

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/12/2018 through 8/18/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACKSTONE GSO FLOATING RATE ENHANCED INCOME FUND ADVISORY CLASS D	NEW YORK, NY	8/17/2018	00844968-01	Registered
FS MULTI ALTERNATIVE INCOME FUND	PHILADELPHIA, PA	8/14/2018	00844839-01	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1889 ADVISORS CORE EQUITY STRATEGY PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844999-05	Registered
ADVISORS DISCIPLINED TR 1889 BAHL & GAYNOR INCOME GROWTH PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844998-05	Registered
ADVISORS DISCIPLINED TR 1889 BLUE CHIP PORTFOLIO SERIES SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844992-05	Registered
ADVISORS DISCIPLINED TR 1889 DIVIDEND ADVANTAGE PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844991-05	Registered
ADVISORS DISCIPLINED TR 1889 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2018 3Q HARTFORD INVT MNGMT CMPY HIMCO PORT	MONUMENT, CO	8/17/2018	00844990-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1889 DOW VALUE 10 PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844989-05	Registered
ADVISORS DISCIPLINED TR 1889 ENERGY OPPORTUNITIES PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844988-05	Registered
ADVISORS DISCIPLINED TR 1889 EUROPEAN SELECT PORTFOLIO SERIES 2018 3Q CYRUS J LAWRENCE LLC CJL PORTFOLIO	MONUMENT, CO	8/17/2018	00844987-05	Registered
ADVISORS DISCIPLINED TR 1889 INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844993-05	Registered
ADVISORS DISCIPLINED TR 1889 MIN VOLATILITY EQUITY INCOME PORTFOLIO SERIES 2018 3Q HARFORD INVST MNGMT HIMCO PORTFOLIO	MONUMENT, CO	8/17/2018	00844994-05	Registered
ADVISORS DISCIPLINED TR 1889 STRATEGIC FOUNDATIONS OF GROWTH PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844996-05	Registered
ADVISORS DISCIPLINED TR 1889 STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844995-05	Registered
ADVISORS DISCIPLINED TR 1889 TACTICAL PLUS CLOSED END PORTFOLIO 15 MONTH SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844997-05	Registered
ADVISORS DISCIPLINED TR 1889 UBIQUITOUS STRATEGY PORTFOLIO 15 MONTH SERIES 2018 3Q	MONUMENT, CO	8/17/2018	00844986-05	Registered
ALLIANZ FUNDS ALLIANZGI SMALL CAP FUND CLASS R6	NEW YORK, NY	8/17/2018	00844969-03	Registered

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ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI SHORT TERM BOND FUND CLASS A	NEW YORK, NY	8/17/2018	00844971-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI SHORT TERM BOND FUND INSTITUTIONAL CLASS	NEW YORK, NY	8/17/2018	00844970-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI SHORT TERM BOND FUND CLASS P	NEW YORK, NY	8/17/2018	00844972-03	Registered
2 ROADS SHARED TR REDWOOD ACTIVIST LEADERS FUND CLASS I	HAUPPAUGE, NY	8/15/2018	00844846-03	Registered
2 ROADS SHARED TR REDWOOD ALPHAFACOR TACTICAL INTERNATIONAL FUND CLASS I	HAUPPAUGE, NY	8/15/2018	00844847-03	Registered
2 ROADS SHARED TR REDWOOD SYSTEMATIC MACRO SMART FUND CLASS I	HAUPPAUGE, NY	8/15/2018	00844848-03	Registered
2 ROADS SHARED TR WEALTHFRONT RISK PARITY FUND CLASS W	HAUPPAUGE, NY	8/15/2018	00844849-03	Registered
