

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/4/2018 through 11/10/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Nokomis Wenonah LLC	Minneapolis, MN	11/8/2018	849107-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Advisors Disciplined Trust 1903 (Advisors Core Equity Strategy Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849149-05	Filed
Advisors Disciplined Trust 1903 (Bahl & Gaynor Income Growth Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849150-05	Filed
Advisors Disciplined Trust 1903 (Blue Chip Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849151-05	Filed
Advisors Disciplined Trust 1903 (Dividend Advantage Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849152-05	Filed
Advisors Disciplined Trust 1903 (Dividend Sustainability Portfolio, Series 2018-4Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	11/9/2018	849153-05	Filed
Advisors Disciplined Trust 1903 (Energy Opportunities Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849155-05	Filed
Advisors Disciplined Trust 1903 (The Dow Value Ten Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849154-05	Filed
Advisors Disciplined Trust 1904 (European Select Portfolio, Series 2018-4Q - A Cyrus J. Lawrence LLC ("CJL") Portfolio)	Monument, CO	11/9/2018	849148-05	Filed
Advisors Disciplined Trust 1904 (International High 30 Dividend Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849156-05	Filed

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Advisors Disciplined Trust 1904 (Minimum Volatility Equity Income Portfolio, Series 2018-4Q - A Hartford Investment Management Company ("HIMCO") Portfolio)	Monument, CO	11/9/2018	849157-05	Filed
Advisors Disciplined Trust 1904 (Strategic Foundations of Growth Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849158-05	Filed
Advisors Disciplined Trust 1904 (Strategic High 80 Dividend Portfolio, Series 2018-4Q)	Monument, CO	11/9/2018	849161-05	Filed
Advisors Disciplined Trust 1904 (Tactical Plus Closed-End Portfolio - 15 Month, Series 2018-4Q)	Monument, CO	11/9/2018	849160-05	Filed
Advisors Disciplined Trust 1904 (Ubiquitous Strategy Portfolio - 15 Month, Series 2018-4Q)	Monument, CO	11/9/2018	849159-05	Filed
Advisors Disciplined Trust 1911 (Advisors Corporate Trust - Navellier/Dial High Income Opportunities Portfolio, Series 130)	Monument, CO	11/9/2018	849136-05	Filed
Destra International & Event-Driven Credit Fund Class A Shares	Chicago, IL	11/9/2018	849143-59	Pending-Cleared
Destra International & Event-Driven Credit Fund Class L Shares	Chicago, IL	11/9/2018	849144-59	Pending-Cleared
Destra International & Event-Driven Credit Fund Class T Shares	Chicago, IL	11/9/2018	849145-59	Pending-Cleared
Guggenheim Defined Portfolios, Series 1825 (Flaherty & Crumrine Preferred Portfolio, Series 47)	Chicago, IL	11/9/2018	849147-05	Filed
Guggenheim Defined Portfolios, Series 1826 (Zacks Income Advantage Strategy Portfolio, Series 44)	Chicago, IL	11/9/2018	849138-05	Filed
Guggenheim Defined Portfolios, Series 1827 (Blue Chip Growth Portfolio, Series 20)	Chicago, IL	11/9/2018	849135-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 1827 (Dow Jones Sustainable Growth Portfolio, Series 5)	Chicago, IL	11/9/2018	849133-05	Pending-Cleared

