

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLACKSTONE GSO FLOATING RATE ENHANCED INCOME FUND BROKERAGE CLASS T	NEW YORK, NY	4/27/2018	00837697-01	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7304 GLOBAL COMMODITIES OPPORTUNITY PORTFOLIO SERIES 34	WHEATON, IL	4/27/2018	00837827-05	Pending-Cleared
FT 7306 DIVIDEND STRENGTH PORTFOLIO SERIES 40	WHEATON, IL	4/27/2018	00837829-05	Registered
FT 7333 TACTICAL INCOME PORTFOLIO SERIES 52	WHEATON, IL	4/27/2018	00837825-05	Pending-Cleared
FT 7338 ELECTION PORTFOLIO 2016 SERIES 7	WHEATON, IL	4/27/2018	00837828-05	Registered
FT 7339 EQUITY INCOME OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	4/27/2018	00837823-05	Registered
FT 7341 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 22	WHEATON, IL	4/27/2018	00837826-05	Pending-Cleared
FT 7366 INTEREST RATE HEDGE PORTFOLIO SERIES 118	WHEATON, IL	4/27/2018	00837824-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GOLDMAN SACHS TR GOLDMAN SACHS INTERNATIONAL EQUITY DIVIDEND & PREMIUM FUND CLASS R6 SHARES	CHICAGO, IL	4/27/2018	00837701-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS INTERNATIONAL TAX MANAGED EQUITY FUND CLASS R6 SHARES	CHICAGO, IL	4/27/2018	00837704-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS MANAGED FUTURES STRATEGY FUND CLASS R6 SHARES	CHICAGO, IL	4/27/2018	00837703-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS US EQUITY DIVIDEND & PREMIUM FUND CLASS R6 SHARES	CHICAGO, IL	4/27/2018	00837702-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS US TAX MANAGED EQUITY FUND CLASS R6 SHARES	CHICAGO, IL	4/27/2018	00837705-03	Pending-Cleared
PIONEER SERIES TR XI PIONEER CORE EQUITY FUND CLASS K SHARES	BOSTON, MA	4/27/2018	00837698-03	Pending-Cleared
PIONEER SERIES TR XI PIONEER CORE EQUITY FUND CLASS R SHARES	BOSTON, MA	4/27/2018	00837699-03	Pending-Cleared
ROYCE FUND ROYCE INTERNATIONAL PREMIER FUND INSTITUTIONAL CLASS	NEW YORK, NY	4/27/2018	00837700-03	Pending-Cleared
SMART TR 318 SMART TR TECHNOLOGY REVOLUTION TR SERIES 1	PARSIPPANY, NJ	4/27/2018	00837831-05	Pending-Cleared
SMART TR 380 SMART TR HEALTHCARE INNOVATIONS TR SERIES 5	PARSIPPANY, NJ	4/27/2018	00837830-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BARON SELECT FUNDS BARON HEALTH CARE FUND INSTITUTIONAL SHARES	NEW YORK, NY	4/26/2018	00837317-03	Registered
BARON SELECT FUNDS BARON HEALTH CARE FUND R6 SHARES	NEW YORK, NY	4/26/2018	00837319-03	Registered
BARON SELECT FUNDS BARON HEALTH CARE FUND RETAIL SHARES	NEW YORK, NY	4/26/2018	00837318-03	Registered
PIMCO EQUITY SERIES PIMCO EQS LONG SHORT FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837177-03	Registered
PIMCO FUNDS PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837170-03	Registered
PIMCO FUNDS PIMCO ALL ASSET FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837171-03	Registered
PIMCO FUNDS PIMCO COMMODITIESPLUS STRATEGY FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837172-03	Registered
PIMCO FUNDS PIMCO COMMODITYREALRETURN STRATEGY FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837173-03	Registered
PIMCO FUNDS PIMCO DIVERSIFIED INCOME FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837174-03	Registered
PIMCO FUNDS PIMCO EMERGING LOCAL BOND FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837176-03	Registered
PIMCO FUNDS PIMCO EMERGING MARKETS BOND FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837175-03	Registered
PIMCO FUNDS PIMCO FOREIGN BOND FUND UNHEDGED CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837179-03	Registered

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Firm Name	Location	Date Received	File Number	Status
PIMCO FUNDS PIMCO FOREIGN BOND FUND US DOLLAR HEDGED CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837178-03	Registered