COPELAND TR COPELAND SMID CAP DIVIDEND GROWTH FUND CLASS I	HAUPPAUGE, NY	8/15/2018	00844850-03	Registered
NORTHERN LIGHTS FUND TR 2 BALTER INVENOMIC FUND INVESTOR CLASS	HAUPPAUGE, NY	8/15/2018	00844845-03	Registered
NORTHERN LIGHTS FUND TR 3 COUNTERPOINT LONG SHORT EQUITY FUND CLASS A	HAUPPAUGE, NY	8/15/2018	00844851-03	Registered
NORTHERN LIGHTS FUND TR 2 BALTER INVENOMIC FUND INSTITUTIONAL CLASS	HAUPPAUGE, NY	8/14/2018	00844800-03	Registered

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NORTHERN LIGHTS FUND TR ALPHACORE STATISTICAL ARBITRAGE FUND CLASS I	HAUPPAUGE, NY	8/14/2018	00844801-03	Registered
1ST TR COMBINED SERIES 579 TX EX MUNICIPAL INCOME TR SERIES 303	WHEATON, IL	8/13/2018	00844830-05	Registered
CAPITOL SERIES TR FULLER & THALER BEHAVIORAL MID CAP VALUE FUND INSTITUTIONAL SHARES	INDIANAPOLIS, IN	8/13/2018	00845011-03	Registered
FT 7484 DIVERSIFIED INCOME & GROWTH PORTFOLIO FALL 2018 SERIES	WHEATON, IL	8/13/2018	00844836-05	Registered
FT 7485 SABRIENT BAKER'S DOZEN PORTFOLIO AUGUST 2018 SERIES	WHEATON, IL	8/13/2018	00844834-05	Registered
FT 7506 HEALTH CARE SELECT PORTFOLIO SERIES 51	WHEATON, IL	8/13/2018	00844829-05	Registered
FT 7518 GLOBAL DIVIDEND PORTFOLIO SERIES 56	WHEATON, IL	8/13/2018	00844835-05	Registered
FT 7519 ADR PORTFOLIO AUGUST 2018 SERIES	WHEATON, IL	8/13/2018	00844838-05	Registered
FT 7523 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 27	WHEATON, IL	8/13/2018	00844832-05	Registered
FT 7534 SMID BIOTECHNOLOGY PORTFOLIO SERIES 3	WHEATON, IL	8/13/2018	00844831-05	Registered
FT 7544 BALANCED INCOME PORTFOLIO SERIES 63	WHEATON, IL	8/13/2018	00844837-05	Registered
FT 7545 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	8/13/2018	00844833-05	Registered

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1787 DIVIDEND GROWTH PORTFOLIO SERIES 14	CHICAGO, IL	8/13/2018	00844828-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1788 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 43	CHICAGO, IL	8/13/2018	00844827-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1789 DIVERSIFIED CREDIT PORTFOLIO OF ETFs SERIES 13	CHICAGO, IL	8/13/2018	00844826-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1790 DOW JONES SUSTAINABLE GROWTH PORTFOLIO SERIES 4	CHICAGO, IL	8/13/2018	00844825-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1791 CORE 4 60 40 RETIREMENT PORTFOLIO SERIES 4	CHICAGO, IL	8/13/2018	00844823-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1792 BLUE CHIP GROWTH PORTFOLIO SERIES 19	CHICAGO, IL	8/13/2018	00844824-05	Registered
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS A SHARES	BOSTON, MA	8/13/2018	00844790-03	Registered
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS B SHARES	BOSTON, MA	8/13/2018	00844791-03	Registered
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS C SHARES	BOSTON, MA	8/13/2018	00844792-03	Registered
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS I SHARES	BOSTON, MA	8/13/2018	00844794-03	Registered
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS R1 SHARES	BOSTON, MA	8/13/2018	00844796-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS R2 SHARES	BOSTON, MA	8/13/2018	00844798-03	Registered
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS R3 SHARES	BOSTON, MA	8/13/2018	00844795-03	Registered
