

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/24/2018 through 6/30/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FLAT ROCK OPPORTUNITY FUND	NEW YORK, NY	6/29/2018	00841710-01	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HYPERSCIENCES INC	SPOKANE, WA	6/29/2018	00841914-25	Filed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR SEMPER SHORT DURATION FUND INVESTOR CLASS	MILWAUKEE, WI	6/29/2018	00841923-03	Registered
FT 7446 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 3Q 18 TERM 10 15 19	CHICAGO, IL	6/29/2018	00841917-05	Pending-Cleared
FT 7447 TARGET DIVSD GLOBAL ALLOCATION 3Q 18 TERM 10 9 19	CHICAGO, IL	6/29/2018	00841918-05	Pending-Cleared
FT 7448 VALUE LINE TARGET SAFETY 30 3Q 18 TERM 10 9 19	CHICAGO, IL	6/29/2018	00841919-05	Pending-Cleared
PROFESSIONALLY MANAGED PORTFOLIOS MUZINICH HIGH INCOME FLOATING RATE FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	6/29/2018	00841922-03	Registered
SANFORD C BERNSTEIN FUND INC AB INTERMEDIATE DIVERSIFIED MUNICIPAL PORTFOLIO CLASS Z	NEW YORK, NY	6/29/2018	00841711-03	Registered

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SMART TR ARGUS DIVIDEND GROWERS TOTAL RETURN TR SERIES 17	CHICAGO, IL	6/29/2018	00841915-05	Pending-Cleared
SMART TR MORNINGSTAR DIVIDEND YIELD SELECT TR SERIES 6	CHICAGO, IL	6/29/2018	00841916-05	Pending-Cleared
BBH TR BBH INCOME FUND CLASS I SHARES	NEW YORK, NY	6/28/2018	00841514-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MN TX EX FUND INSTITUTIONAL	BOSTON, MA	6/28/2018	00841515-03	Registered
DOMINI SOCIAL INVESTMENT TR DOMINI IMPACT BOND FUND CLASS Y SHARES	NEW YORK, NY	6/28/2018	00841512-03	Registered
DOMINI SOCIAL INVESTMENT TR DOMINI IMPACT INTERNATIONAL EQUITY FUND CLASS Y SHARES	NEW YORK, NY	6/28/2018	00841513-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE LOOMIS ALL CAP GROWTH FUND EAGLE CLASS	WEST ALLIS, WI	6/28/2018	00841811-03	Registered
CLOUGH FUNDS TR CLOUGH GLOBAL LONG SHORT FUND CLASS A	DENVER, CO	6/27/2018	00841442-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL COUNTERPOINT PORTFOLIO CLASS I	NEW YORK, NY	6/27/2018	00841446-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL COUNTERPOINT PORTFOLIO CLASS IS	NEW YORK, NY	6/27/2018	00841445-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL COUNTERPOINT PORTFOLIO CLASS A	NEW YORK, NY	6/27/2018	00841443-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL COUNTERPOINT PORTFOLIO CLASS C	NEW YORK, NY	6/27/2018	00841444-03	Registered
PAX WORLD FUNDS SERIES TR I PAX GLOBAL OPPORTUNITIES FUND INVESTOR CLASS	PORTSMOUTH, NH	6/27/2018	00841467-03	Registered
PAX WORLD FUNDS SERIES TR I PAX GLOBAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	PORTSMOUTH, NH	6/27/2018	00841466-03	Registered
Number of Investment Company Filings: 20				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Big O Tires, LLC / Big O Tires (PDF)	Palm Beach Gardens, FL	6/29/2018	619834	Registered
Big O Tires, LLC / Business Format Franchise	Palm Beach Gardens, FL	6/29/2018	619833	Registered
JAMBA JUICE CO / Jamba Juice	Frisco, TX	6/29/2018	619832	Registered
Midas International Corporation / Midas Shop	Palm Beach Gardens, FL	6/29/2018	619831	Registered
Orion Food Systems, L.L.C. / Hot Stuff Pizza	Sioux Falls, SD	6/29/2018	619829	Registered
RED LION HOTELS FRANCHISING, INC. / KNIGHTS INN	DENVER, CO	6/28/2018	619827	Registered
Thrifty Rent-A-Car System, LLC / Thrifty Rent-A-Car	Esteros, FL	6/28/2018	619825	Registered
HERTZ SYSTEM, INC. / HERTZ	Esteros, FL	6/28/2018	619823	Registered
The Decor Group, Inc. / Christmas Decor	Irving, TX	6/28/2018	619824	Registered
DOLLAR RENT A CAR INC	Esteros, FL	6/28/2018	619822	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Rebounderz Franchise and Development, Inc. / Rebounderz	Sanford, FL	6/27/2018	619821	Registered
PP Development, LLC / Pedal Pub	St. Paul, MN	6/27/2018	619820	Registered
NorEast Franchise Group, LLC / Dustin Distefano	Omaha, NE	6/27/2018	619819	Registered
COIT SERVICES INC / COIT Services	BURLINGAME, CA	6/27/2018	619818	Registered
HCAFranchise Corporation / Home Care Assistance	San Francisco, CA	6/26/2018	619817	Registered
Bbdotq USA, Inc. / bb.q Chicken	For Lee, NJ	6/26/2018	619815	Registered
FORWARD FRANCHISING, INC.	MADISON, WI	6/26/2018	619814	Registered
FOCUS FRANCHISING, INC. / JANI-KING OF MADISON	MADISON, WI	6/26/2018	619813	Registered
The Brothers Franchising Corp / The Brothers that just do Gutters	LaGrangeville, NY	6/26/2018	619812	Registered
Ultimate Fitness Group LLC / ORANGETHEORY Area Representative	Boca Raton, FL	6/25/2018	619811	Registered
Maximized Living Health Centers, LP / MAXLIVING	Celebration, FL	6/25/2018	619810	Registered
Stars & Stripes Kids Activity Center Franchising, LLC / Stars & Stripes Kids Activity Centers	Clarkston, MI	6/25/2018	619808	Registered
U-Save Auto Rental of America, Inc. / U-Save Car & Truck Rental	Ridgeland, MS	6/25/2018	619809	Registered
Pro-Lift Doors Franchise, LLC	Charlottesville, VA	6/25/2018	619807	Registered
Handyman Pro, LLC	Charlottesville, VA	6/25/2018	619806	Registered
Number of Franchise Filings: 25				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	