

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/29/2018 through 8/4/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VERTICAL CAPITAL INCOME FUND CLASS C	HAUPPAUGE, NY	8/1/2018	00844051-01	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FUNDRISE EQUITY REIT LLC	WASHINGTON, DC	7/31/2018	00844235-25	Filed
FUNDRISE FOR SALE HOUSING EFUND LOS ANGELES CA LLC	WASHINGTON, DC	7/31/2018	00844234-25	Filed
FUNDRISE FOR SALE HOUSING EFUND WA DC LLC	WASHINGTON, DC	7/31/2018	00844233-25	Filed
FUNDRISE REAL ESTATE INVESTMENT TR LLC	WASHINGTON, DC	7/31/2018	00844236-25	Filed
Number of Exemption Filings: 4				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GOLDMAN SACHS TR 2 GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO CLASS A SHARES	NEW YORK, NY	8/2/2018	00844089-03	Registered
GOLDMAN SACHS TR 2 GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO CLASS R6 SHARES	NEW YORK, NY	8/2/2018	00844092-03	Registered
GOLDMAN SACHS TR 2 GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO INVESTOR SHARES	NEW YORK, NY	8/2/2018	00844091-03	Registered

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Firm Name	Location	Date Received	File Number	Status
GOLDMAN SACHS TR 2 GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO SERVICE SHARES	NEW YORK, NY	8/2/2018	00844094-03	Registered
GOLDMAN SACHS TR 2 GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO INSTITUTIONAL SHARES	NEW YORK, NY	8/2/2018	00844090-03	Registered
GOLDMAN SACHS TR 2 GOLDMAN SACHS TARGET DATE 2060 PORTFOLIO CLASS R SHARES	NEW YORK, NY	8/2/2018	00844093-03	Registered
ARTISAN PARTNERS FUNDS INC ARTISAN THEMATIC FUND ADVISOR SHARES	MILWAUKEE, WI	8/1/2018	00843822-03	Registered
MUTUAL FUND & VARIABLE INSURANCE TR RATIONAL RESOLVE ADAPTIVE ASSET ALLOCATION FUND CLASS I	HAUPPAUGE, NY	8/1/2018	00844075-03	Registered
NORTHERN LIGHTS FUND TR POWER GLOBAL TACTICAL ALLOCATION JA FORLINES FUND CLASS A	HAUPPAUGE, NY	8/1/2018	00844072-03	Registered
NORTHERN LIGHTS FUND TR POWER GLOBAL TACTICAL ALLOCATION JA FORLINES FUND CLASS I	HAUPPAUGE, NY	8/1/2018	00844073-03	Registered
NORTHERN LIGHTS FUND TR TOEWS TACTICAL INCOME FUND	HAUPPAUGE, NY	8/1/2018	00844074-03	Registered
FIDELITY SALEM STREET TR FIDELITY ZERO INTERNATIONAL INDEX FUND FIDELITY ZERO INTERNATIONAL INDEX FUND	BOSTON, MA	7/31/2018	00843843-03	Registered
FIDELITY SALEM STREET TR FIDELITY ZERO TOTAL MARKET INDEX FUND FIDELITY ZERO TOTAL MARKET INDEX FUND	BOSTON, MA	7/31/2018	00843842-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WELLS FARGO FUNDS TR WELLS FARGO C & B MID CAP VALUE FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843824-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO DISCIPLINED SMALL CAP FUND CLASS A	SAN FRANCISCO, CA	7/31/2018	00843825-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO DIVERSIFIED INCOME BUILDER FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843839-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO EMERGING GROWTH FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843835-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO HIGH YIELD MUNICIPAL BOND FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843841-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO INTERMEDIATE TAX AMT FREE FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843834-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO INTERNATIONAL VALUE FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843828-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO MODERATE BALANCED FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	7/31/2018	00843826-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO MUNICIPAL BOND FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843833-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO SHORT TERM BOND FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843836-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO SHORT TERM MUNICIPAL BOND FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843831-03	Registered

