

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 6/17/2018 through 6/23/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CHOSEN LLC	HURRICANE, UT	6/19/2018	00841423-25	Filed
MOGULREIT 2 INC	LOS ANGELES, CA	6/18/2018	00841415-25	Filed
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FINANCIAL INVESTORS TR ALPS SMITH SHORT DURATION BOND FUND CLASS C	DENVER, CO	6/22/2018	00841332-03	Pending-Cleared
FINANCIAL INVESTORS TR ALPS SMITH SHORT DURATION BOND FUND CLASS A	DENVER, CO	6/22/2018	00841331-03	Pending-Cleared
FINANCIAL INVESTORS TR ALPS SMITH SHORT DURATION BOND FUND INVESTOR CLASS	DENVER, CO	6/22/2018	00841334-03	Pending-Cleared
FINANCIAL INVESTORS TR ALPS SMITH SHORT DURATION BOND FUND CLASS I	DENVER, CO	6/22/2018	00841335-03	Pending-Cleared
FINANCIAL INVESTORS TR ALPS SMITH TOTAL RETURN BOND FUND INVESTOR CLASS	DENVER, CO	6/22/2018	00841333-03	Pending-Cleared

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FINANCIAL INVESTORS TR ALPS SMITH TOTAL RETURN BOND FUND CLASS A	DENVER, CO	6/22/2018	00841336-03	Pending-Cleared
FINANCIAL INVESTORS TR ALPS SMITH TOTAL RETURN BOND FUND CLASS I	DENVER, CO	6/22/2018	00841329-03	Pending-Cleared
FINANCIAL INVESTORS TR ALPS SMITH TOTAL RETURN BOND FUND CLASS C	DENVER, CO	6/22/2018	00841330-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL IMPACT FUND CLASS A SHARES	NEW YORK, NY	6/22/2018	00841337-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL IMPACT FUND CLASS C SHARES	NEW YORK, NY	6/22/2018	00841338-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 12 PGIM JENNISON TECHNOLOGY FUND CLASS C	NEWARK, NJ	6/22/2018	00841342-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 12 PGIM JENNISON TECHNOLOGY FUND CLASS R6	NEWARK, NJ	6/22/2018	00841341-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 12 PGIM JENNISON TECHNOLOGY FUND CLASS Z	NEWARK, NJ	6/22/2018	00841343-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 12 PGIM JENNISON TECHNOLOGY FUND CLASS A	NEWARK, NJ	6/22/2018	00841340-03	Registered
UNIFIED SERIES TR CRAWFORD MULTI ASSET INCOME FUND	INDIANAPOLIS, IN	6/20/2018	00841344-03	Registered
ADVISORS DISCIPLINED TR 1872 Q GARP PORTFOLIO SERIES 2018 2Q	MONUMENT, CO	6/19/2018	00841422-05	Pending-Cleared

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ADVISORS DISCIPLINED TR 1874 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 127	MONUMENT, CO	6/19/2018	00841421-05	Pending-Cleared
FIDELITY SALEM STREET TR FIDELITY SUSTAINABILITY BOND INDEX FUND PREMIUM CLASS	BOSTON, MA	6/19/2018	00841241-03	Registered
FIDELITY SALEM STREET TR FIDELITY SUSTAINABILITY BOND INDEX FUND INSTITUTIONAL CLASS	BOSTON, MA	6/19/2018	00841242-03	Registered
FIDELITY SALEM STREET TR FIDELITY SUSTAINABILITY BOND INDEX FUND INVESTOR CLASS	BOSTON, MA	6/19/2018	00841240-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1773 MLP & ENERGY FUNDS PORTFOLIO SERIES 22	CHICAGO, IL	6/19/2018	00841420-05	Pending-Cleared
<b>Number of Investment Company Filings: 21</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
4 OAKS APT VENTURE LLC	NORTHRIDGE, CA	6/22/2018	00841486-07	Filed
APOLLO CREDIT STRATEGIES ASP FUND A SERIES OF ASP LLC	CHARLOTTE, NC	6/22/2018	00841477-07	Filed
BOSTON COMMON ALL COUNTRY INTERNATIONAL FUND LLC	BOSTON, MA	6/22/2018	00841479-07	Filed
CAPITAL ALIGNMENT PARTNERS 3 LP	NASHVILLE, TN	6/22/2018	00841468-07	Filed
CASDIN PARTNERS LP	NEW YORK, NY	6/22/2018	00841480-07	Filed
CODEX LABS INC	DOVER, DE	6/22/2018	00841482-07	Filed

