

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/5/2018 through 8/11/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GOLDMAN SACHS TR GOLDMAN SACHS SHORT TERM CONSERVATIVE INCOME FUND INVESTOR SHARES	CHICAGO, IL	8/9/2018	00844401-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1320 INSURED MUNICIPALS INCOME TR SERIES 702	DOWNERS GROVE, IL	8/8/2018	00844359-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1321 INVESTMENT GRADE MUNICIPAL TR 7 13	DOWNERS GROVE, IL	8/8/2018	00844358-05	Registered
INVESCO UNIT TR SERIES 1903 POLICY OPPORTUNITIES PORTFOLIO 2018 3	DOWNERS GROVE, IL	8/8/2018	00844357-05	Pending-Cleared
INVESCO UNIT TR SERIES 1904	DOWNERS GROVE, IL	8/8/2018	00844356-05	Pending-Cleared
INVESCO UNIT TR SERIES 1905 SELECT 10 INDUSTRIAL PORTFOLIO 2018 5	DOWNERS GROVE, IL	8/8/2018	00844355-05	Pending-Cleared
INVESCO UNIT TR SERIES 1906	DOWNERS GROVE, IL	8/8/2018	00844354-05	Pending-Cleared
INVESCO UNIT TR SERIES 1907	DOWNERS GROVE, IL	8/8/2018	00844353-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/5/2018 through 8/11/2018**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESCO UNIT TR SERIES 1908 GLOBAL WATERS PORTFOLIO 2018 3	DOWNERS GROVE, IL	8/8/2018	00844352-05	Pending-Cleared
INVESCO UNIT TR SERIES 1909	DOWNERS GROVE, IL	8/8/2018	00844351-05	Pending-Cleared
INVESCO UNIT TR SERIES 1910 ESG OPPORTUNITY PORTFOLIO 2018 4	DOWNERS GROVE, IL	8/8/2018	00844350-05	Pending-Cleared
INVESCO UNIT TR SERIES 1911 US DEFENSE PORTFOLIO 2018 3	DOWNERS GROVE, IL	8/8/2018	00844349-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1888 AEROSPACE DEFENSE OPPORTUNITIES PORTFOLIO SERIES 2018 3	MONUMENT, CO	8/6/2018	00844303-05	Registered
ADVISORS DISCIPLINED TR 1888 COHEN & STEERS COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2018 3	MONUMENT, CO	8/6/2018	00844301-05	Registered
ADVISORS DISCIPLINED TR 1888 COHEN & STEERS DYNAMIC INCOME PORTFOLIO SERIES 2018 3	MONUMENT, CO	8/6/2018	00844300-05	Registered
ADVISORS DISCIPLINED TR 1888 COHEN & STEERS GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2018 3	MONUMENT, CO	8/6/2018	00844298-05	Registered
ADVISORS DISCIPLINED TR 1888 TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2018 3	MONUMENT, CO	8/6/2018	00844299-05	Registered
FT 7477 DOW TARGET 10 AUG '18 TERM 9/9/19	WHEATON, IL	8/6/2018	00844248-05	Pending-Cleared
FT 7483 STRUCTURED PORTFOLIO PLAN DEFINED PORTFOLIO AUGUST 2018 SERIES	WHEATON, IL	8/6/2018	00844240-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/5/2018 through 8/11/2018**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 7501 ELECTION PORTFOLIO 2016 SERIES 8	WHEATON, IL	8/6/2018	00844251-05	Registered
FT 7502 TX LONE STAR OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	8/6/2018	00844306-05	Pending-Cleared
FT 7503 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 37	WHEATON, IL	8/6/2018	00844241-05	Registered
FT 7511 TECHNOLOGY SELECT PORTFOLIO SERIES 70	WHEATON, IL	8/6/2018	00844247-05	Registered
FT 7512 NASDAQ FACTOR DOGS OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	8/6/2018	00844258-05	Registered
FT 7514 AMERICAN AGENDA PORTFOLIO SERIES 6	WHEATON, IL	8/6/2018	00844249-05	Registered
FT 7515 DEEP VALUE DIVIDEND PORTFOLIO SERIES 20	WHEATON, IL	8/6/2018	00844256-05	Registered
FT 7516 EQUITY INCOME SELECT PORTFOLIO SERIES 58	WHEATON, IL	8/6/2018	00844254-05	Registered
FT 7517 ESG IMPACT OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	8/6/2018	00844255-05	Registered
