

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/26/2018 through 9/1/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
OFI CARLYLE PRIVATE CREDIT FUND CLASS I SHARES	CENTENNIAL, CO	8/29/2018	00845871-01	Registered
OFI CARLYLE PRIVATE CREDIT FUND CLASS L SHARES	CENTENNIAL, CO	8/29/2018	00845872-01	Registered
OFI CARLYLE PRIVATE CREDIT FUND CLASS Y SHARES	CENTENNIAL, CO	8/29/2018	00845873-01	Registered
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HYLETE INC	SOLANA BEACH, CA	8/27/2018	00846293-25	Filed
LEGION M ENTERTAINMENT INC	CENTURY CITY, CA	8/27/2018	00846294-25	Filed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS INNER CIRCLE FUND 3 BNP PARIBAS AM EMERGING MARKETS EQUITY FUND RETAIL SHARES	OAKS, PA	8/31/2018	00846231-03	Registered
ADVISORS INNER CIRCLE FUND 3 BNP PARIBAS AM EMERGING MARKETS DEBT FUND RETAIL SHARES	OAKS, PA	8/31/2018	00846234-03	Registered
ADVISORS INNER CIRCLE FUND 3 BNP PARIBAS AM US INFLATION LINKED BOND FUND RETAIL SHARES	OAKS, PA	8/31/2018	00846232-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS INNER CIRCLE FUND 3 BNP PARIBAS AM US SMALL CAP EQUITY FUND RETAIL SHARES	OAKS, PA	8/31/2018	00846233-03	Registered
ALPS SERIES TR 7 CANYONS STRATEGIC INCOME FUND INVESTOR CLASS	DENVER, CO	8/31/2018	00846222-03	Registered
ALPS SERIES TR 7 CANYONS WORLD INNOVATORS FUND INSTITUTIONAL CLASS	DENVER, CO	8/31/2018	00846221-03	Registered
ALPS SERIES TR 7 CANYONS WORLD INNOVATORS FUND INVESTOR CLASS	DENVER, CO	8/31/2018	00846220-03	Registered
JPMORGAN TR IV JPMORGAN SECURITIES LENDING MONEY MARKET FUND AGENCY SL CLASS SHARES	NEW YORK, NY	8/31/2018	00846223-03	Pending-Cleared
ADVISORS SERIES TR POPLAR FOREST CORNERSTONE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/28/2018	00846295-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1322 QUALITY MUNICIPAL INCOME TR 20 PLUS YEAR SERIES 201	DOWNERS GROVE, IL	8/27/2018	00845766-05	Pending-Cleared
INVESCO UNIT TR SERIES 1912 CLOSED END STRATEGY SELECT OPPORTUNITY PORTFOLIO 2018 4	DOWNERS GROVE, IL	8/27/2018	00845765-05	Pending-Cleared
INVESCO UNIT TR SERIES 1913	DOWNERS GROVE, IL	8/27/2018	00845764-05	Pending-Cleared
INVESCO UNIT TR SERIES 1914	DOWNERS GROVE, IL	8/27/2018	00845763-05	Pending-Cleared
INVESCO UNIT TR SERIES 1915 DIVIDEND INCOME & VALUE PORTFOLIO 2018 4	DOWNERS GROVE, IL	8/27/2018	00845762-05	Pending-Cleared
INVESCO UNIT TR SERIES 1916	DOWNERS GROVE, IL	8/27/2018	00845761-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1917	DOWNERS GROVE, IL	8/27/2018	00845760-05	Pending-Cleared
INVESCO UNIT TR SERIES 1918 BUYBACK LEADERS PORTFOLIO 2018 4	DOWNERS GROVE, IL	8/27/2018	00845759-05	Pending-Cleared
INVESCO UNIT TR SERIES 1919	DOWNERS GROVE, IL	8/27/2018	00845758-05	Pending-Cleared
Number of Investment Company Filings: 18				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AEGIS SPECIAL SITUATIONS QP FUND LLC SERIES RIDESHARE 1	NEW YORK, NY	8/31/2018	00846242-07	Filed
AG REALTY VALUE FUND 10 LP	NEW YORK, NY	8/31/2018	00846252-07	Filed