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS R4 SHARES	BOSTON, MA	8/13/2018	00844797-03	Registered
MFS SERIES TR XV MFS COMMODITY STRATEGY FUND CLASS R6 SHARES	BOSTON, MA	8/13/2018	00844799-03	Registered
SERIES PORTFOLIOS TR EQUABLE SHARES SMALL CAP FUND SERIES 1 CLASS I	WEST ALLIS, WI	8/13/2018	00844781-03	Registered
USAA MUTUAL FUNDS TR INTERNATIONAL FUND R6 SHARES	SAN ANTONIO, TX	8/13/2018	00844793-03	Registered
<b>Number of Investment Company Filings: 55</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BCB BANCORP INC	BAYONNE, NJ	8/17/2018	00845062-07	Filed
BFG ISSAQUAH LLC	WINTER PARK, FL	8/17/2018	00845056-07	Filed
BODY IRON LLC	HOWELL, MI	8/17/2018	00845064-07	Filed
CINNAIRE FUND FOR HOUSING LP 33	LANSING, MI	8/17/2018	00845067-07	Filed
CLICKSWITCH HOLDINGS INC	MINNEAPOLIS, MN	8/17/2018	00845069-07	Filed
COOPER SQUARE FUND 2 LP	NEW YORK, NY	8/17/2018	00845055-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GRANITE HALL MASTER FUND SERIES LLC CODA SERIES SEPARATE SERIES	CHICAGO, IL	8/17/2018	00845065-07	Filed
HAPPY BANCSHARES INC	AMARILLO, TX	8/17/2018	00845059-07	Filed
JOSEPH STONE SPECIAL OPPORTUNITY FUND LLC	MINEOLA, NY	8/17/2018	00845047-07	Filed
JOUST LABS INC	DENVER, CO	8/17/2018	00845051-07	Filed
MARQMETRIX INC	SEATTLE, WA	8/17/2018	00845054-07	Filed
RELAY HOLDINGS LLC	NORFOLK, VA	8/17/2018	00845068-07	Filed
RENERVA LLC	PITTSBURGH, PA	8/17/2018	00845060-07	Filed
ROERS CHANHASSEN LLC	LONG LAKE, MN	8/17/2018	00845046-07	Filed
ROERS SENIOR LIVING BURNSVILLE LLC	LONG LAKE, MN	8/17/2018	00845045-07	Filed
RX3 VENTURES LP	NEWPORT BEACH, CA	8/17/2018	00845050-07	Filed
SEGRA INTERNATIONAL CORP	RICHMOND, BC	8/17/2018	00845053-07	Filed
SMPI BORDEAUX SPV 3 LLC	DOVER, DE	8/17/2018	00845063-07	Filed
TAILORED CARE ENTERPRISES INC	MADISON, WI	8/17/2018	00845061-07	Filed
VEDANTRA PHARMACEUTICALS INC	CAMBRIDGE, MA	8/17/2018	00845058-07	Filed
VICIS INC	SEATTLE, WA	8/17/2018	00845052-07	Filed
VIREO HEALTH INC	MINNEAPOLIS, MN	8/17/2018	00845066-07	Filed
WHITE OAKS ALTERNATIVE STRATEGIES FUND SP	GRAND CAYMAN, AA	8/17/2018	00845049-07	Filed
INFRA VIA EUROPEAN FUND 4 SCSP	SENNINGERBERG, AA	8/14/2018	00844841-07	Filed
<b>Number of Regulation D Notice Filings: 24</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Namaste Nail Sanctuary, LLC / Namaste (Unit)	Woodland Hills, CA	8/17/2018	620017	Registered
Namaste Nail Sanctuary, LLC / Namaste (AR)	Woodland Hills, CA	8/17/2018	620016	Registered
Sirius Day Spa Franchising, LLC / Sirius Day Spa (Area Representative)	Scottsdale, AZ	8/17/2018	620010	Registered
Sirius Day Spa Franchising, LLC / Sirius Day Spa	Scottsdale, AZ	8/17/2018	620009	Registered
The Growler Guys, LLC / The Growler Guys	Bend, OR	8/16/2018	620004	Registered
Playtri Franchising, LLC / Playtri	Dallas, TX	8/13/2018	620000	Registered
BIMBO FOODS BAKERIES DISTRIBUTION, LLC / Bimbo Foods Bakeries Distribution or BFBD	HORSHAM, PA	8/13/2018	619999	Registered
Earthgrains Distribution, LLC / Earthgrains	Horsham, PA	8/13/2018	619997	Registered
Velofix Holdings USA, Inc. / Velofix	Vancouver, BC	8/13/2018	619998	Registered
<b>Number of Franchise Filings: 9</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	