PIMCO FUNDS PIMCO HIGH YIELD FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837180-03	Registered
PIMCO FUNDS PIMCO HIGH YIELD SPECTRUM FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837181-03	Registered
PIMCO FUNDS PIMCO INCOME FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837182-03	Registered
PIMCO FUNDS PIMCO INVESTMENT GRADE CORPORATE BOND FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837183-03	Registered
PIMCO FUNDS PIMCO LOW DURATION FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837184-03	Registered
PIMCO FUNDS PIMCO LOW DURATION INCOME FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837185-03	Registered
PIMCO FUNDS PIMCO MORTGAGE BACKED SECURITIES FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837187-03	Registered
PIMCO FUNDS PIMCO MORTGAGE OPPORTUNITIES FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837186-03	Registered
PIMCO FUNDS PIMCO PREFERRED & CAPITAL SECURITIES FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837188-03	Registered
PIMCO FUNDS PIMCO RAE FUNDAMENTAL PLUS FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837189-03	Registered
PIMCO FUNDS PIMCO REAL RETURN FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837190-03	Registered

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Firm Name	Location	Date Received	File Number	Status
PIMCO FUNDS PIMCO REALESTATEREALRETURN STRATEGY FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837191-03	Registered
PIMCO FUNDS PIMCO SHORT ASSET INVESTMENT FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837192-03	Registered
PIMCO FUNDS PIMCO SHORT TERM FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837193-03	Registered
PIMCO FUNDS PIMCO STOCKSPLUS ABSOLUTE RETURN FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837194-03	Registered
PIMCO FUNDS PIMCO STOCKSPLUS FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837195-03	Registered
PIMCO FUNDS PIMCO STOCKSPLUSR INTERNATIONAL FUND UNHEDGED CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837197-03	Registered
PIMCO FUNDS PIMCO STOCKSPLUSR INTERNATIONAL FUND US DOLLAR HEDGED CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837196-03	Registered
PIMCO FUNDS PIMCO STOCKSPLUSR SHORT FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837198-03	Registered
PIMCO FUNDS PIMCO STOCKSPLUSR SMALL FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837199-03	Registered
PIMCO FUNDS PIMCO TOTAL RETURN FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837200-03	Registered
PIMCO FUNDS PIMCO TRENDS MANAGED FUTURES STRATEGY FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837168-03	Registered
PIMCO FUNDS PIMCO UNCONSTRAINED BOND FUND CLASS I 3	NEWPORT BEACH, CA	4/26/2018	00837169-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
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AMERICAN BEACON FUNDS AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH R6 CLASS	IRVING, TX	4/25/2018	00837163-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1754 FLOATING RATE & DIVIDEND GROWTH PORTFOLIO SERIES 17	LISLE, IL	4/25/2018	00837821-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1755 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 23	LISLE, IL	4/25/2018	00837820-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1756 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 34	LISLE, IL	4/25/2018	00837817-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1756 NATIONAL MUNICIPAL PORTFOLIO OF CEFS SERIES 38	LISLE, IL	4/25/2018	00837818-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1756 SENIOR LOAN & INCOME PORTFOLIO OF CEFS SERIES 34	LISLE, IL	4/25/2018	00837819-05	Registered
