

**WI Securities Law and Franchise Law Filings
for 10/28/2018 through 11/3/2018**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Baird Funds Inc. Baird Small/Mid Cap Growth Fund Institutional Class	MILWAUKEE, WI	10/31/2018	849068-03	Pending-Cleared
Baird Funds Inc. Baird Small/Mid Cap Growth Fund Investor Class	MILWAUKEE, WI	10/31/2018	849067-03	Pending-Cleared
Brown Advisory Funds Brown Advisory Global Leaders Fund Institutional Class	MILWAUKEE, WI	10/31/2018	849069-03	Filed
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EatStreet, Inc.	Madison, WI	11/2/2018	849127-07	Filed
Invenra Inc.	Madison, WI	11/2/2018	849108-07	Filed
MLG Private Fund IV LLC	Brookfield, WI	11/2/2018	849119-07	Filed
Number of Regulation D Notice Filings: 3				