

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/6/2018 through 5/12/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7032 DIVIDEND INCOME TR SERIES 16	WHEATON, IL	5/11/2018	00838754-05	Registered
FT 7335 SABRIENT BAKERS DOZEN PORTFOLIO MAY 2018 SERIES	WHEATON, IL	5/11/2018	00838765-05	Registered
FT 7342 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 36	WHEATON, IL	5/11/2018	00838760-05	Registered
FT 7351 AMERICAN AGENDA PORTFOLIO SERIES 5	WHEATON, IL	5/11/2018	00838759-05	Registered
FT 7353 EQUITY INCOME SELECT PORTFOLIO SERIES 57	WHEATON, IL	5/11/2018	00838764-05	Registered
FT 7357 ESG IMPACT OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	5/11/2018	00838758-05	Registered
FT 7358 GLOBAL DIVIDEND PORTFOLIO SERIES 55	WHEATON, IL	5/11/2018	00838753-05	Registered
FT 7359 SMID BIOTECHNOLOGY PORTFOLIO SERIES 2	WHEATON, IL	5/11/2018	00838756-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7360 SABRIENT RISING RATE PORTFOLIO SERIES 8	WHEATON, IL	5/11/2018	00838763-05	Registered
FT 7367 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 39	WHEATON, IL	5/11/2018	00838762-05	Registered
FT 7369 SMID HIGH DIVIDEND PORTFOLIO SERIES 30	WHEATON, IL	5/11/2018	00838757-05	Registered
FT 7370 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 67	WHEATON, IL	5/11/2018	00838766-05	Registered
FT 7371 EQUITY INCOME PORTFOLIO SERIES 60	WHEATON, IL	5/11/2018	00838761-05	Registered
FT 7372 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	5/11/2018	00838755-05	Registered
FUNDVANTAGE TR SIRIOS LONG SHORT FUND INSTITUTIONAL CLASS	WILMINGTON, DE	5/11/2018	00838650-03	Registered
TR FOR PROFESSIONAL MANAGERS CROSSINGBRIDGE LOW DURATION HIGH YIELD FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	5/10/2018	00838724-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1316 INSURED MUNICIPALS INCOME TR SERIES 700	DOWNERS GROVE, IL	5/9/2018	00838704-05	Registered
INVESCO UNIT TR SERIES 1878 NEW WORLD LEADERS PORTFOLIO 2018 2	DOWNERS GROVE, IL	5/9/2018	00838702-05	Pending-Cleared
INVESCO UNIT TR SERIES 1879 POLICY OPPORTUNITIES PORTFOLIO 2018 2	DOWNERS GROVE, IL	5/9/2018	00838701-05	Pending-Cleared
INVESCO UNIT TR SERIES 1880	DOWNERS GROVE, IL	5/9/2018	00838700-05	Pending-Cleared

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INVESCO UNIT TR SERIES 1881	DOWNERS GROVE, IL	5/9/2018	00838699-05	Pending-Cleared
INVESCO UNIT TR SERIES 1882	DOWNERS GROVE, IL	5/9/2018	00838698-05	Pending-Cleared
INVESCO UNIT TR SERIES 1883	DOWNERS GROVE, IL	5/9/2018	00838697-05	Pending-Cleared
INVESCO UNIT TR SERIES 1884 ESG OPPORTUNITY PORTFOLIO 2018 3	DOWNERS GROVE, IL	5/9/2018	00838696-05	Pending-Cleared
INVESCO UNIT TR SERIES 1885 US DEFENSE PORTFOLIO 2018 2	DOWNERS GROVE, IL	5/9/2018	00838695-05	Pending-Cleared
MORGAN STANLEY PORTFOLIOS SERIES 22 STOCKS FOR 2021	PURCHASE, NY	5/9/2018	00838703-05	Registered
ADVISORS DISCIPLINED TR 1866 AEROSPACE DEFENSE OPPORTUNITIES PORTFOLIO SERIES 2018 2	MONUMENT, CO	5/7/2018	00838661-05	Registered
ADVISORS DISCIPLINED TR 1866 COHEN & STEERS COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2018 2	MONUMENT, CO	5/7/2018	00838662-05	Registered
ADVISORS DISCIPLINED TR 1866 COHEN & STEERS DYNAMIC INCOME PORTFOLIO SERIES 2018 2	MONUMENT, CO	5/7/2018	00838663-05	Registered
ADVISORS DISCIPLINED TR 1866 COHEN & STEERS GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2018 2	MONUMENT, CO	5/7/2018	00838664-05	Registered
ADVISORS DISCIPLINED TR 1866 TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2018 2	MONUMENT, CO	5/7/2018	00838665-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIERA CAPITAL SERIES TR FIERA CAPITAL EMERGING MARKETS FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	5/7/2018	00838666-03	Registered
FIERA CAPITAL SERIES TR FIERA CAPITAL EMERGING MARKETS FUND INVESTOR CLASS SHARES	NEW YORK, NY	5/7/2018	00838667-03	Registered
FT 7334 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 38	WHEATON, IL	5/7/2018	00838672-05	Registered
FT 7334 SMID CAPITAL STRENGTH PORTFOLIO SERIES 38	WHEATON, IL	5/7/2018	00838668-05	Registered
FT 7340 DOW TARGET 10 MAY 18 TERM 6 7 19	WHEATON, IL	5/7/2018	00838675-05	Registered
FT 7343 TECHNOLOGY SELECT PORTFOLIO SERIES 69	WHEATON, IL	5/7/2018	00838677-05	Registered
FT 7344 CORPORATE TAX REFORM PORTFOLIO SERIES 6	WHEATON, IL	5/7/2018	00838671-05	Registered
FT 7350 NASDAQ FACTOR DOGS OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	5/7/2018	00838670-05	Registered
FT 7352 DEEP VALUE DIVIDEND PORTFOLIO SERIES 19	WHEATON, IL	5/7/2018	00838676-05	Registered
FT 7361 INTEREST RATE HEDGE OPPORTUNITIES PORTFOLIO SERIES 5	WHEATON, IL	5/7/2018	00838673-05	Registered
FT 7403 CONTENT LEADERS PORTFOLIO SERIES 9	WHEATON, IL	5/7/2018	00838674-05	Registered
FT 7415 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 66	WHEATON, IL	5/7/2018	00838669-05	Registered
Number of Investment Company Filings: 43				