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Guggenheim Defined Portfolios, Series 1827 (US Capital Strength Portfolio, Series 17)	Chicago, IL	11/9/2018	849134-05	Pending-Cleared
Guggenheim Defined Portfolios, Series 1828 (European Capital Strength Portfolio, Series 17)	Chicago, IL	11/9/2018	849139-05	Filed
Guggenheim Defined Portfolios, Series 1829 (Core Four 60/40 Retirement Portfolio, Series 5)	Chicago, IL	11/9/2018	849140-05	Filed
Guggenheim Defined Portfolios, Series 1833 (Quality Quantamental Portfolio, Series 1)	Chicago, IL	11/9/2018	849137-05	Filed
Investment Managers Series Trust EuroPac Gold Fund Class I	Milwaukee, WI	11/9/2018	849146-03	Pending-Cleared
Vanguard Charlotte Funds Vanguard Global Credit Bond Fund Admiral Shares	Valley Forge, PA	11/9/2018	849142-03	Filed
Vanguard Charlotte Funds Vanguard Global Credit Bond Fund Investor Shares	Valley Forge, PA	11/9/2018	849141-03	Filed
INvesco Unit Trusts Series 1932 Dividend Sustainability Buy-Write Portfolio 2018-4	Downers Grove, IL	11/7/2018	849101-05	Filed
Invesco Unit Trusts, Series 1922	Downers Grove, IL	11/7/2018	849106-05	Pending-Cleared
Invesco Unit Trusts, Series 1925	Downers Grove, IL	11/7/2018	849105-05	Pending-Cleared
Invesco Unit Trusts, Series 1927	Downers Grove, IL	11/7/2018	849104-05	Pending-Cleared
Invesco Unit Trusts, Series 1930	Downers Grove, IL	11/7/2018	849103-05	Pending-Cleared
Invesco Unit Trusts, Series 1931	Downers Grove, IL	11/7/2018	849102-05	Pending-Cleared
Touchstone Strategic Trust Touchstone Balanced Fund Class Y	Cincinnati, OH	11/7/2018	849099-03	Filed
Investment Managers Series Trust II Cannabis Growth Fund Investor Class	MILWAUKEE, WI	11/6/2018	849100-03	Filed
PPM Funds PPM Large Cap Value Fund Institutional Shares	Chicago, IL	11/6/2018	849097-03	Filed
PPM Funds PPM Small Cap Value Fund Institutional Shares	Chicago, IL	11/6/2018	849096-03	Filed
World Funds Trust CBOE Vest S&P 500 Buffer Strategy Fund Class C Shares	Richmond, VA	11/6/2018	849098-03	Filed
<b>Number of Investment Company Filings: 40</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Boink Live Streaming Corp.	New York, NY	11/9/2018	849183-07	Filed
CF Star Multifamily Portfolio DST	New York, NY	11/9/2018	849176-07	Filed
Cove Debt Free Maryland Medical DST	Rolling Hills Estates, CA	11/9/2018	849179-07	Filed
Des Moines Investors, LLC	Chicago, IL	11/9/2018	849178-07	Filed
Imbed Biosciences, Inc	Fitchburg, WI	11/9/2018	849188-07	Filed
Iroquois Valley Farmland REIT, PBC	Evanston, IL	11/9/2018	849185-07	Filed
MVP LS Fund LXXXI LLC	Austin, TX	11/9/2018	849190-07	Filed
Owl Rock Technology Finance Corp.	New York, NY	11/9/2018	849182-07	Filed
REVA Kay Universal DST	Richmond, VA	11/9/2018	849177-07	Filed
Silverpeak Credit Opportunities Offshore Fund LP	New York, NY	11/9/2018	849175-07	Filed
Structural Elements Franchising, LLC	Hagerstown, MD	11/9/2018	849181-07	Filed
SW Property, LLC	Vero Beach, FL	11/9/2018	849180-07	Filed
Tidal Petroleum Inc	Schertz, TX	11/9/2018	849189-07	Filed
Tides lakeside Manager, LLC	Los Angeles, CA	11/9/2018	849186-07	Filed
Tiger Global Pip XI PS EPQ LLC	San Francisco, CA	11/9/2018	849184-07	Filed
Tiger Global Private Investment Partners XI, LP	New York, NY	11/9/2018	849187-07	Filed
<b>Number of Regulation D Notice Filings: 16</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
American Immigration Center Franchise LLC / American Immigration Center	Arcadia, CA	11/9/2018	620267	Registered
STG Brand Ambassador Franchising, LLC / STG Brand Ambassador	Cincinnati, OH	11/9/2018	620265	Registered
Hands-On Diagnostics Franchising, LLC / Hands-On Diagnostic Centers	Roslyn Heights, NY	11/9/2018	620263	Registered
G.J. Gardner Homes (USA) PTY LTD / G.J. Gardner Homes	Mooloolaba,	11/8/2018	620262	Registered
Wetzel's Pretzels, LLC	Pasadena, CA	11/8/2018	620261	Registered
Fitness 1440, Inc. / Fitness 1440	Murrysville, PA	11/8/2018	620259	Registered

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Tumbles LLC / Tumbles	Houston, TX	11/6/2018	620258	Registered
Dough Boyz Franchise, LLC / Dough Life	Depew, NY	11/6/2018	620256	Registered
WINZER FRANCHISE CO INC / WINZER	Plano, TX	11/6/2018	620254	Registered
HardHatRentals.com Franchising Inc. / HardHatRentals.com	Calgary, AB	11/6/2018	620253	Registered
<b>Number of Franchise Filings: 10</b>				