

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/3/2018 through 6/9/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALPS SERIES TR BEACON ACCELERATED RETURN STRATEGY FUND CLASS A	DENVER, CO	6/8/2018	00840516-03	Registered
ALPS SERIES TR BEACON PLANNED RETURN STRATEGY FUND CLASS A	DENVER, CO	6/8/2018	00840517-03	Registered
NUVEEN INVESTMENT FUNDS INC NUVEEN MID CAP VALUE FUND CLASS R6	CHICAGO, IL	6/8/2018	00840535-03	Registered
ADVISORS INNER CIRCLE FUND 2 FROST CREDIT FUND A CLASS SHARES	BOSTON, MA	6/7/2018	00840510-03	Registered
ADVISORS INNER CIRCLE FUND 2 FROST TOTAL RETURN BOND FUND A CLASS SHARES	BOSTON, MA	6/7/2018	00840509-03	Registered
FT 7383 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 31	WHEATON, IL	6/7/2018	00840580-05	Registered
FT 7385 AQA LARGE CAP PORTFOLIO SERIES	WHEATON, IL	6/7/2018	00840581-05	Registered
FT 7390 US INFRASTRUCTURE PORTFOLIO SRIES 15	WHEATON, IL	6/7/2018	00840573-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7391 ENERGY SELECT PORTFOLIO SERIES 68	WHEATON, IL	6/7/2018	00840579-05	Registered
FT 7392 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 50	WHEATON, IL	6/7/2018	00840584-05	Registered
FT 7393 DIVIDEND EDGE PORTFOLIO SERIES 11	WHEATON, IL	6/7/2018	00840585-05	Registered
FT 7394 DIVIDEND STRENGTH OPPORTUNITY PORTFOLIO SERIES 5	WHEATON, IL	6/7/2018	00840586-05	Registered
FT 7395 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 28	WHEATON, IL	6/7/2018	00840587-05	Pending-Cleared
FT 7399 STONEBRIDGE PREFERRED INCOME PORTFOLIO SERIES 18	WHEATON, IL	6/7/2018	00840588-05	Registered
FT 7400 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 33	WHEATON, IL	6/7/2018	00840589-05	Registered
FT 7405 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 31	WHEATON, IL	6/7/2018	00840590-05	Registered
FT 7413 BALANCED INCOME PORTFOLIO SERIES 62	WHEATON, IL	6/7/2018	00840576-05	Registered
FT 7420 BIOTECHNOLOGY PORTFOLIO SERIES 41	WHEATON, IL	6/7/2018	00840577-05	Registered
FT 7422 CYCLICAL STRENGTH PORTFOLIO SERIES 22	WHEATON, IL	6/7/2018	00840582-05	Registered
FT 7424 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 14	WHEATON, IL	6/7/2018	00840578-05	Registered
FT 7428 STRATEGIC INCOME ADVANTAGE OPPORTUNITY CLOSED END PORTFOLIO SERIES 7	WHEATON, WI	6/7/2018	00840574-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 7474 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 67	WHEATON, IL	6/7/2018	00840575-05	Registered
FT 7478 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	6/7/2018	00840583-05	Registered
AB CAP FUND INC AB FLEXFEE EMERGING MARKETS GROWTH PORTFOLIO ADVISOR CLASS	NEW YORK, NY	6/6/2018	00840447-03	Registered
AB CAP FUND INC AB FLEXFEE INTERNATIONAL STRATEGIC CORE PORTFOLIO ADVISOR CLASS	NEW YORK, NY	6/6/2018	00840446-03	Registered
INVESCO UNIT TR SERIES 1886	DOWNERS GROVE, IL	6/6/2018	00840567-05	Pending-Cleared
INVESCO UNIT TR SERIES 1887	DOWNERS GROVE, IL	6/6/2018	00840566-05	Pending-Cleared
INVESCO UNIT TR SERIES 1888	DOWNERS GROVE, IL	6/6/2018	00840565-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 609 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 33	DOWNERS GROVE, IL	6/6/2018	00840568-05	Pending-Cleared
INVESTCO UNIT TR MUNICIPAL SERIES 1313 INVESTMENT GRADE MUNICIPAL TR 7 13 YEAR SERIES 78	DOWNERS GROVE, IL	6/6/2018	00840569-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1868 CORE ANGELS PORTFOLIO SERIES 2018 1	MONUMENT, CO	6/4/2018	00840556-05	Registered
ADVISORS DISCIPLINED TR 1868 UBIQUITOUS OPPORTUNITIES PORTFOLIO SERIES 2018 1	MONUMENT, CO	6/4/2018	00840551-05	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1869 AMERICAN RENAISSANCE PORTFOLIO SERIES 2018 2 CJL PORTFOLIO	MONUMENT, CO	6/4/2018	00840557-05	Registered
ADVISORS DISCIPLINED TR 1869 BUILDING AMERICA STRATEGY PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840544-05	Registered
