

**WI Securities Law and Franchise Law Filings
for 6/3/2018 through 6/9/2018**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7428 STRATEGIC INCOME ADVANTAGE OPPORTUNITY CLOSED END PORTFOLIO SERIES 7	WHEATON, WI	6/7/2018	00840574-05	Registered
Number of Investment Company Filings: 1				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ZIEGLER EMPLOYEE OPPORTUNITY FUND 1 LLC	MILWAUKEE, WI	6/8/2018	00840639-07	Filed
HOMETOWN BANCORP LTD	N FOND DU LAC, WI	6/7/2018	00840571-07	Filed
Number of Regulation D Notice Filings: 2				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Financial Achievements Corporation / Orange Shoe Personal Fitness	Deerfield, WI	6/7/2018	619746	Registered
Number of Franchise Filings: 1				

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