LAZARD FUNDS INC LAZARD EMERGING MARKETS CORE EQUITY PORTFOLIO R6 SHARES	NEW YORK, NY	4/25/2018	00837162-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN MULTI STYLE PREMIA FUND CLASS R6	NEW YORK, NY	4/25/2018	00837167-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN MULTI STYLE PREMIA FUND INSTITUTIONAL CLASS	NEW YORK, NY	4/25/2018	00837166-03	Registered

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for 4/22/2018 through 4/28/2018**

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NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN MULTI STYLE PREMIA FUND CLASS C	NEW YORK, NY	4/25/2018	00837165-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN MULTI STYLE PREMIA FUND CLASS A	NEW YORK, NY	4/25/2018	00837164-03	Registered
2 ROADS SHARED TR REDWOOD ALPHAFACOR TACTICAL CORE FUND CLASS N	HAUPPAUGE, NY	4/24/2018	00837103-03	Registered
2 ROADS SHARED TR REDWOOD MANAGED MUNICIPAL INCOME FUND CLASS N	HAUPPAUGE, NY	4/24/2018	00837104-03	Registered
MUTUAL FUND SERIES TR EVENTIDE GLOBAL DIVIDEND OPPORTUNITIES FUND CLASS A	HAUPPAUGE, NY	4/24/2018	00837101-03	Registered
MUTUAL FUND SERIES TR EVENTIDE GLOBAL DIVIDEND OPPORTUNITIES FUND CLASS I	HAUPPAUGE, NY	4/24/2018	00837102-03	Registered
PARNASSUS FUNDS PARNASSUS ENDEAVOR FUND INSTITUTIONAL SHARES	SAN FRANCISCO, CA	4/24/2018	00837106-03	Registered
PARNASSUS FUNDS PARNASSUS FUND INSTITUTIONAL SHARES	SAN FRANCISCO, CA	4/24/2018	00837107-03	Registered
PARNASSUS FUNDS PARNASSUS MID CAP FUND INSTITUTIONAL SHARES	SAN FRANCISCO, CA	4/24/2018	00837108-03	Registered
PARNASSUS INCOME FUNDS FIXED INCOME FUND INSTITUTIONAL SHARES	SAN FRANCISCO, CA	4/24/2018	00837105-03	Registered

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Firm Name	Location	Date Received	File Number	Status
SEI INSTITUTIONAL INVESTMENTS TR US EQUITY FACTOR ALLOCATION FUND CLASS A	OAKS, PA	4/24/2018	00837156-03	Registered
FT 7301 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 29	WHEATON, IL	4/23/2018	00837779-05	Registered
FT 7302 S&P INTERNATIONAL DIVIDEND ARISTOCRATS PORT 2Q 18	WHEATON, IL	4/23/2018	00837782-05	Registered
FT 7305 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 59	WHEATON, IL	4/23/2018	00837788-05	Pending-Cleared
FT 7323 TACTICAL INFLATION PORTFOLIO SERIES	WHEATON, IL	4/23/2018	00837780-05	Registered
FT 7325 EUROPEAN DEEP VALUE DIVIDEND PORTFOLIO SERIES 19	WHEATON, IL	4/23/2018	00837787-05	Registered
FT 7326 PREFERRED INCOME OPPORTUNITY PORTFOLIO SERIES 9	WHEATON, IL	4/23/2018	00837778-05	Registered
FT 7329 SABRIENT DIVIDEND OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	4/23/2018	00837777-05	Pending-Cleared
FT 7330 SABRIENT DIVIDEND PORTFOLIO SERIES 23	WHEATON, IL	4/23/2018	00837791-05	Registered
FT 7332 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 54	WHEATON, IL	4/23/2018	00837789-05	Pending-Cleared
FT 7337 MLP CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	4/23/2018	00837790-05	Registered
FT 7356 CORPORATE HIGH INCOME PORTFOLIO SERIES 26	WHEATON, IL	4/23/2018	00837786-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7364 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 48	WHEATON, IL	4/23/2018	00837792-05	Pending-Cleared
FT 7365 US EQUITY CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	4/23/2018	00837781-05	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1315 QUALITY MUNICIPAL INCOME TR 20 PLUS YEAR SERIES 199	DOWNERS GROVE, IL	4/23/2018	00837796-05	Pending-Cleared
INVESCO UNIT TR SERIES 1868	DOWNERS GROVE, IL	4/23/2018	00837795-05	Registered
INVESCO UNIT TR SERIES 1871 CLOSED END STRATEGY DISCOUNT OPPORTUNITY PORTFOLIO 2018 2	DOWNERS GROVE, IL	4/23/2018	00837797-05	Registered
