

**WI Securities Law and Franchise Law Filings  
for 7/1/2018 through 7/7/2018**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1878 UBIQUITOUS STRATEGY PORTFOLIO SERIES 2018 3	CHICAGO, WI	7/3/2018	00841750-05	Registered
ADVISORS SERIES TR PZENA INTERNATIONAL SMALL CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	7/2/2018	00841932-03	Registered
ADVISORY SERIES TR PZENA INTERNATIONAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	7/2/2018	00841931-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY MID CAP GROWTH FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	7/2/2018	00841926-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY SUSTAINABLE BOND FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	7/2/2018	00841925-03	Registered
FRONTIER FUNDS FRONTIER MFG SELECT INFRASTRUCTURE FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	7/2/2018	00841930-03	Registered
HOTCHKIS & WILEY FUNDS HIGH YIELD FUND CLASS Z	MILWAUKEE, WI	7/2/2018	00841929-03	Registered

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<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESCO UNIT TR SERIES 1895 CLOSED END STRATEGY DISCOUNT OPPORTUNITY PORTFOLIO 2018 3	NEW YORK, WI	7/2/2018	00841935-05	Pending-Cleared
INVESCO UNIT TR SERIES 1896 INFLATION HEDGE PORTFOLIO 2018 3	NEW YORK, WI	7/2/2018	00841936-05	Pending-Cleared
INVESCO UNIT TR SERIES 1898 MULTI ASSET HIGH INCOME PORTFOLIO 2018 3	NEW YORK, WI	7/2/2018	00841937-05	Pending-Cleared
<b>Number of Investment Company Filings: 10</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				