ADVISORS DISCIPLINED TR 1869 BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840553-05	Registered
ADVISORS DISCIPLINED TR 1869 COHEN & STEERS CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840552-05	Registered
ADVISORS DISCIPLINED TR 1869 COHEN & STEERS MLP MIDSTREAM & ENERGY INCOME CLOSED END PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840545-05	Registered
ADVISORS DISCIPLINED TR 1869 COHEN & STEERS MUNICIPAL CLOSED END PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840547-05	Registered
ADVISORS DISCIPLINED TR 1869 COHEN & STEERS SENIOR VARIABLE & INCOME CLOSED END PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840546-05	Registered
ADVISORS DISCIPLINED TR 1869 GLOBAL BASIC MATERIALS PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840560-05	Registered
ADVISORS DISCIPLINED TR 1869 HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840554-05	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1869 SMALL MID CAP ADVANTAGE PORTFOLIO SERIES 2018 2	MONUMENT, CO	6/4/2018	00840559-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1766 EQUITY & INCOME PORTFOLIO OF CEFS SERIES 44	CHICAGO, IL	6/4/2018	00840555-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1767 COVERED CALL & INCOME PORTFOLIO OF CEFS SERIES 41	CHICAGO, IL	6/4/2018	00840550-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1768 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 56	CHICAGO, IL	6/4/2018	00840549-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1769 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 69	CHICAGO, IL	6/4/2018	00840548-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1770 UBS OPPORTUNISTIC EQUITY INCOME LIST EQUITY ADVISORY GROUP 2018 2 SERIES 13	CHICAGO, IL	6/4/2018	00840558-05	Registered
PRUDENTIAL GLOBAL TOTAL RETURN FUND INC PRUDENTIAL GLOBAL TOTAL RETURN FUND CLASS R4	NEWARK, NJ	6/4/2018	00840428-03	Registered
PRUDENTIAL GLOBAL TOTAL RETURN FUND INC PRUDENTIAL GLOBAL TOTAL RETURN FUND CLASS R2	NEWARK, NJ	6/4/2018	00840426-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 12 PRUDENTIAL GLOBAL REAL ESTATE FUND CLASS R2	NEWARK, NJ	6/4/2018	00840431-03	Registered

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PRUDENTIAL INVESTMENT PORTFOLIOS 12 PRUDENTIAL GLOBAL REAL ESTATE FUND CLASS R4	NEWARK, NJ	6/4/2018	00840417-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 10 PRUDENTIAL QMA MID CAP VALUE FUND CLASS R2	NEWARK, NJ	6/4/2018	00840423-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 10 PRUDENTIAL QMA MID CAP VALUE FUND CLASS R4	NEWARK, NJ	6/4/2018	00840415-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 15 PRUDENTIAL HIGH YIELD FUND CLASS R2	NEWARK, NJ	6/4/2018	00840427-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 15 PRUDENTIAL HIGH YIELD FUND CLASS R4	NEWARK, NJ	6/4/2018	00840413-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 17 PRUDENTIAL TOTAL RETURN BOND FUND CLASS R2	NEWARK, NJ	6/4/2018	00840418-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC 17 PRUDENTIAL TOTAL RETURN BOND FUND CLASS R4	NEWARK, NJ	6/4/2018	00840420-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC PRUDENTIAL JENNISON GROWTH FUND CLASS R2	NEWARK, NJ	6/4/2018	00840422-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC PRUDENTIAL JENNISON GROWTH FUND CLASS R4	NEWARK, NJ	6/4/2018	00840424-03	Registered
PRUDENTIAL JENNISON MID CAP GROWTH FUND INC CLASS R2	NEWARK, NJ	6/4/2018	00840430-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PRUDENTIAL JENNISON MID CAP GROWTH FUND INC CLASS R4	NEWARK, NJ	6/4/2018	00840432-03	Registered
PRUDENTIAL JENNISON SMALL CO FUND INC CLASS R2	NEWARK, NJ	6/4/2018	00840425-03	Registered
PRUDENTIAL JENNISON SMALL CO FUND INC CLASS R4	NEWARK, NJ	6/4/2018	00840419-03	Registered
PRUDENTIAL SHORT TERM CORPORATE BOND FUND INC CLASS R2	NEWARK, NJ	6/4/2018	00840429-03	Registered
PRUDENTIAL SHORT TERM CORPORATE BOND FUND INC CLASS R4	NEWARK, NJ	6/4/2018	00840421-03	Registered