INVESCO UNIT TR SERIES 1872	DOWNERS GROVE, IL	4/23/2018	00837798-05	Pending-Cleared
INVESCO UNIT TR SERIES 1874	DOWNERS GROVE, IL	4/23/2018	00837799-05	Pending-Cleared
INVESCO UNIT TR SERIES 1875 DIVIDEND SUSTAINABILITY STRATEGIC OPPORTUNITY PORTFOLIO 2018 2	DOWNERS GROVE, IL	4/23/2018	00837800-05	Pending-Cleared
SMART TR 378 SMART TR ENHANCED VALUE 2 TR SERIES 20	PARSIPPANY, NJ	4/23/2018	00837784-05	Registered
SMART TR 378 SMART TR PORTFOLIO OF CLOSED END FUND OPPORTUNITIES TR SERIES 15	PARSIPPANY, NJ	4/23/2018	00837783-05	Registered
SMART TR 379 SMART TR TAX ADVANTAGED GROWTH & INCOME SERIES 16	PARSIPPANY, NJ	4/23/2018	00837785-05	Registered
Number of Investment Company Filings: 95				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AB ARYA PARTNERS CAYMAN FUND	NEW YORK, NY	4/27/2018	00837884-07	Filed
AG SUPER FUND LP	NEW YORK, NY	4/27/2018	00837886-07	Filed
ALGEBRAIX DATA CORP	AUSTIN, TX	4/27/2018	00837898-07	Filed
ALKEON GROWTH OFFSHORE FUND LTD	NEW YORK, NY	4/27/2018	00837887-07	Filed
ANGELO GORDON DIRECT LENDING 3 ASP FUND SERIES OF ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC	CHARLOTTE, NC	4/27/2018	00837891-07	Filed
CO MULTIFAMILY PORTFOLIO 4 DST	OAK BROOK, IL	4/27/2018	00837895-07	Filed
CVI CREDIT VALUE FUND B 4 LP	GRAND CAYMAN, AA	4/27/2018	00837903-07	Filed
ECOR1 CAPITAL FUND QUALIFIED LP	SAN FRANCISCO, CA	4/27/2018	00837893-07	Filed
EXETER EUROPE VALUE VENTURE 3 SC SP	LUXEMBOURG, AA	4/27/2018	00837892-07	Filed
GABI PERSONAL INSURANCE AGENCY INC	SAN FRANCISCO, CA	4/27/2018	00837889-07	Filed
HCP PRIVATE EQUITY FUND 8 LP	SAN FRANCISCO, CA	4/27/2018	00837908-07	Filed
HK YORKHOUSE COMMONS LLC	CHICAGO, IL	4/27/2018	00837894-07	Filed
HOLLEWAY IPA FUND LP	ST LOUIS, MO	4/27/2018	00837885-07	Filed
HOUND PARTNERS LONG FUND LTD	GRAND CAYMAN, AA	4/27/2018	00837906-07	Filed
HSI ARRABELLE HC LLC	BROOKFIELD, WI	4/27/2018	00837904-07	Filed
HUDSON BAY INTERNATIONAL LEVERED FUND LTD	GEORGE TOWN GRAND CAYMAN, AA	4/27/2018	00837902-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NATIONAL MULTIFAMILY PORTFOLIO 3 DST	OAK BROOK, IL	4/27/2018	00837897-07	Filed
PARAMETRIC GLOBAL DEFENSIVE EQUITY FUND LLC	MINNEAPOLIS, MN	4/27/2018	00837896-07	Filed
PATHFINDER PARTNERS OPPORTUNITY FUND 7 LP	SAN DIEGO, CA	4/27/2018	00837907-07	Filed
PORTFOLIO ADVISORS PRIVATE EQUITY FUND 2017 OFFSHORE LP	DARIEN, CT	4/27/2018	00837901-07	Filed
PPC FUND 2 LP	CHICAGO, IL	4/27/2018	00837900-07	Filed
RP ALPHA TOWER LP	DALLAS, TX	4/27/2018	00837888-07	Filed
PE PREMIER GSO CREDIT ALPHA 2 ONSHORE FEEDER LP	NEW YORK, NY	4/25/2018	00837816-07	Filed
Number of Regulation D Notice Filings: 23				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Bark Busters North America, LLC / Bark Busters	San Diego, CA	4/28/2018	619452	Registered
Tire Pros Francorp / Tire Pros	Huntersville, NC	4/28/2018	619451	Registered
Wild Bird Centers of America, inc / Wild Bird Centers of America	Glen Echo, MD	4/28/2018	619450	Registered
SPHERION STAFFING LLC / Spherion Staffing	Atlanta, GA	4/27/2018	619449	Registered
Scooters Coffee, LLC / Scooter's Coffee (Area Representative)	Omaha, NE	4/27/2018	619448	Registered
Scooter's Coffee, LLC / Scooters Coffee	Omaha, NE	4/27/2018	619447	Registered
LONG JOHN SILVERS LLC / Long John Silver's	LOUISVILLE, KY	4/27/2018	619446	Registered
H.H. Franchising Systems, Inc. / Home Helpers	CINCINNATI, OH	4/27/2018	619429	Registered
UG Franchise Operations, LLC / UFC Gym	Newport Beach, CA	4/27/2018	619445	Registered

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Healthy Pet Partners, LLC / Wag N Wash	Centennial, CO	4/27/2018	619444	Registered
The Round Table Franchise Corporation / Round Table Pizza	Atlanta, GA	4/27/2018	619443	Registered
Blink Fitness Franchising, Inc. / BLINK®	New York, NY	4/27/2018	619442	Registered
