

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/19/2018 through 8/25/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FUNDRISE GROWTH EREIT 2 LLC	WASHINGTON, DC	8/23/2018	00845559-25	Filed
FUNDRISE INCOME EREIT 2 LLC	WASHINGTON, DC	8/23/2018	00845560-25	Filed
RED OAK CAPITAL FUND 2 LLC	GRAND RAPIDS, MI	8/23/2018	00845561-25	Filed
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DEUTSCHE DWS INTERNATIONAL FUND INC DWS EMERGING MARKETS EQUITY FUND CLASS R6 SHARES	CHICAGO, IL	8/24/2018	00845719-03	Registered
FT 7507 CORE HOLDINGS GROWTH TR FALL 2018 SERIES	WHEATON, IL	8/24/2018	00845745-05	Registered
FT 7525 CLOUD COMPUTING PORTFOLIO SERIES 22	WHEATON, IL	8/24/2018	00845750-05	Registered
FT 7537 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 68	WHEATON, IL	8/24/2018	00845747-05	Pending-Cleared
FT 7538 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 26	WHEATON, IL	8/24/2018	00845749-05	Registered
FT 7539 CYBERSECURITY PORTFOLIO SERIES 13	WHEATON, IL	8/24/2018	00845746-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 7547 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 15	WHEATON, IL	8/24/2018	00845748-05	Registered
FT 7549 CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES 6	WHEATON, IL	8/24/2018	00845752-05	Registered
FT 7550 SABRIENT DEFENSIVE EQUITY PORTFOLIO SERIES 17	WHEATON, IL	8/24/2018	00845751-05	Registered
FT 7597 ALTERNATIVE INCOME PORTFOLIO SERIES 29	WHEATON, IL	8/24/2018	00845744-05	Registered
MORNINGSTAR FUNDS TR MORNINGSTAR ALTERNATIVES FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845728-03	Registered
MORNINGSTAR FUNDS TR MORNINGSTAR DEFENSIVE BOND FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845724-03	Registered
MORNINGSTAR FUNDS TR MORNINGSTAR GLOBAL INCOME FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845726-03	Registered
MORNINGSTAR FUNDS TR MORNINGSTAR INTERNATIONAL EQUITY FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845722-03	Registered
MORNINGSTAR FUNDS TR MORNINGSTAR MULTISECTOR BOND FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845721-03	Registered
MORNINGSTAR FUNDS TR MORNINGSTAR MUNICIPAL BOND FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845725-03	Registered
MORNINGSTAR FUNDS TR MORNINGSTAR TOTAL RETURN BOND FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845723-03	Registered

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MORNINGSTAR FUNDS TR MORNINGSTAR UNCONSTRAINED ALLOCATION FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845727-03	Registered
MORNINGSTAR FUNDS TR MORNINGSTAR US EQUITY FUND	22 W. WASHINGTON STREET,, IL	8/24/2018	00845720-03	Registered
ADVISORS DISCIPLINED TR 1901 NEXT GEN ESG STRATEGY PORTFOLIO SERIES 2018 3Q HARTFORD INVT MNGMT CMPY HIMCO PORTFOLIO	MONUMENT, CO	8/23/2018	00845740-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1795 LARGE CAP CORE PORTFOLIO SERIES 34	CHICAGO, IL	8/23/2018	00845743-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1795 NDR THEMATIC OPPORTUNITIES PORTFOLIO SERIES 5	CHICAGO, IL	8/23/2018	00845742-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1796 TOTAL INCOME PORTFOLIO SERIES 14	CHICAGO, IL	8/23/2018	00845741-05	Registered
INVESTMENT MANAGERS SERIES TR AAM PHOCAS REAL ESTATE FUND CLASS A	MILWAUKEE, WI	8/23/2018	00845730-03	Registered
INVESTMENT MANAGERS SERIES TR AAM PHOCAS REAL ESTATE FUND CLASS I	MILWAUKEE, WI	8/23/2018	00845731-03	Registered
1ST TR COMBINED SERIES 580 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 38	WHEATON, IL	8/20/2018	00845541-05	Registered
FT 7504 FINANCIALS SELECT PORTFOLIO SERIES 65	WHEATON, IL	8/20/2018	00845549-05	Registered