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BANQU INC	MINNETONKA, MN	5/9/2018	00838750-07	Filed
BCAUSE LLC	VIRGINIA BCH, VA	5/9/2018	00838747-07	Filed
BEBOP SENSORS INC	BERKELEY, CA	5/9/2018	00838746-07	Filed
CHAMPLAIN MID CAP FUND LLC	BURLINGTON, VT	5/9/2018	00838730-07	Filed
HPI REAL ESTATE FUND 6 LLC	OLD LYME, CT	5/9/2018	00838741-07	Filed
IRONSIDES DIRECT INVESTMENT FUND 5 LP	ANDOVER, MA	5/9/2018	00838740-07	Filed
IRONSIDES PARTNERSHIP FUND 5 LP	ANDOVER, MA	5/9/2018	00838738-07	Filed
LIFEFUELS INC	RESTON, VA	5/9/2018	00838736-07	Filed
MARINA BIOTECH INC	CITY OF INDUSTRY, CA	5/9/2018	00838751-07	Filed
MIDGARD SELF STORAGE ROSWELL GA LLC	ROSWELL, GA	5/9/2018	00838752-07	Filed
NEXUS SPECIAL SITUATIONS 2 LP	LOS ANGELES, CA	5/9/2018	00838732-07	Filed
OCUPHIRE PHARMA INC	WEST BLOOMFIELD, MI	5/9/2018	00838729-07	Filed
PENA MH INVESTMENTS LLC	LOVELAND, CO	5/9/2018	00838737-07	Filed
PRISM ER VENTURES LLC	SCOTTSDALE, AZ	5/9/2018	00838739-07	Filed
REZOLUTE INC	LOUISVILLE, CO	5/9/2018	00838734-07	Filed
SIGHTLINE MS GP LLC	BLOOMINGTON, MN	5/9/2018	00838733-07	Filed
TPG REAL ESTATE PARTNERS 3 LP	FT WORTH, TX	5/9/2018	00838745-07	Filed
WESTMINSTER REALTY PARTNERS 3 LP	LAKE FOREST, IL	5/9/2018	00838743-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WT HOLDINGS INC	MEMPHIS, TN	5/9/2018	00838749-07	Filed
WTI EQUITY OPPORTUNITY FUND 2 LP	MENLO PARK, CA	5/9/2018	00838705-07	Filed
YS ALTNOTES 1 LLC	NEW YORK, NY	5/9/2018	00838742-07	Filed
MEDMEN OPPORTUNITY FUND 2 LP	CULVER CITY, CA	5/7/2018	00838678-07	Filed
VALISURE LLC	NEW HAVEN, CT	5/7/2018	00838680-07	Filed
Number of Regulation D Notice Filings: 23				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
P&B Franchise, LLC / Primp and Blow Area Representative Offering	Scottsdale, AZ	5/12/2018	619622	Registered
P&B Franchise, LLC / Primp and Blow	Scottsdale, AZ	5/12/2018	619621	Registered
Amazing Lash Studio Franchise, LLC / Amazing Lash Studio	Scottsdale, AZ	5/12/2018	619620	Registered
The Lash Lounge Franchise, LLC / The Lash Lounge	Grapevine, TX	5/11/2018	619619	Registered
Famous Dave's of America, Inc. / Famous Dave's®	Minnetonka, MN	5/11/2018	619618	Registered
Keystone Insurers Group, Inc.	Northumberland, PA	5/11/2018	619617	Registered
REAL LIVING REAL ESTATE, LLC / Real Living Real Estate	Irvine, CA	5/11/2018	619616	Registered
Real Deals, Inc. / Real Deals on Home Decor	Buhl, ID	5/11/2018	619614	Registered
ACU Development LLC / MA Modern Acupuncture (AR)	Scottsdale, AZ	5/11/2018	619613	Registered
Fox's Pizza Den, Inc.	Murrysville, PA	5/11/2018	619611	Registered
HBG Franchise, LLC / Habit Burger	Irvine, CA	5/11/2018	619610	Registered
Remedy Intelligent Staffing, LLC	Atlanta, GA	5/11/2018	619609	Registered
Beyond Franchise Group, LLC / Pokeworks	Baldwin Park, CA	5/11/2018	619608	Registered
CB Franchise Systems, LLC / The Counter	Scottsdale, AZ	5/11/2018	619607	Registered