Old Chicago Franchising LLC / Old Chicago	Broomfield, CO	4/27/2018	619441	Registered
J N C Real Estate Development, LLC / Salons By JC	San Antonio, TX	4/27/2018	619440	Registered
Good Feet Worldwide, LLC / GOOD FEET®	CARLSBAD, CA	4/27/2018	619439	Registered
Brightway Insurance, Inc. / Brightway Insurance	Jacksonville, FL	4/27/2018	619438	Registered
ABRA AUTOMOTIVE SYSTEMS LP / ABRA	Brooklyn Park, MN	4/27/2018	619437	Registered
Fas-Tes Franchise Systems, LLC / Fastest Labs	San Antonio, TX	4/27/2018	619436	Registered
Le Macaron Development LLC / Le Macaron	Sarasota, FL	4/27/2018	619435	Registered
MAACO FRANCHISOR SPV LLC / MAACO, MAACO COLLISION REPAIR & AUTO PAINTING, MAACO AUTO PAINTING & BODYWORKS	Charlotte, NC	4/27/2018	619434	Registered
YOU MOVE ME LLC / YOU MOVE ME	Vancouver, BC	4/27/2018	619433	Registered
Slumberland Franchising, Inc. / Slumberland	Little Canada, MN	4/27/2018	619432	Registered
American Blue Ribbon Holdings, LLC / Village Inn	Nashville, TN	4/27/2018	619431	Registered
Bin There USA, LLC / Bin There Dump That, BTDT Business	Mississauga, ON	4/27/2018	619430	Registered
Shack Shine Home Services LLC / Shack Shine	Vancouver, BC	4/27/2018	619428	Registered
Showhomes Franchise Corporation / Showhomes	Nashville, TN	4/27/2018	619427	Registered
Take 5 Franchisor SPV LLC / Take 5	Charlotte, NC	4/27/2018	619426	Registered
Buffalo Wings & Rings, LLC / Buffalo Wings & Rings	Cincinnati, OH	4/27/2018	619422	Registered
PuroSystems, LLC / PuroClean	Tamarac, FL	4/27/2018	619421	Registered
GREEN MILL RESTAURANTS LLC / Green Mill Restaurants	St. Paul, MN	4/27/2018	619420	Registered
14 Moves International, LLC / 14 Moves	Roseville, MN	4/27/2018	619419	Registered

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The Filta Group, Inc. / Filta, Filta Environmental Kitchen Solutions	Orlando, FL	4/27/2018	619418	Registered
BEN & JERRYS FRANCHISING INC / Ben & Jerry's Special Venue Scoop Shop Program	SOUTH BURLINGTON, VT	4/27/2018	619417	Registered
Casa Franchising, LLC / Casa de Corazon	Maple Grove, MN	4/27/2018	619416	Registered
AdvantaClean Systems, Inc. / AdvantaClean	Huntersville, NC	4/27/2018	619415	Registered
Expense Reduction Analysts, Inc. / Expense Reduction Analysts	Addison, TX	4/27/2018	619414	Registered
R.E.JOHNSEN,LLC / Jan-Pro of Milwaukee	West Allis, WI	4/27/2018	619410	Registered
D-BAT ACADEMIES, INC.	Carrollton, TX	4/27/2018	619412	Registered
Smoothie King Franchises, Inc. / Smoothie King	Metairie, LA	4/27/2018	619411	Registered
TRAVEL LEADERS NETWORK, LLC / Travel Leaders	Plymouth, MN	4/27/2018	619409	Registered
The UPS Store, Inc. / The UPS Store® (Non-Traditional)	SAN DIEGO, CA	4/27/2018	619408	Registered
The UPS Store, Inc. / The UPS Store® (Traditional)	SAN DIEGO, CA	4/27/2018	619407	Registered
Merle Norman Cosmetics, Inc. / Merle Norman Cosmetics	Los Angeles, CA	4/27/2018	619406	Registered
TES FRANCHISING, LLC / THE ENTREPRENEUR'S SOURCE	SOUTHBURY, CT	4/27/2018	619405	Registered
Fireline Range Development, LLC / Fireline Shooting & Training	Rice Lake, WI	4/27/2018	619404	Registered
Rush Bowls Franchising, LLC / Rush Bowls	Boulder, CO	4/27/2018	619403	Registered
Massage Heights Franchising, LLC / Massage Heights Area Representative	San Antonio, TX	4/27/2018	619401	Registered
MR SANDLESS FRANCHISE LLC / Mr. Sandless and Dr. DecknFence	ASTON, PA	4/27/2018	619400	Registered
In Home Personal Services Development, L.L.C. / In Home Personal Services	Crystal Lake, IL	4/27/2018	619399	Registered
KELLER WILLIAMS REALTY INC / Regional Representative	AUSTIN, TX	4/27/2018	619398	Registered
Freedom Franchise Systems, LLC / Freedom Boat Club, Freedom Yacht Club and Freedom Yacht and Boat Club	Venice, FL	4/27/2018	619397	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