TARGET PORTFOLIO TR PRUDENTIAL QMA SMALL CAP VALUE FUND CLASS R4	NEWARK, NJ	6/4/2018	00840416-03	Registered
TARGET PORTFOLIO TR PRUDENTIAL QMA SMALL CAP VALUE FUND CLASS R2	NEWARK, NJ	6/4/2018	00840414-03	Registered
Number of Investment Company Filings: 67				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BIOMATICS CAPITAL PARTNERS 2 LP	SEATTLE, WA	6/8/2018	00840631-07	Filed
EDISON PARTNERS 9 LP	PRINCETON, NJ	6/8/2018	00840632-07	Filed
FACTORYFIX INC	CHICAGO, IL	6/8/2018	00840642-07	Filed
FOREVERCAR HOLDINGS INC	CHICAGO, IL	6/8/2018	00840644-07	Filed
FOREVERCAR HOLDINGS INC	CHICAGO, IL	6/8/2018	00840637-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MADRONA VENTURE FUND 7 LP	SEATTLE, WA	6/8/2018	00840643-07	Filed
OAKTREE SPECIAL SITUATIONS FUND 2 FEEDER LP	LOS ANGELES, CA	6/8/2018	00840634-07	Filed
OAKTREE SPECIAL SITUATIONS FUND 2 LP	LOS ANGELES, CA	6/8/2018	00840633-07	Filed
POLLCORE INC	DES PLAINES, IL	6/8/2018	00840635-07	Filed
REDHAWK MINERALS FUND 2 LP	DALLAS, TX	6/8/2018	00840645-07	Filed
RIVERVIEW INVESTMENTS LLC	EVANSVILLE, IN	6/8/2018	00840640-07	Filed
TAK COMMUNICATIONS WI LLC	SIOUX FALLS, SD	6/8/2018	00840641-07	Filed
VICIS INC	SEATTLE, WA	6/8/2018	00840638-07	Filed
WRR MADISON 7 LP	FORT WORTH, TX	6/8/2018	00840630-07	Filed
ZIEGLER EMPLOYEE OPPORTUNITY FUND 1 LLC	MILWAUKEE, WI	6/8/2018	00840639-07	Filed
HOMETOWN BANCORP LTD	N FOND DU LAC, WI	6/7/2018	00840571-07	Filed
PALMETTO CATASTROPHE FUND LP	HAMILTON, AA	6/7/2018	00840572-07	Filed
TRIGRAN INVESTMENTS LP 2	NORTHBROOK, IL	6/6/2018	00840564-07	Filed
XLS ENERGY INC	LAS VEGAS, NV	6/4/2018	00840541-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Maid Right, LLC	Charlottesville, VA	6/9/2018	619759	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Sears Home & Business Franchises, Inc. / Sears Maid Services	Columbus, OH	6/8/2018	619758	Registered
Sears Home & Business Franchises, Inc. / Sears Handyman Solutions	Columbus, OH	6/8/2018	619757	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Garage Door	Columbus, OH	6/8/2018	619756	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Carpet & Upholstery Care	Columbus, OH	6/8/2018	619755	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Air Duct Cleaning	Columbus, OH	6/8/2018	619754	Registered
Meathead Franchising, LLC / MEATHEADS®	Chicago, IL	6/8/2018	619753	Registered
Scotty's Brewhouse Franchising, LLC / Scotty's Brewhouse	Indianapolis, IN	6/8/2018	619751	Registered
SHH Group, LLC / Sculpture Hospitality	Toronto, ON	6/7/2018	619750	Registered
Financial Achievements Corporation / Orange Shoe Personal Fitness	Deerfield, WI	6/7/2018	619746	Registered
Captain D's, LLC	Nashville, TN	6/6/2018	619743	Registered
ESH Strategies Franchise LLC / Extended Stay America	Charlotte, NC	6/6/2018	619742	Registered
Frenchies, LLC / Frenchies	Littleton, CO	6/6/2018	619741	Registered
Two Maids Franchising, LLC / Two Maids & A Mop	Birmingham, AL	6/5/2018	619735	Registered
EVERYTHING YOGURT BRANDS, LLC / SOUTH PHILLY STEAKS & FRIES	Morristown, NJ	6/5/2018	619734	Registered
EVERYTHING YOGURT BRANDS, LLC / GREEN LEAF'S BEYOND GREAT SALADS/BANANAS SMOOTHIES & FROZEN YOGURT	Morristown, NJ	6/5/2018	619733	Registered
VILLA PIZZA, LLC / VILLA PIZZA	Morristown, NJ	6/5/2018	619732	Registered
EVOLVE REAL ESTATE GROUP, LLC / REALean	St. Petersburg, FL	6/5/2018	619731	Registered
SCA FRANCHISING CORP / SCA Appraisal Services	BURBANK, CA	6/5/2018	619730	Registered
SWEETWATERS GROUP LLC / SWEETWATERS	ANN ARBOR, MI	6/4/2018	619729	Registered
Number of Franchise Filings: 20				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/3/2018 through 6/9/2018**

Corporate Take-Over Law Filings Made with DFI's Securities Division	
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Takeover Filing Reference	Date Received
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Number of Takeover Filings: 0