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CRB GROUP INC	FORT LEE, NJ	6/22/2018	00841484-07	Filed
CTEPQ PARTNERS LLC	SAN FRANCISCO, CA	6/22/2018	00841474-07	Filed
CV DATA CENTER GROWTH & INCOME REIT LLC	TAMPA, FL	6/22/2018	00841476-07	Filed
EXCHANGERIGHT NET LEASED PORTFOLIO 21 DST	PASADENA, CA	6/22/2018	00841472-07	Filed
FOGCHAIN CORP	SAN CARLOS, CA	6/22/2018	00841473-07	Filed
GLOBAL EQUITY ABSOLUTE RETURN PARTNERS LP	BOSTON, MA	6/22/2018	00841488-07	Filed
IBEX ISRAEL FUND LLLP	DENVER, CO	6/22/2018	00841475-07	Filed
JETCLOSING INC	SEATTLE, WA	6/22/2018	00841485-07	Filed
OCUPHIRE PHARMA INC	WEST BLOOMFIELD, MI	6/22/2018	00841469-07	Filed
PROCACCIANTI HOTEL REIT INC	CRANSTON, RI	6/22/2018	00841478-07	Filed
TSSP ADJACENT OPPORTUNITIES PARTNERS D LP	DALLAS, TX	6/22/2018	00841489-07	Filed
WAGGL INC	SAUSALITO, CA	6/22/2018	00841470-07	Filed
ZUREX PHARMA INC	MIDDLETON, WI	6/22/2018	00841487-07	Filed
PINEY POINT QF LP	MILWAUKEE, WI	6/21/2018	00841425-07	Filed
<b>Number of Regulation D Notice Filings: 20</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Fueled Collective Franchising, LLC / Fueled Collective	Cincinnati, OH	6/22/2018	619804	Registered
JEI SELF LEARNING SYSTEMS, INC.	LOS ANGELES, CA	6/22/2018	619803	Registered
California Pools Franchise Inc. / California Pools	Dripping Springs, TX	6/21/2018	619801	Registered

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<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Sanford Rose Associates International, Inc. / Sanford Rose Associates	Plano, TX	6/21/2018	619800	Registered
HEALTH MART SYSTEMS, INC / HEALTH MART	Irving, TX	6/21/2018	619799	Registered
Manduu America, LLC / Manduu EMS Lifestyle Workout	Franklin, TN	6/20/2018	619798	Registered
Cheba Hut Franchising, Inc. / Cheba Hut® Restaurant	Fort Collins, CO	6/19/2018	619795	Registered
LMS Franchising, LLC / Little Medical School	St. Louis, MO	6/19/2018	619791	Registered
Blue Stamp Franchise Company / Postal Connections/iSold It	Frisco, TX	6/18/2018	619789	Registered
Mahana Poke, LLC / Mahana Poke (ARA FDD Offering)	Sarasota, FL	6/18/2018	619788	Registered
Mahana Poke, LLC / Mahana Poke (Unit FDD Offering)	Sarasota, FL	6/18/2018	619787	Registered
Buji, LLC / ActionCoach	Canal Winchester, OH	6/18/2018	619786	Registered
Menchie's Group, Inc. / Menchie's	Encino, CA	6/18/2018	619785	Registered
<b>Number of Franchise Filings: 13</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	