

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/21/2018 through 10/27/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Owl Rock Capital Technologies Holdings LLC	New York, NY	10/24/2018	848986-23	Pending
Lightstone Value Plus Real Estate Investment Trust, Inc.	Lakewood, NJ	10/22/2018	848949-08	Not Disallowed
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Guggenheim Defined Portfolios, Series 1818, (Floating Rate & Dividend Growth Portfolio, Series 18)	Chicago, IL	10/26/2018	849015-05	Filed
Smart Trust 402 (Smart Trust, Tax Free Income Trust, Series 30)	Parsippany, NJ	10/26/2018	849016-05	Filed
AQR Funds AQR Volatility Risk Premium Fund Class I	Greenwich, CT	10/25/2018	848967-03	Filed
AQR Funds AQR Volatility Risk Premium Fund Class N	Greenwich, CT	10/25/2018	848968-03	Filed
AQR Funds AQR Volatility Risk Premium Fund Class R6	Greenwich, CT	10/25/2018	848969-03	Filed
Fidelity Salem Street Trust Fidelity SAI Total Bond Fund Fidelity SAI Total Bond Fund	Boston, MA	10/24/2018	848965-03	Filed
Advisors Disciplined Trust 1905 (UBS Longer Term Investments Series - Environmental Trends Portfolio, Series 2018-4)	Monument, CO	10/23/2018	848957-05	Filed
Advisors Disciplined Trust 1905 (UBS Longer Term Investments Series - Healthcare Trends Portfolio, Series 2018-4)	Monument, CO	10/23/2018	848960-05	Filed

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Advisors Disciplined Trust 1905 (UBS Longer Term Investments Series - Retirement Trends Portfolio, Series 2018-4)	Monument, CO	10/23/2018	848958-05	Filed
Advisors Disciplined Trust 1905 (UBS Longer Term Investments Series - Secular Technology Trends Portfolio, Series 2018-4)	Monument, CO	10/23/2018	848961-05	Filed
Denim.LA, Inc.	W Hollywood, CA	10/23/2018	848989-25	Filed
Smart Trust 401 (Smart Trust, Dynamic Sector Income Trust, Series 22)	Parsippany, NJ	10/23/2018	848959-05	Filed
StartEngine Crowdfunding, Inc.	Los Angeles, CA	10/23/2018	848988-25	Filed
American Beacon Funds American Beacon TwentyFour Strategic Income Fund A Class	Irving, TX	10/22/2018	848951-03	Filed
American Beacon Funds American Beacon TwentyFour Strategic Income Fund C Class	Irving, TX	10/22/2018	848950-03	Filed
<b>Number of Investment Company Filings: 15</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
10 Federal Self Storage Acquisition Co 1, LLC	Raleigh, NC	10/26/2018	849043-07	Filed
AB Private Credit Investors Middle Market Direct Lending Fund II, L.P.	New York, NY	10/26/2018	849058-07	Filed
Abom, Inc.	Portland, OR	10/26/2018	849055-07	Filed
ArcLine Alternatives Emso Long/Short Credit Fund LLC	Wilmington, DE	10/26/2018	849047-07	Filed
ArcLine Alternatives TOPS Long/Short Equity Fund LLC	Wilmington, DE	10/26/2018	849048-07	Filed
Bailard Real Estate Investment Trust, Inc.	Foster City, CA	10/26/2018	849060-07	Filed
Bitwise 10 Private Index Fund, LLC	San Francisco, CA	10/26/2018	849049-07	Filed
Buyout Core Fund VIII, L.P.	Chicago, IL	10/26/2018	849054-07	Filed
CS1031 Ivy Commons Apartments, DST	Glen Allen, VA	10/26/2018	849057-07	Filed
EDGE Surgical, Inc.	Chicago, IL	10/26/2018	849061-07	Filed
Fission 3.0 Corp.	Kelowna, BC	10/26/2018	849053-07	Filed

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Gryphon North Georgia Investors, LLC	Suwanee, GA	10/26/2018	849059-07	Filed
NuCurrent, Inc.	Chicago, IL	10/26/2018	849050-07	Filed
Plum Apt Venture, LLC	Northridge, CA	10/26/2018	849046-07	Filed
SciArt Software, Inc.	Madison, WI	10/26/2018	849056-07	Filed
Star Mountain Diversified Credit Income Fund III, LP	New York, NY	10/26/2018	849051-07	Filed
Understory, Inc.	Madison, WI	10/26/2018	849044-07	Filed
Updata Partners VI, L.P.	Washington, DC	10/26/2018	849062-07	Filed
Velanne Global Equity Fund	New York, NY	10/26/2018	849045-07	Filed
Zev Ventures Inc.	Sunnyvale, CA	10/26/2018	849052-07	Filed
Viridian Members Fund NY I, L.P.	New York, NY	10/22/2018	848954-07	Filed
<b>Number of Regulation D Notice Filings: 21</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AGILE PURSUITS FRANCHISING, INC / Tide Dry Cleaners	CINCINNATI, OH	10/26/2018	620223	Registered
FLOWERAMA OF AMERICA INC / FLOWERAMA - Co-brand	Cedar Falls, IA	10/25/2018	620221	Registered
ADVENT Americas, LLC / ADVENT	Mequon, WI	10/25/2018	620219	Registered
Bubbakoo's Franchise Systems, LLC / Bubbakoo's Burritos	Wall, NJ	10/25/2018	620215	Registered
FLOWERAMA OF AMERICA, INC / FLOWERAMA	Cedar Falls, IA	10/24/2018	620213	Registered
Phenix Salon Suites Franchising, LLC / Phenix Salon Suites	Encinitas, CA	10/24/2018	620212	Registered
THE COFFEE BEANERY LTD / COFFEE BEANERY	Flushing, MI	10/22/2018	620208	Registered
<b>Number of Franchise Filings: 7</b>				