APIXIO INC	SAN MATEO, CA	8/31/2018	00846240-07	Filed
AUDAX PRIVATE EQUITY FUND 6 A LP	BOSTON, MA	8/31/2018	00846249-07	Filed
BETTER VENTURES FUND 3 LP	OAKLAND, CA	8/31/2018	00846241-07	Filed
BROKEN SHED DISTILLERIES INC	SOUTHPORT, CT	8/31/2018	00846243-07	Filed
BUYINGCOM LLC	NEWCASTLE, WY	8/31/2018	00846246-07	Filed
CARE PAPERS INC	SEATTLE, WA	8/31/2018	00846256-07	Filed
COOEY TECHNOLOGIES INC	CHARLOTTE, NC	8/31/2018	00846262-07	Filed
CORSAIRES HIGH INCOME VALUE EQUITIES LLC	MADISON, WI	8/31/2018	00846261-07	Filed
COURT SQUARE CAPITAL PARTNERS 4 LP	NEW YORK, NY	8/31/2018	00846259-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DAIS TECHNOLOGY INC	CHICAGO, IL	8/31/2018	00846248-07	Filed
EMPIRE VILLAGE AT PEBBLE CREEK LLC	SCOTTSDALE, AZ	8/31/2018	00846244-07	Filed
GRAND ENERGY INC	ADDISON, TX	8/31/2018	00846251-07	Filed
MENDON CAPITAL QP LP	CHICAGO, IL	8/31/2018	00846238-07	Filed
NATIV MOBILE LLC	CHICAGO, IL	8/31/2018	00846239-07	Filed
NORTH WELSH PROSPECT JOINT VENTURE	PROSPER, TX	8/31/2018	00846245-07	Filed
NORTHRIDGE ROSCOE 1ST MORTGAGE INVESTORS LP	SANTA BARBARA, CA	8/31/2018	00846247-07	Filed
REALTYSHARES 349 LLC	LEWES, DE	8/31/2018	00846258-07	Filed
ROSSIGNOL PHOENIX PARTNERS LP	PLAINFIELD, IL	8/31/2018	00846253-07	Filed
SALTA HOLDINGS LLC	NASHVILLE, TN	8/31/2018	00846269-07	Filed
SELECT ROYALTY FUND LP	FRISCO, TX	8/31/2018	00846254-07	Filed
SHERMAN REAL ESTATE FUND 13 LLC	NORTHBROOK, IL	8/31/2018	00846250-07	Filed
VIOLA GROWTH 3 ACCESS LP	NEW YORK, NY	8/31/2018	00846260-07	Filed
VITAL METRIX INC	HUNTSVILLE, AL	8/31/2018	00846255-07	Filed
WUNDER CAPITAL 2 LLC	BOULDER, CO	8/31/2018	00846263-07	Filed
Number of Regulation D Notice Filings: 26				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Accounting Practice Sales II, Inc. / Accounting Practice Sales	Mansfield, TX	8/31/2018	620044	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
VaporFi Franchising, LLC / VaporFi	Miami Lakes, FL	8/31/2018	620043	Registered
ANY TEST FRANCHISING INC / ANY LAB TEST NOW	ALPHARETTA, GA	8/30/2018	620042	Registered
Happy Tax Franchising, LLC / Happy Tax (Area Representative)	Miami Beach, FL	8/30/2018	620041	Registered
Happy Tax Franchising, LLC / Happy Tax (Unit)	Miami Beach, FL	8/30/2018	620040	Registered
Great Greek Franchising, LLC / The Great Greek Mediterranean Grill	WEST PALM BEACH, FL	8/29/2018	620038	Registered
Interface Financial Corp / Interface Financial Group	Bethesda, MD	8/29/2018	620036	Registered
Robin Autopilot USA, LLC / Robin Autopilot	Dallas, TX	8/28/2018	620035	Registered
REALTY WORLD INC	Newport Beach, CA	8/28/2018	620034	Registered
M & T Pizza Incorporated / Carbone's Pizzeria and Carbone's Pizza	St. Paul, MN	8/28/2018	620033	Registered
Mossy Oak Properties, Inc.	West Point, MS	8/28/2018	620032	Registered
Spaulding Decon Industries, Corp. / Spaulding Decon	Tampa, FL	8/27/2018	620030	Registered
Number of Franchise Filings: 12				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	