FT 7505 UTILITIES SELECT PORTFOLIO SERIES 48	WHEATON, IL	8/20/2018	00845548-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 7530 TECHNOLOGY DIVIDEND OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	8/20/2018	00845543-05	Registered
FT 7536 TACTICAL INCOME OPPORTUNITY PORTFOLIO SERIES 6	WHEATON, IL	8/20/2018	00845546-05	Registered
FT 7540 DEFENSIVE EQUITY PORTFOLIO SERIES 46	WHEATON, IL	8/20/2018	00845545-05	Registered
FT 7548 BANKING OPPORTUNITY PORTFOLIO SERIES 31	WHEATON, IL	8/20/2018	00845544-05	Registered
FT 7572 IMAGINE 2025 PORTFOLIO SERIES	WHEATON, IL	8/20/2018	00845542-05	Registered
FT 7595 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 69	WHEATON, IL	8/20/2018	00845547-05	Registered
FT 7596 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 97	WHEATON, IL	8/20/2018	00845540-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1793 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 27	CHICAGO, IL	8/20/2018	00845534-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1793 UNDERVALUED TOP PICKS PORTFOLIO SERIES 18	CHICAGO, IL	8/20/2018	00845535-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1794 DIVIDEND STRENGTH PORTFOLIO SERIES 31	CHICAGO, IL	8/20/2018	00845536-05	Registered
SMART TR 395 SMART TR TAX ADVANTAGED GROWTH & INCOME SERIES 17	PARSIPPANY, NY	8/20/2018	00845539-05	Registered
Number of Investment Company Filings: 39				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
7272 MARVIN D LOVE LLC	NEW YORK, NY	8/24/2018	00845585-07	Filed
ALLIANCE ENERGY FUND 2018 A LP	OVERLAND PARK, KS	8/24/2018	00845568-07	Filed
CAPILLARY BIOMEDICAL INC	IRVINE, CA	8/24/2018	00845570-07	Filed
CHOICE ENERGY HOLDINGS 2 LLC	DOVER, DE	8/24/2018	00845562-07	Filed
CPI MEDICAL FUND 1 LLC	HACKENSACK, NJ	8/24/2018	00845575-07	Filed
DIGITAL ALLOYS INC	BURLINGTON, MA	8/24/2018	00845573-07	Filed
HEALTHMYNE INC	MADISON, WI	8/24/2018	00845578-07	Filed
HEDGE PREMIER PALOMA PARTNERS LP	NEW YORK, NY	8/24/2018	00845572-07	Filed
HEDGEPREMIER RIEF FUND LP	NEW YORK, NY	8/24/2018	00845564-07	Filed
HOLOCENE ADVISORS FUND LP	NEW YORK, NY	8/24/2018	00845582-07	Filed
LEP 16 PRIVATE INVESTORS LLC	NEW YORK, NY	8/24/2018	00845574-07	Filed
MIDWEST MEZZANINE FUND 6 SBIC LP	CHICAGO, IL	8/24/2018	00845576-07	Filed
NEOPHARM 3 SERIES SEPARATE SERIES OF GRANITE HALL MASTER FUND SERIES LLC	CHICAGO, IL	8/24/2018	00845579-07	Filed
POINTER OFFSHORE LTD	CHATTANOOGA, TN	8/24/2018	00845577-07	Filed
REALTYSHARES 323 LLC	LEWES, DE	8/24/2018	00845580-07	Filed
ROCKSTEP JANESVILLE LLC	MADISON, WI	8/24/2018	00845583-07	Filed
SIFT MEDICAL DATA INC	MILWAUKEE, WI	8/24/2018	00845581-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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SUMLIN 3D JOINT VENTURE	SAN ANTONIO, TX	8/24/2018	00845569-07	Filed
TWIN PEAKS HELIUM LP	BOULDER, CO	8/24/2018	00845563-07	Filed
VIEWSPARK INC	CARLSBAD, CA	8/24/2018	00845565-07	Filed
VILLAGE CENTER LLC	MONONA, WI	8/24/2018	00845566-07	Filed
EWM ALTERNATIVE INVESTMENTS SPV LLC SERIES 6 MRI	APPLETON, WI	8/23/2018	00845729-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Goldfish Swim School Franchising, LLC / Goldfish Swim School	Troy, MI	8/24/2018	620029	Registered
U-Swirl International, Inc. / U-Swirl Frozen Yogurt, U-Swirl-N-Go, Yogurtini, Aspen Leaf, CherryBerry, Yogli Mogli, Let's Yo!, Fuzzy Peach	Durango, CO	8/23/2018	620028	Registered
Sky High Sports Network LLC / Sky High Sports	Portland, OR	8/23/2018	620026	Registered
BeaverTails USA Inc. / BeaverTails	Wilmington, DE	8/21/2018	620023	Registered
EK Franchising Company / Engineering for Kids	Fredericksburg, VA	8/20/2018	620020	Registered
SmallBizPros, Inc. d/b/a Padgett Business Services / Padgett Business Services	Athens, GA	8/20/2018	620018	Registered
Number of Franchise Filings: 6				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	