05	Filed
FT 7778 (U.S. Equity Closed-End Portfolio, Series 29)	Wheaton, IL	11/19/2018	849274-05	Filed
FT 7780 (Interest Rate Hedge Opportunity Portfolio, Series 9)	Wheaton, IL	11/19/2018	849270-05	Filed
Great-West Funds, Inc. Great-West Emerging Markets Equity Fund Class L	Greenwood Village, CO	11/19/2018	849210-03	Filed
Great-West Funds, Inc. Great-West Emerging Markets Equity Fund Institutional Class	Greenwood Village, CO	11/19/2018	849208-03	Filed
Great-West Funds, Inc. Great-West Emerging Markets Equity Fund Investor Class	Greenwood Village, CO	11/19/2018	849212-03	Filed
Great-West Funds, Inc. Great-West Inflation-Protected Securities Fund Class L	Greenwood Village, CO	11/19/2018	849209-03	Filed
Great-West Funds, Inc. Great-West Inflation-Protected Securities Fund Institutional Class	Greenwood Village, CO	11/19/2018	849207-03	Filed
Great-West Funds, Inc. Great-West Inflation-Protected Securities Fund Investor Class	Greenwood Village, CO	11/19/2018	849211-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Nuveen Investment Trust V Nuveen Gresham Managed Futures Strategy Fund Class A	Chicago, IL	11/19/2018	849193-03	Filed
Nuveen Investment Trust V Nuveen Gresham Managed Futures Strategy Fund Class C	Chicago, IL	11/19/2018	849194-03	Filed
Nuveen Investment Trust V Nuveen Gresham Managed Futures Strategy Fund Class I	Chicago, IL	11/19/2018	849196-03	Filed
Nuveen Investment Trust V Nuveen Gresham Managed Futures Strategy Fund Class R6	Chicago, IL	11/19/2018	849195-03	Filed
T. Rowe Price International Funds, Inc. T. Rowe Price Dynamic Credit Fund T. Rowe Price Dynamic Credit Fund - I Class	Baltimore, MD	11/19/2018	849214-03	Filed
T. Rowe Price International Funds, Inc. T. Rowe Price Dynamic Credit Fund T. Rowe Price Dynamic Credit Fund - Investor Class	Baltimore, MD	11/19/2018	849213-03	Filed
TIAA-CREF Funds TIAA-CREF Green Bond Fund Advisor Class	New York, NY	11/19/2018	849197-03	Filed
TIAA-CREF Funds TIAA-CREF Green Bond Fund Institutional Class	New York, NY	11/19/2018	849198-03	Filed
TIAA-CREF Funds TIAA-CREF Green Bond Fund Premier Class	New York, NY	11/19/2018	849199-03	Filed
TIAA-CREF Funds TIAA-CREF Green Bond Fund Retail Class	New York, NY	11/19/2018	849205-03	Filed
TIAA-CREF Funds TIAA-CREF Green Bond Fund Retirement Class	New York, NY	11/19/2018	849201-03	Filed
TIAA-CREF Funds TIAA-CREF Short Duration Impact Bond Fund Advisor Class	New York, NY	11/19/2018	849203-03	Filed
TIAA-CREF Funds TIAA-CREF Short Duration Impact Bond Fund Institutional Class	New York, NY	11/19/2018	849200-03	Filed
TIAA-CREF Funds TIAA-CREF Short Duration Impact Bond Fund Premier Class	New York, NY	11/19/2018	849202-03	Filed
TIAA-CREF Funds TIAA-CREF Short Duration Impact Bond Fund Retail Class	New York, NY	11/19/2018	849206-03	Filed

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TIAA-CREF Funds TIAA-CREF Short Duration Impact Bond Fund Retirement Class	New York, NY	11/19/2018	849204-03	Filed
Number of Investment Company Filings: 61				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Geneva Capital LLC	Alexandria, MN	11/19/2018	849238-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Engage and Grow Global Pty Ltd / Engage & Grow	Las Vegas, NV	11/21/2018	620297	Registered
Pie Five Pizza Company, Inc. / Pie Five Restaurants (Consolidated Program)	The Colony, TX	11/20/2018	620296	Registered
Cereset, LLC / Cereset	Scottsdale, AZ	11/20/2018	620295	Registered
Cruisin' Tikis International, Inc. / Cruisin' Tikis	Fort Lauderdale, FL	11/20/2018	620292	Registered
Number of Franchise Filings: 4				