

**WI Securities Law and Franchise Law Filings
for 8/19/2018 through 8/25/2018**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR AAM PHOCAS REAL ESTATE FUND CLASS I	MILWAUKEE, WI	8/23/2018	00845731-03	Registered
INVESTMENT MANAGERS SERIES TR AAM PHOCAS REAL ESTATE FUND CLASS A	MILWAUKEE, WI	8/23/2018	00845730-03	Registered
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HEALTHMYNE INC	MADISON, WI	8/24/2018	00845578-07	Filed
ROCKSTEP JANESVILLE LLC	MADISON, WI	8/24/2018	00845583-07	Filed
SIFT MEDICAL DATA INC	MILWAUKEE, WI	8/24/2018	00845581-07	Filed
VILLAGE CENTER LLC	MONONA, WI	8/24/2018	00845566-07	Filed
EWM ALTERNATIVE INVESTMENTS SPV LLC SERIES 6 MRI	APPLETON, WI	8/23/2018	00845729-07	Filed
Number of Regulation D Notice Filings: 5				

**WI Securities Law and Franchise Law Filings
for 8/19/2018 through 8/25/2018**