KELLER WILLIAMS REALTY INC / Keller Williams Realty - Market Center	AUSTIN, TX	4/27/2018	619396	Registered
Cookie Advantage, Inc. / Cookie Advantage	Bixby, OK	4/27/2018	619394	Registered
ADVICOACH FRANCHISING, LLC / ADVICOACH	SOUTHBURY, CT	4/27/2018	619393	Registered
FLOWER TENT USA, INC. / Flower Tent, Flower Tent Businesses, Flower Tent USA	Kingston, PA	4/27/2018	619392	Registered
Ziebart Corporation / Ziebart	Troy, MI	4/27/2018	619390	Registered
POTBELLY FRANCHISING, LLC / Potbelly Sandwich Works	CHICAGO, IL	4/27/2018	619389	Registered
Orange Leaf Holdings, LLC / Orange Leaf Frozen Yogurt	Oklahoma City, OK	4/27/2018	619388	Registered
BURGER KING CORPORATION / Burger King	MIAMI, FL	4/27/2018	619386	Registered
Orange Leaf Holdings, LLC / Orange Leaf Frozen Yogurt - Area Representative Program	Oklahoma City, OK	4/27/2018	619387	Registered
Our Town America, A Franchising Corporation / Our Town America (Regional Development Offering)	Clearwater, FL	4/27/2018	619385	Registered
Our Town America, A Franchising Corporation / Our Town America (Unit Franchise Offering)	Clearwater, FL	4/27/2018	619384	Registered
TOGI Brands, LLC / Oberweis Ice Cream and Dairy Store, That Burger Joint, and Woodgrain Neapolitan Pizzeria	North Aurora, IL	4/27/2018	619383	Registered
BEN & JERRYS FRANCHISING INC / Ben & Jerry's Scoop Shop	SOUTH BURLINGTON, VT	4/27/2018	619382	Registered
GLOBAL RECRUITERS NETWORK, INC. / GRN Global Recruiters	Chicago, IL	4/27/2018	619381	Registered
ILKB LLC / iLoveKickboxing.com	Merrick, NY	4/26/2018	619379	Registered
SPF MGT Co., INC / RNR Tire Express	Tampa, FL	4/26/2018	619378	Registered
Costa Vida Management, LLC / Costa Vida; Costa Vida Fresh Mexican Grill	Lehi, UT	4/26/2018	619377	Registered
SYNERGY HomeCare Franchising, LLC / SYNERGY HomeCare	Gilbert, AZ	4/26/2018	619376	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
VPC Pizza Franchise, LLC / Giordano's® Restaurants	Chicago, IL	4/26/2018	619375	Registered
California Closet Company, Inc. / California Closets	Richmond, CA	4/26/2018	619374	Registered
M.G.M. LIQUOR STORES, INC. / MGM WINE & SPIRITS	ST PAUL, MN	4/26/2018	619371	Registered
SW Franchise Inc. / Super Wash	Morrison, IL	4/26/2018	619370	Registered
AlphaGraphics, Inc. / AlphaGraphics Business Center	Salt Lake City, UT	4/26/2018	619369	Registered
Crisp & Green Franchising LLC / Crisp & Green	Wayzata, MN	4/26/2018	619368	Registered
WORKOUT ANYTIME FRANCHISING SYSTEMS LLC / WORKOUT ANYTIME	Alpharetta, GA	4/26/2018	619367	Registered
NITELITES FRANCHISE SYSTEMS INC / NITELITES	FRANKLIN, OH	4/26/2018	619366	Registered
ACU Development LLC / MA Modern Acupuncture (Unit)	Scottsdale, AZ	4/26/2018	619365	Registered
OsteoStrong Franchising, LLC / OsteoStrong	Houston, TX	4/26/2018	619364	Registered
GTN CAPITAL GROUP LLC / NERDSTOGO	GUILFORD, CT	4/26/2018	619363	Registered
Vanguard Cleaning Systems Inc	San Mateo, CA	4/26/2018	619362	Registered
Cellairis SNS, LLC / Cellairis	Alpharetta, GA	4/26/2018	619361	Registered
Vive Bene Enterprises, Inc. / Papa Saverio's	Elgin, IL	4/26/2018	619360	Registered
UNITS FRANCHISING GROUP INC / UNITS	JOHNS ISLAND, SC	4/26/2018	619358	Registered
Superior Walls of America, Ltd. / Superior Walls	New Holland, PA	4/26/2018	619357	Registered
FF Franchise Systems, LLC / Famous Famiglia	White Plains, NY	4/26/2018	619356	Registered
Papa John's International, Inc. / Papa John's	Louisville, KY	4/26/2018	619355	Registered
PLA-FIT FRANCHISE LLC / PLANET FITNESS; Pla-Fit Franchise, LLC	Hampton, NH	4/26/2018	619354	Registered
Regal Nails, Salon & Spa, LLC / Regal Nails Salon & Spa	Baton Rouge, LA	4/26/2018	619353	Registered
