

**WI Securities Law and Franchise Law Filings  
for 11/4/2018 through 11/10/2018**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Investment Managers Series Trust EuroPac Gold Fund Class I	Milwaukee, WI	11/9/2018	849146-03	Pending-Cleared
Investment Managers Series Trust II Cannabis Growth Fund Investor Class	MILWAUKEE, WI	11/6/2018	849100-03	Filed
<b>Number of Investment Company Filings: 2</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Imbed Biosciences, Inc	Fitchburg, WI	11/9/2018	849188-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				