

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/9/2018 through 9/15/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
A&Q MASTERS FUND	STAMFORD, CT	9/14/2018	00846943-01	Registered
TEB BANCORP INC	WAUWATOSA, WI	9/14/2018	00846944-01	Pending-Cleared
ALLIANCE DEVELOPMENT FUND INC	COLORADO SPRINGS, CO	9/13/2018	00848007-02	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MFS SERIES TR I MFS US GOVERNMENT CASH RESERVE FUND CLASS I SHARES	BOSTON, MA	9/14/2018	00847097-03	Registered
MFS SERIES TR I MFS US GOVERNMENT CASH RESERVE FUND CLASS R6 SHARES	BOSTON, MA	9/14/2018	00847098-03	Registered
ADVISORS DISCIPLINED TR 1893 BAHL & GAYNOR SMIG SMALL MID CAP INCOME GROWTH PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	9/13/2018	00846931-05	Registered
ADVISORS DISCIPLINED TR 1893 COHEN & STEERS PREFERRED INCOME OPPORTUNITIES PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	9/13/2018	00846937-05	Registered
ADVISORS DISCIPLINED TR 1893 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	9/13/2018	00846938-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1893 EMERGING MARKETS DIVIDEND PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	9/13/2018	00846939-05	Registered
ADVISORS DISCIPLINED TR 1893 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	9/13/2018	00846940-05	Registered
ADVISORS DISCIPLINED TR 1893 PERONI GROWTH PORTFOLIO AUTUMN 2018 SERIES	MONUMENT, CO	9/13/2018	00846930-05	Registered
ADVISORS DISCIPLINED TR 1893 REIT PORTFOLIO SERIES 2018 3Q HARTFORD INVESTMENT MANAGEMENT CO HIMCO PORTFOLIO	MONUMENT, CO	9/13/2018	00846941-05	Registered
ADVISORS DISCIPLINED TR 1893 UTILITIES PORTFOLIO SERIES 2018 3Q HARTFORD INVESTMENT MANAGEMENT CO HIMCO PORTFOLIO	MONUMENT, CO	9/13/2018	00846942-05	Registered
CAPITOL SERIES TR FULLER & THALER BEHAVIORAL MID CAP VALUE FUND INVESTOR SHARES	INDIANAPOLIS, IN	9/13/2018	00846948-03	Registered
FIDELITY CONCORD STREET TR FIDELITY ZERO EXTENDED MARKET INDEX FUND FIDELITY ZERO EXTENDED MARKET INDEX FUND	BOSTON, MA	9/13/2018	00846782-03	Registered
FIDELITY CONCORD STREET TR FIDELITY ZERO LARGE CAP INDEX FUND FIDELITY ZERO LARGE CAP INDEX FUND	BOSTON, MA	9/13/2018	00846783-03	Registered
FT 7564 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 32	WHEATON, IL	9/13/2018	00848010-05	Registered
FT 7591 STRATEGIC INCOME ADVANTAGE OPPORTUNITY CLOSED END PORTFOLIO SERIES 9	WHEATON, IL	9/13/2018	00848008-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 7638 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 35	WHEATON, IL	9/13/2018	00848009-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1802 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 34	CHICAGO, IL	9/13/2018	00846936-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1802 GLOBAL 100 DIVIDEND STRATEGY PORTFOLIO SERIES 16	CHICAGO, IL	9/13/2018	00846935-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1802 TECHNOLOGICAL INNOVATION PORTFOLIO SERIES 12	CHICAGO, IL	9/13/2018	00846932-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1803 BDC SCORECARD PORTFOLIO SERIES 13	CHICAGO, IL	9/13/2018	00846934-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1804 EUROPEAN HIGH DIVIDEND PORTFOLIO SERIES 13	CHICAGO, IL	9/13/2018	00846933-05	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR CLEARBRIDGE INTERNATIONAL GROWTH FUND CLASS IS	BALTIMORE, MD	9/13/2018	00846784-03	Registered
FT 7551 CYCLICAL STRENGTH PORTFOLIO SERIES 23	WHEATON, IL	9/12/2018	00846710-05	Registered
FT 7558 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 47	WHEATON, IL	9/12/2018	00846703-05	Registered