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Firm Name	Location	Date Received	File Number	Status
WELLS FARGO FUNDS TR WELLS FARGO STRATEGIC MUNICIPAL BOND FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843837-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ULTRA SHORT TERM MUNICIPAL INCOME FUND CLASS R6	SAN FRANCISCO, CA	7/31/2018	00843838-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER CONSERVATIVE ALLOCATION FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	7/31/2018	00843827-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER EQUITY FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	7/31/2018	00843840-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER GROWTH ALLOCATION FUND INSTUTUTIONAL CLASS	SAN FRANCISCO, CA	7/31/2018	00843829-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER GROWTH BALANCED FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	7/31/2018	00843830-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO WEALTHBUILDER MODERATE BALANCED FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	7/31/2018	00843832-03	Registered
EATON VANCE GROWTH TR PARAMETRIC RESEARCH AFFILIATES SYSTEMATIC ALTERNATIVE RISK PREMIA FUND INSTITUTIONAL CLASS	BOSTON, MA	7/30/2018	00843821-03	Registered
FT 7498 EQUITY INCOME OPPORTUNITY PORTFOLIO SERIES 5	WHEATON, IL	7/30/2018	00844205-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7499 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 49	WHEATON, IL	7/30/2018	00844206-05	Registered
FT 7509 INTERNATIONAL CAPITAL STRENGTH PORFOLIO SERIES 39	WHEATON, IL	7/30/2018	00844207-05	Registered
FT 7509 SMID CAPITAL STRENGTH PORTFOLIO SERIES 39	WHEATON, IL	7/30/2018	00844208-05	Registered
FT 7510 MUNICIPAL INCOME CLOSED END PORTFOLIOS SERIES 74	WHEATON, IL	7/30/2018	00844203-05	Registered
FT 7513 INTEREST RATE HEDGE OPPORTUNITY PORTFOLIO SERIES 6	WHEATON, IL	7/30/2018	00844204-05	Registered
FT 7528 CONTENT LEADERS PORTFOLIO SERIES 10	WHEATON, IL	7/30/2018	00844201-05	Registered
FT 7529 HIGH INCOME MODEL PORTFOLIO SERIES	WHEATON, IL	7/30/2018	00844200-05	Registered
FT 7543 STONEBRIDGE PREFERRED INCOME PORTFOLIO SERIES 19	WHEATON, IL	7/30/2018	00844202-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1784 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 24	CHICAGO, IL	7/30/2018	00844196-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1785 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 35	CHICAGO, IL	7/30/2018	00844199-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1785 CORPORATE HIGH YIELD & INCOME PORTFOLIO OF CEFS SERIES 34	CHICAGO, IL	7/30/2018	00844198-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1785 NATIONAL MUNICIPAL PORTFOLIO OF CEFS SERIES 39	CHICAGO, IL	7/30/2018	00844197-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS R5	JERSEY CITY, NJ	7/30/2018	00844142-03	Registered
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS A	JERSEY CITY, NJ	7/30/2018	00844134-03	Registered
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS C	JERSEY CITY, NJ	7/30/2018	00844135-03	Registered
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS I	JERSEY CITY, NJ	7/30/2018	00844139-03	Registered
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS R6	JERSEY CITY, NJ	7/30/2018	00844143-03	Registered
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS F	JERSEY CITY, NJ	7/30/2018	00844136-03	Registered
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS R3	JERSEY CITY, NJ	7/30/2018	00844140-03	Registered
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS F3	JERSEY CITY, NJ	7/30/2018	00844137-03	Registered
LORD ABBETT GLOBAL FUND INC LORD ABBETT GLOBAL BOND FUND CLASS R4	JERSEY CITY, NJ	7/30/2018	00844141-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS C	JERSEY CITY, NJ	7/30/2018	00844145-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS A	JERSEY CITY, NJ	7/30/2018	00844144-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS I	JERSEY CITY, NJ	7/30/2018	00844148-03	Registered