We Rock the Spectrum, LLC / We Rock the Spectrum Kid's Gym for All Kids	Tarzana, CA	4/25/2018	619352	Registered
CROWN TROPHY INC	HAWTHORNE, NY	4/25/2018	619351	Registered
XD Franchising LLC / Xpresso Delight (Area Developer)	New York, NY	4/25/2018	619349	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MEINEKE FRANCHISOR SPV LLC / MEINEKE®	Charlotte, NC	4/25/2018	619350	Registered
XD Franchising LLC / Xpresso Delight	New York, NY	4/25/2018	619347	Registered
TGA Premier Sports, LLC / TGA Premier Sports	El Segundo, CA	4/25/2018	619348	Registered
Kona Grill, Inc. / Kona Grill	Scottsdale, AR	4/25/2018	619346	Registered
MERLIN FRANCHISOR SPV LLC / MERLIN 200,000 MILES SHOP	Charlotte, NC	4/25/2018	619345	Registered
CICI ENTERPRISES LP / Cicis Pizza and Cicis To Go	Irving, TX	4/25/2018	619343	Registered
RED ROBIN INTERNATIONAL INC / Red Robin	GREENWOOD VILLAGE, CO	4/25/2018	619342	Registered
Color World Franchise Systems, LLC / Color World AND Color World Housepainting	Powell, OH	4/25/2018	619341	Registered
Walk-On's Enterprises Franchising, LLC / "Walk On's" and "Walk-On's Bistreaux & Bar"	Baton Rouge, LA	4/25/2018	619339	Registered
Cellairis Franchise, Inc. / Cellairis	Alpharetta, GA	4/25/2018	619340	Registered
Sbarro Franchise Co., LLC / Sbarro	Columbus, OH	4/25/2018	619338	Registered
Christian Bros Automotive Corp / Christian Brothers Automotive	Houston, TX	4/25/2018	619337	Registered
NorthStar Franchising, LLC / NorthStar Moving	Chatsworth, CA	4/25/2018	619336	Registered
Corporate Caterers Franchisor, LLC / Corporate Caterers	Miami, FL	4/25/2018	619335	Registered
Anago Franchising, Inc.	Fort Lauderdale, FL	4/25/2018	619333	Registered
NTY Clothing Exchange, LLC / NTY Clothing Exchange	Minnetonka, MN	4/25/2018	619332	Registered
Bishops Franchising, LLC / Bishops	Portland, OR	4/25/2018	619331	Registered
WOOPS! Franchise, LLC / WOOPS!	Bronx, NY	4/25/2018	619330	Registered
BARKLEY VENTURES, INC. / Central Bark Doggy Day Care	Oakland Park, FL	4/25/2018	619329	Registered
Lea Lash, LLC / Flirty Girl Lash Studio	Plano, TX	4/25/2018	619328	Registered
Diesel Barbershop Franchising, LLC / Diesel Barbershop (Area Representative)	Cary, NC	4/25/2018	619327	Registered
Diesel Barbershop Franchising, LLC / Diesel Barbershop	Cary, NC	4/25/2018	619326	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MTY Franchising USA, Inc. / Ginger Sushi Boutique	Scottsdale, AZ	4/25/2018	619325	Registered
FWR, LLC / VERLO MATTRESS FACTORY STORES LLC	Milwaukee, WI	4/25/2018	619324	Registered
Citadel Panda Express, Inc. / Panda Express	Rosemead, CA	4/25/2018	619323	Registered
ROMA FRANCHISE CORP / Tony Roma's	Orlando, FL	4/25/2018	619322	Registered
Jimmy John's Franchisor SPV, LLC / Jimmy John's	Champaign, IL	4/25/2018	619321	Registered
SUNUP Insurance Services, Inc. / SUNUP Insurance (Area Representative)	Bermuda Run, NC	4/25/2018	619320	Registered
SUNUP Insurance Services, Inc. / SUNUP Insurance (Unit)	Bermuda Run, NC	4/25/2018	619319	Registered
Spavia International LLC / Spavia	Englewood, CO	4/24/2018	619316	Registered
SEAL KING INC / Seal King	LAKEVILLE, MN	4/24/2018	619314	Registered
SFF, LLC / sweetFrog	Richmond, VA	4/24/2018	619313	Registered
MOD Super Fast Pizza Franchising, LLC / MOD Pizza	Bellevue, WA	4/24/2018	619312	Registered
Allen Carr's Easyway (US) Ltd. / Allen Carr's Easyway	London,	4/24/2018	619310	Registered
BB Franchising LLC / Brain Balance Centers	Walnut Creek, CA	4/24/2018	619305	Registered
TSS Photography, LLC	Oklahoma City, OK	4/24/2018	619307	Registered
Choice Hotels International, Inc. / WoodSpring Suites	Rockville, MD	4/24/2018	619304	Registered
Massage Heights Franchising, LLC / Massage Heights	San Antonio, TX	4/24/2018	619303	Registered