FT 7561 TACTICAL ALPHA PORTFOLIO SERIES 18	WHEATON, IL	9/12/2018	00846708-05	Registered
FT 7563 DIVIDEND GROWTH PORTFOLIO SERIES 23	WHEATON, IL	9/12/2018	00846705-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 7571 AUTOMATED QUANTITATIVE ANALYSIS AQA PORTFOLIO SERIES 17	WHEATON, IL	9/12/2018	00846711-05	Registered
FT 7574 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 33	WHEATON, IL	9/12/2018	00846704-05	Registered
FT 7586 BIOTECHNOLOGY PORTFOLIO SERIES 42	WHEATON, IL	9/12/2018	00846706-05	Registered
FT 7587 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 29	WHEATON, IL	9/12/2018	00846713-05	Registered
FT 7605 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 36	WHEATON, IL	9/12/2018	00846709-05	Registered
FT 7606 BALANCED INCOME EQUITY & ETF OPPORTUNITY PORTFOLIO SERIES 6	WHEATON, IL	9/12/2018	00846707-05	Registered
FT 7652 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 58	WHEATON, IL	9/12/2018	00846712-05	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS AACA REAL ESTATE INCOME FUND CLASS N	HAUPPAUGE, NY	9/12/2018	00846586-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS AACA REAL ESTATE INCOME FUND CLASS I	HAUPPAUGE, NY	9/12/2018	00846587-03	Registered
NORTHERN LIGHTS FUND TR ALTEGRIS AACA REAL ESTATE INCOME FUND CLASS A	HAUPPAUGE, NY	9/12/2018	00846585-03	Registered
PNC FUNDS PNC MULTI FACTOR SMALL CAP CORE FUND CLASS R6 SHARES	BALTIMORE, MD	9/11/2018	00846567-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DREYFUS OPPORTUNITY FUNDS DREYFUS JAPAN WOMENOMICS FUND CLASS I	NEW YORK, NY	9/10/2018	00846562-03	Registered
DREYFUS OPPORTUNITY FUNDS DREYFUS JAPAN WOMENOMICS FUND CLASS C	NEW YORK, NY	9/10/2018	00846560-03	Registered
DREYFUS OPPORTUNITY FUNDS DREYFUS JAPAN WOMENOMICS FUND CLASS Y	NEW YORK, NY	9/10/2018	00846561-03	Registered
DREYFUS OPPORTUNITY FUNDS DREYFUS JAPAN WOMENOMICS FUND CLASS A	NEW YORK, NY	9/10/2018	00846559-03	Registered
FRANKLIN CA TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847132-03	Pending-Cleared
FRANKLIN CUSTODIAN FUNDS FRANKLIN INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847141-03	Pending-Cleared
FRANKLIN CUSTODIAN FUNDS FRANKLIN US GOVERNMENT SECURITIES FUND CLASS A	SAN MATEO, CA	9/10/2018	00847142-03	Pending-Cleared
FRANKLIN CUSTODIAN FUNDS FRANKLIN UTILITIES FUND CLASS A	SAN MATEO, CA	9/10/2018	00847140-03	Pending-Cleared
FRANKLIN FEDERAL TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847133-03	Pending-Cleared
FRANKLIN HIGH INCOME TR FRANKLIN HIGH INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847145-03	Pending-Cleared
FRANKLIN NY TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847146-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN AZ TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847136-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FRANKLIN TAX FREE TR FRANKLIN FEDERAL INTERMEDIATE TERM TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847144-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN FEDERAL LTD TERM TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847138-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN FL TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847134-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN HIGH YIELD TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847137-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN MI TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847143-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN MN TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847139-03	Pending-Cleared
