

**WI Securities Law and Franchise Law Filings
for 9/23/2018 through 9/29/2018**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Manager Directed Portfolios Argent Small Cap Fund Institutional Shares	MILWAUKEE, WI	9/28/2018	848963-03	Filed
Manager Directed Portfolios iM Dolan McEniry Corporate Bond Fund Institutional Shares	MILWAUKEE, WI	9/28/2018	848962-03	Filed
Nationwide Mutual Funds Nationwide Dynamic U.S. Growth Fund Eagle Class	MILWAUKEE, WI	9/28/2018	848964-03	Filed
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Dream City Music Inc.	Milwaukee, WI	9/28/2018	848911-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
iCombat Franchising, LLC / iCombat	Waukesha, WI	9/24/2018	620105	Registered
Number of Franchise Filings: 1				