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Firm Name	Location	Date Received	File Number	Status
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS R4	JERSEY CITY, NJ	7/30/2018	00844150-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS R5	JERSEY CITY, NJ	7/30/2018	00844151-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS F3	JERSEY CITY, NJ	7/30/2018	00844147-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS R3	JERSEY CITY, NJ	7/30/2018	00844149-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS R6	JERSEY CITY, NJ	7/30/2018	00844138-03	Registered
LORD ABBETT SECURITIES TR LORD ABBETT GLOBAL SELECT EQUITY FUND CLASS F	JERSEY CITY, NJ	7/30/2018	00844146-03	Registered
Number of Investment Company Filings: 63				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ANGION BIOMEDICA CORP	UNIONDALE, NY	8/3/2018	00844376-07	Filed
CABANA APT VENTURE LLC	NORTHRIDGE, CA	8/3/2018	00844386-07	Filed
CRYPTOCORE INTELLECTUAL HOLDINGS LLC	DOVER, DE	8/3/2018	00844360-07	Filed
DST OPPORTUNITIES 2 ACCESS LLC	NEW YORK, NY	8/3/2018	00844381-07	Filed
DST OPPORTUNITIES 2 ACCESS OFFSHORE LP	NEW YORK, NY	8/3/2018	00844382-07	Filed
GATEWAY CROSSING INVESTMENTS LLC	INDIANAPOLIS, IN	8/3/2018	00844361-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GENER8TOR MN FUND 2 LLC	MADISON, WI	8/3/2018	00844362-07	Filed
LAKE PLACID HOTEL GROUP LP	MEMPHIS, TN	8/3/2018	00844377-07	Filed
MEDAWARE SYSTEMS INC	BROOMFIELD, CO	8/3/2018	00844365-07	Filed
MEWBOURNE ENERGY PARTNERS 18 LP	TYLER, TX	8/3/2018	00844375-07	Filed
NAPALI 9 LLC	SOUTHLAKE, TX	8/3/2018	00844384-07	Filed
OPIS HEALTH LLC	LAKE MILLS, WI	8/3/2018	00844380-07	Filed
REALTYSHARES 371 LLC	LEWES, DE	8/3/2018	00844367-07	Filed
REALTYSHARES 373 LLC	LEWES, DE	8/3/2018	00844369-07	Filed
SELECT ROYALTY FUND 4 LP	FRISCO, TX	8/3/2018	00844383-07	Filed
SOKOWATCH INC	CHICAGO, IL	8/3/2018	00844379-07	Filed
STATON TECHIYA LLC	DELRAY BEACH, FL	8/3/2018	00844363-07	Filed
TILLERMAN SEEDS LLC	GREENVILLE, MI	8/3/2018	00844374-07	Filed
ZOLLA GOVERNMENT INSURED FUND LLC	ROSEVILLE, CA	8/3/2018	00844372-07	Filed
ZOLLA HIGH YIELD FUND LLC	ROSEVILLE, CA	8/3/2018	00844373-07	Filed
ZOLLA REALTY FUND LLC	ROSEVILLE, CA	8/3/2018	00844371-07	Filed
CAPRI HOSPITALITY INC	APPLETON, WI	8/2/2018	00844238-07	Filed
CAPRI HOSPITALITY INC	APPLETON, WI	8/2/2018	00844239-07	Filed
AZ HEALTHCARE DST	OAK BROOK, IL	7/30/2018	00843773-07	Filed
Number of Regulation D Notice Filings: 24				

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TK Franchise Operations, LP / Vom Fass	New York City, NY	8/3/2018	619979	Registered
GODFATHERS PIZZA INC / GODFATHERS PIZZA	OMAHA, NE	8/3/2018	619977	Registered
Sparkle International, Inc.	Oakwood, OH	8/3/2018	619975	Registered
FIESTA INSURANCE FRANCHISE CORPORATION / FIESTA INSURANCE	Las Vegas, NV	8/3/2018	619974	Registered
Kidcreate Studio Franchising, LLC / Kidcreate Studio	Eden Prairie, MN	8/2/2018	619971	Registered
MAD SCIENCE GROUP INC	MONTREAL, QC	8/1/2018	619968	Registered
Nutrishop, Inc. / Nutrishop; Nutrishop Store	Henderson, NV	7/31/2018	619964	Registered
Homewatch CareGivers, LLC / Homewatch CareGivers	Greenwood Village, CO	7/31/2018	619963	Registered
TOGyms, LLC / Tapout Fitness	Marietta, GA	7/31/2018	619961	Registered
Cobblestone Hotels, LLC / Key West	Neenah, WI	7/31/2018	619959	Registered
Cobblestone Hotels, LLC / Centerstone	Neenah, WI	7/31/2018	619958	Registered
No Fear Services, LLC / No Fear Dentistry®	Madison, WI	7/30/2018	619956	Registered
Wings Over, Inc	New York, NY	7/30/2018	619955	Registered
Holiday Diversified Services, LLC / Holiday Stationstores	Minneapolis, MN	7/30/2018	619953	Registered
TUTOR DOCTOR SYSTEMS INC / TUTOR DOCTOR	WILMINGTON, DE	7/30/2018	619952	Registered
Number of Franchise Filings: 15				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	