FRANKLIN TAX FREE TR FRANKLIN OH TAX FREE INCOME FUND CLASS A	SAN MATEO, CA	9/10/2018	00847135-03	Pending-Cleared
Number of Investment Company Filings: 56				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BUTTONWOOD BEACON FUND LLC SERIES P 2	NEW YORK, NY	9/14/2018	00846739-07	Filed
BUTTONWOOD BEACON QP FUND LLC SERIES P 2	NEW YORK, NY	9/14/2018	00846738-07	Filed
CIVITAS FUNDING 1 LLC	FAIRFIELD, CT	9/14/2018	00846732-07	Filed
COCOA BEACH HOSPITALITY INVESTORS LLC	DALLAS, TX	9/14/2018	00846748-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CONGOS THERAPEUTICS INC	LOS ANGELES, CA	9/14/2018	00846735-07	Filed
ECKARD GLOBAL LLC	ALLEN, TX	9/14/2018	00846747-07	Filed
EXCHANGERIGHT NET LEASED PORTFOLIO 22 DST	PASADENA, CA	9/14/2018	00846745-07	Filed
FIRST CITIZENS BANCSHARES INC TX	WAXAHACHIE, TX	9/14/2018	00846740-07	Filed
GLIACURE INC	BOSTON, MA	9/14/2018	00846757-07	Filed
IMPACT VENTURE CAPITAL 1 LP	SACRAMENTO, CA	9/14/2018	00846736-07	Filed
INGENIOUSIO INC	CHICAGO, IL	9/14/2018	00846744-07	Filed
INSPIRIT VENTURE FUNDING 1 LLC	FAIRFIELD, CT	9/14/2018	00846755-07	Filed
IPSIDY INC	LONG BEACH, NY	9/14/2018	00846737-07	Filed
JONES FINANCIAL CO LLLP	DES PERES, MO	9/14/2018	00846756-07	Filed
LEAD EDGE PARTNERS OPPORTUNITY 22 LP	NEW YORK, NY	9/14/2018	00846742-07	Filed
MDCP 7 AUXILIARY SPV LP	CHICAGO, IL	9/14/2018	00846749-07	Filed
PASSCO POINT DST	IRVINE, CA	9/14/2018	00846743-07	Filed
REDOX INC	MADISON, WI	9/14/2018	00846751-07	Filed
ROSECLIFF VENTURE PARTNERS 3 LP	NEW YORK, NY	9/14/2018	00846752-07	Filed
ROTOQL INC	NEW YORK, NY	9/14/2018	00846731-07	Filed
SQN INVESTORS FUND LP	REDWOOD CITY, CA	9/14/2018	00846753-07	Filed
TWO BECOME ONE LLC	PFAFFTOWN, NC	9/14/2018	00846750-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
UTB FINANCIAL HOLDING CO	DALLAS, TX	9/14/2018	00846741-07	Filed
VIEWSPARK INC	CARLSBAD, CA	9/14/2018	00846733-07	Filed
YS LC DIVERPSP 28 LLC	NEW YORK, NY	9/14/2018	00846746-07	Filed
HYDROFARM HOLDINGS GROUP INC	PETALUMA, CA	9/12/2018	00846696-07	Filed
Number of Regulation D Notice Filings: 26				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	9/14/2018	620085	Registered
Hilton Franchise Holding LLC / LXR Hotels & Resorts	McLean, VA	9/14/2018	620082	Registered
Hilton Franchise Holding LLC / Motto by Hilton	McLean, VA	9/14/2018	620081	Registered
Sizzling Black Rock Steak House Franchising, Inc. / Sizzling Black Rock Steakhouse	Hartland, MI	9/13/2018	620080	Registered
Sizzling Black Rock Steak House Franchising, Inc. / Sizzling Black Rock Steakhouse - "Area Rep. FDD"	Hartland, MI	9/13/2018	620079	Registered
Hair Saloon Franchise Company / Hair Saloon	St. Louis, MO	9/13/2018	620078	Registered
Amazing Lash Franchise, LLC / Amazing Lash Studio	Englewood, CO	9/13/2018	620077	Registered
Bloomin Blinds Franchise Corp / Bloomin Blinds	Lewisville, TX	9/12/2018	620075	Registered
Francun Inc. / Curry Up Now	South San Francisco, CA	9/11/2018	620074	Registered
Lice Lifters Franchising, LLC / Lice Lifters	Lafayette Hill, PA	9/11/2018	620071	Registered
Planet Fitness Franchising LLC / PLANET FITNESS®	Hampton, NH	9/10/2018	620070	Registered
Hounds Mounds, Inc. / Poop 911	Dallas, TX	9/10/2018	620069	Registered
RedBox+ Franchising, LLC / redbox+	Doylestown, PA	9/10/2018	620067	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Class 101, Inc. / Class 101	Lexington, KY	9/10/2018	620065	Registered
Number of Franchise Filings: 14				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	