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Built Franchise Systems, LLC / Built Custom Burgers	Scottsdale, AZ	5/11/2018	619606	Registered
MTY Franchising USA, Inc. / Grabbagreen	Scottsdale, AZ	5/11/2018	619604	Registered
BHH Affiliates LLC / Berkshire Hathaway HomeServices	Irvine, CA	5/11/2018	619605	Registered
FADS USA, Inc. / Fred Astaire Dance Studios (Area Representative)	Longmeadow, MA	5/11/2018	619602	Registered
FTS Holdings, LLC / Focused Tax Solutions	Brookfield, WI	5/11/2018	619601	Registered
FOOD CHAIN INVESTMENTS USA LLC / SAFFRON	Encino, CA	5/11/2018	619600	Registered
Pool Scouts Franchising, LLC	Virginia Beach, VA	5/11/2018	619598	Registered
Suspended In Time, Inc.	Orem, UT	5/10/2018	619593	Registered
Sub Zero Franchising, Inc. / Sub Zero Nitrogen Ice Cream	Provo, UT	5/10/2018	619596	Registered
Upper Midwest Realty Inc / EXIT Realty Upper Midwest	Lakeville, MN	5/10/2018	619594	Registered
PRIMROSE SCHOOL FRANCHISING CO / PRIMROSE SCHOOLS	ACWORTH, GA	5/10/2018	619590	Registered
Club Metro USA Franchising, LLC / Club Metro USA	Toms River, NJ	5/10/2018	619589	Registered
Mosquito Joe Franchising, LLC / Mosquito Joe	Virginia Beach, VA	5/10/2018	619588	Registered
Goliathtech Inc / Goliathtech	Magog Quebec, ON	5/10/2018	619587	Registered
Gold's Gym Franchising LLC / Gold's Gym	Dallas, TX	5/9/2018	619586	Registered
DIPPIN' DOTS FRANCHISING, L.L.C. / DIPPIN' DOTS	Paducah, KY	5/9/2018	619585	Registered
The Joint Corp. / The Joint Chiropractic (RD)	Scottsdale, AZ	5/9/2018	619584	Registered
The Joint Corp. / The Joint Chiropractic	Scottsdale, AZ	5/9/2018	619583	Registered
Proexco, LLC / Fitness Machine Technicians FMT	Malvern, PA	5/9/2018	619580	Registered
United Franchise Holdings, LLC / United Water Restoration Group	Ormond Beach, FL	5/9/2018	619582	Registered
VALPAK DIRECT MARKETING SYSTEMS INC / VALPAK	St. Petersburg, FL	5/9/2018	619581	Registered
Orkin Systems, LLC / Orkin	Atlanta, GA	5/9/2018	619579	Registered
Critter Control, Inc. / Critter Control	Atlanta, GA	5/9/2018	619578	Registered

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AERUS FRANCHISING, LLC / AERUS, AERUS ELECTROLUX, LUX	DALLAS, TX	5/8/2018	619577	Registered
Grout Doctor Global Franchise Corp / The Grout Doctor	Salt Lake City, UT	5/8/2018	619576	Registered
Delta Development Group, LLC / Delta Disaster Services	Arvadas, CO	5/8/2018	619574	Registered
Hummus Republic Franchising USA, Inc. / Hummus Republic	Santa Monica, CA	5/8/2018	619573	Registered
NM Franchise Operations, LLC / Neat Method	Southaven, MS	5/7/2018	619572	Registered
Monkburger Franchise Group LLC / Monk's Bar and Grill®	Wisconsin Dells, WI	5/7/2018	619571	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Sandwich Shop	EAU CLAIRE, WI	5/7/2018	619570	Registered
DOCTOR'S ASSOCIATES INC / Subway	Doral, FL	5/7/2018	619569	Registered
Ben's Soft Pretzels Franchising Corporation / BEN'S SOFT PRETZELS	Goshen, IN	5/7/2018	619568	Registered
MINT CONDITION FRANCHISING, INC. / Mint Condition	FORT MILL, SC	5/7/2018	619567	Registered
WINGSTOP RESTAURANTS INC / Wing-Stop®	Dallas, TX	5/7/2018	619566	Registered
Scramblers Brands Franchise Development, LLC / Scramblers	Toledo, OH	5/7/2018	619565	Registered
Number of Franchise Filings: 49				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	