FT 7520 SABRIENT RISING RATE PORTFOLIO SERIES 9	WHEATON, IL	8/6/2018	00844250-05	Registered
FT 7521 SMID HIGH DIVIDEND PORTFOLIO SERIES 31	WHEATON, IL	8/6/2018	00844257-05	Registered
FT 7533 EQUITY INCOME PORTFOLIO SERIES 61	WHEATON, IL	8/6/2018	00844253-05	Registered
FT 7535 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 50	WHEATON, IL	8/6/2018	00844243-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/5/2018 through 8/11/2018**

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 7542 CORPORATE INVESTMENT GRADE PORTFOLIO 3 TO 7 YEAR SERIES 5	WHEATON, IL	8/6/2018	00844252-05	Registered
FT 7592 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 57	WHEATON, IL	8/6/2018	00844242-05	Registered
FT 7593 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 77	WHEATON, IL	8/6/2018	00844259-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1786 GUGGENHEIM REIT PORTFOLIO SERIES 19	CHICAGO, IL	8/6/2018	00844302-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1786 MULTINATIONAL TITANS PORTFOLIO SERIES 15	CH, IL	8/6/2018	00844304-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1786 PRECIOUS METALS & MINERS PORTFOLIO SERIES 15	CH, IL	8/6/2018	00844305-05	Pending-Cleared
SMART TR 393 SMART TR RISING INTEREST RATES HEDGE TR SERIES 11	PARSIPPANY, NJ	8/6/2018	00844244-05	Registered
SMART TR 394 SMART TR ENHANCED VALUE 2 TR SERIES 21	PARSIPPANY, NJ	8/6/2018	00844246-05	Registered
SMART TR 394 SMART TR PORTFOLIO OF CLOSED END FUND OPPORTUNITIES TR SERIES 16	PARSIPPANY, NJ	8/6/2018	00844245-05	Registered
<b>Number of Investment Company Filings: 41</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/5/2018 through 8/11/2018**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AG DIRECT LENDING FUND 3 LP	NEW YORK, NY	8/10/2018	00844812-07	Filed
AZ HEALTHCARE DST	OAK BROOK, IL	8/10/2018	00844810-07	Filed
FCP REALTY FUND 4 LP	CHEVY CHASE, MD	8/10/2018	00844808-07	Filed
IMG SOLIS APTS LLC	WOODLAND HLS, CA	8/10/2018	00844809-07	Filed
MARIANA BIOTECH INC	CITY INDUSTRY, CA	8/10/2018	00844805-07	Filed
MEDAWARE SYSTEMS INC	BROOMFIELD, CO	8/10/2018	00844807-07	Filed
NORTH ARLINGTON PORTFOLIO LP	NEW YORK, NY	8/10/2018	00844803-07	Filed
RED HILL PROSPECT PARTNERS	HENDERSONVLL E, TN	8/10/2018	00844802-07	Filed
TUDR MEDIA LLC	INDIANAPOLIS, IN	8/10/2018	00844806-07	Filed
<b>Number of Regulation D Notice Filings: 9</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
OMEX INTERNATIONAL INC / OMEX	CAMP HILL, PA	8/10/2018	619995	Registered
Great Wraps, Inc / Great Wraps	Atlanta, GA	8/10/2018	619994	Registered
Bruegger's Franchise Corporation / Bruegger's and Bruegger's Bagels	Lakewood, CO	8/10/2018	619993	Registered
Steak n Shake Enterprises, Inc.	indianapolis, IN	8/9/2018	619990	Registered
HUDDLE HOUSE, INC. / HUDDLE HOUSE	Sandy Springs, GA	8/9/2018	619989	Registered
Vital Care of Alabama, Inc. (Fict Name) Vital Care, Inc. (Corp Name) / Vital Care	Livingston, AL	8/9/2018	619988	Registered
Jake's Franchising, LLC / Wayback Burgers	Cheshire, CT	8/9/2018	619985	Registered
Gecko Development Corporation / Gecko Hospitality	Fort Myers, FL	8/8/2018	619983	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	8/7/2018	619982	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 8/5/2018 through 8/11/2018**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Copper Branch Franchising, LLC	Dorval, QC	8/6/2018	619980	Registered
<b>Number of Franchise Filings: 10</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	