SVN International Copr. / SVN	Boston, MA	4/24/2018	619299	Registered
Kona Ice, Inc. / Kona Ice	Florence, KY	4/24/2018	619297	Registered
Security Franchisors, LLC / Security 101	West Palm Beach, FL	4/24/2018	619296	Registered
AMRAMP, LLC / AMRAMP	SOUTH BOSTON, MA	4/24/2018	619293	Registered
Assisting Hands Home Care, LLC / Assisting Hands Home Care Area Representative	Nampa, ID	4/24/2018	619292	Registered
Famous Recipe Group, LLC / Lee's Famous Recipe Chicken	Shalimar, FL	4/24/2018	619291	Registered
American Shaman Franchise System, Inc. / CBD American Shaman	Kansas City, MO	4/24/2018	619286	Registered
Coffee News USA, Inc. / Coffee News	Bangor, ME	4/24/2018	619289	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MTY Franchising USA, Inc. / Mancu Wok	Scottsdale, AZ	4/24/2018	619288	Registered
1800Packouts Franchise, LLC / 1-800-Packouts	Jasper, GA	4/24/2018	619285	Registered
Skyhawks Franchise Group, LLC / Skyhawks and Super Tots	Spokane, WA	4/24/2018	619283	Registered
FADS USA, Inc. / Fred Astaire Dance Studios	Longmeadow, MA	4/24/2018	619281	Registered
Spenga Holdings LLC / Spenga	Orland Park, IL	4/24/2018	619280	Registered
Jet's America, Inc.	Sterling Heights, MI	4/24/2018	619278	Registered
Acceleration Products, Inc. / Athletic Republic	Park City, UT	4/24/2018	619279	Registered
Realty Executives Intl. SVCS. LLC / Realty Executives	Scottsdale, AZ	4/23/2018	619277	Registered
Campero USA Corp. / Pollo Campero	Dallas, TX	4/23/2018	619270	Registered
Image One Facility Solutions, Inc. / IMAGE ONE FACILITY SOLUTIONS	Rolling Meadows, IL	4/23/2018	619273	Registered
TGA Premier Junior Tennis Franchise, LLC / TGA Premier Junior Tennis	El Segundo, CA	4/23/2018	619272	Registered
TGA Premier Junior Golf Franchise, LLC / TGA Premier Junior Golf	El Segundo, CA	4/23/2018	619271	Registered
PROSE Franchising, LLC / PROSE Boutiques	Phoenix, AZ	4/23/2018	619267	Registered
Assisting Hands Home Care, LLC / Assisting Hands Home Care	Nampa, ID	4/23/2018	619265	Registered
PROSE Franchising, LLC / PROSE Boutiques (Area Representative)	Phoenix, AZ	4/23/2018	619263	Registered
DFO, LLC / Denny's Restaurant	Spartanburg, SC	4/23/2018	619262	Registered
Ultimate Fitness Group LLC / ORANGETHEORY	Boca Raton, FL	4/23/2018	619261	Registered
Executive Home Care Franchising LLC / Executive Care Your Home Care Company	Hackensack, NJ	4/23/2018	619257	Registered
Fantastic Sams Franchise Corporation / Fantastic Sams	BEVERLY, MA	4/23/2018	619258	Registered
MASSAGE LUXE INTERNATIONAL LLC / MassageLuXe Area Development Program	FENTON, MO	4/23/2018	619259	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/22/2018 through 4/28/2018**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Pistor and Associates, Inc. / Miracle Method	Colorado Springs, CO	4/23/2018	619256	Registered
MESSAGE LUXE INTERNATIONAL LLC / MassageLuXe	FENTON, MO	4/23/2018	619255	Registered
MESSAGE ENVY FRANCHISING, LLC / Massage Envy	Scottsdale, AZ	4/23/2018	619253	Registered
Your Pie Franchising, LLC / Your Pie	Athens, GA	4/23/2018	619252	Registered
British Swim Centers Franchising, LLC / British Swim School	Fort Lauderdale, FL	4/23/2018	619251	Registered
Hommati Franchise Network, Inc. / Hommati	Westerville, OH	4/23/2018	619250	Registered
Totally Nutz Franchise, LLC / Totally Nutz	St. George, UT	4/23/2018	619249	Registered
CTRL V Partners LLC / CTRL V	Waterloo, ON	4/23/2018	619248	Registered
iTRIP, LLC / iTRIPVACATIONS and ITRIP	Brentwood, TN	4/22/2018	619247	Registered
Welcomemat Franchising, LLC / Welcomemat Services	Atlanta, GA	4/22/2018	619246	Registered
PALM BEACH TAN FRANCHISING INC / Palm Beach Tan	Coppell, TX	4/22/2018	619245	Registered
Number of Franchise Filings: 169				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	