

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/8/2018 through 4/14/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FORWARD COMMUNITY INVESTMENTS INC	MADISON, WI	4/10/2018	00836393-06	Exempt
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PUTNAM MORTGAGE SECURITIES FUND CLASS R6	BOSTON, MA	4/13/2018	00836365-03	Pending-Cleared
NATIONWIDE MUTUAL FUNDS NATIONWIDE FUND CLASS R6	WEST ALLIS, WI	4/11/2018	00836424-03	Registered
RBB FUND INC ABBEY CAPITAL MULTI ASSET FUND CLASS I SHARES	MILWAUKEE, WI	4/11/2018	00836395-03	Registered
VOYA EQUITY TR VOYA LARGE CAP GROWTH FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836290-03	Registered
VOYA EQUITY TR VOYA LARGE CAP VALUE FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836292-03	Registered
VOYA EQUITY TR VOYA MIDCAP OPPORTUNITIES FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836295-03	Registered
VOYA EQUITY TR VOYA MULTI MANAGER MID CAP VALUE FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836298-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VOYA EQUITY TR VOYA REAL ESTATE FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836288-03	Registered
VOYA EQUITY TR VOYA SMALLCAP OPPORTUNITIES FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836287-03	Registered
VOYA EQUITY TR VOYA SMID CAP GROWTH FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836293-03	Registered
VOYA FUNDS TR VOYA FLOATING RATE FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836294-03	Registered
VOYA FUNDS TR VOYA HIGH YIELD BOND FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836291-03	Registered
VOYA FUNDS TR VOYA INTERMEDIATE BOND FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836289-03	Registered
VOYA FUNDS TR VOYA SHORT TERM BOND FUND CLASS P3	SCOTTSDALE, AZ	4/11/2018	00836296-03	Registered
VOYA SERIES FUND INC VOYA CORPORATE LEADERS 100 FUND CLASS R6	SCOTTSDALE, AZ	4/11/2018	00836286-03	Registered
VOYA SERIES FUND INC VOYA GLOBAL MULTI ASSET FUND CLASS R6	SCOTTSDALE, AZ	4/11/2018	00836297-03	Registered
VOYA SERIES FUND INC VOYA SMALL CO FUND CLASS R	SCOTTSDALE, AZ	4/11/2018	00836299-03	Registered
ADVISORS DISCIPLINED TR 1877 TRANSFORMERS OPPORTUNITIES PORTFOLIO SERIES 2018 2Q	MONUMENT, CO	4/10/2018	00836390-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1746 ALTERNATIVE INCOME PORTFOLIO SERIES 11	LISLE, IL	4/10/2018	00836388-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1747 FINANCIALS PORTFOLIO SERIES 11	LISLE, IL	4/10/2018	00836389-05	Registered
NUVEEN INVESTMENT TR V NUVEEN GLOBAL REAL ESTATE SECURITIES FUND CLASS A	CHICAGO, IL	4/10/2018	00836280-03	Registered
NUVEEN INVESTMENT TR V NUVEEN GLOBAL REAL ESTATE SECURITIES FUND CLASS R6	CHICAGO, IL	4/10/2018	00836281-03	Registered
NUVEEN INVESTMENT TR V NUVEEN GLOBAL REAL ESTATE SECURITIES FUND CLASS I	CHICAGO, IL	4/10/2018	00836279-03	Registered
NUVEEN INVESTMENT TR V NUVEEN GLOBAL REAL ESTATE SECURITIES FUND CLASS C	CHICAGO, IL	4/10/2018	00836278-03	Registered
1ST TR COMBINED SERIES 576 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 34	WHEATON, IL	4/9/2018	00836315-05	Registered
FIDELITY ADVISOR SERIES 2 FIDELITY ADVISOR STRATEGIC INCOME FUND FIDELITY STRATEGIC INCOME FUND	BOSTON, MA	4/9/2018	00836275-03	Registered
FT 7258 40 60 STRATEGIC ALLOCATION PORT 2Q 18 TERM 7 17 19	WHEATON, IL	4/9/2018	00836305-05	Registered
FT 7259 60 40 STRATEGIC ALLOCATION PORT 2Q 18 TERM 7 16 19	WHEATON, IL	4/9/2018	00836316-05	Registered
FT 7259 75 25 STRATEGIC ALLOCATION PORT 2Q 18 7 16 19	WHEATON, IL	4/9/2018	00836317-05	Registered
FT 7260 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 34	WHEATON, IL	4/9/2018	00836306-05	Registered

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FT 7261 1ST TR ETF ALLOCATION PORTFOLIO SERIES 24	WHEATON, IL	4/9/2018	00836310-05	Registered
FT 7283 HOMEBUILDERS RECOVERY SELECT PORTFOLIO SERIES 19	WHEATON, IL	4/9/2018	00836302-05	Registered
FT 7284 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 59	WHEATON, IL	4/9/2018	00836304-05	Registered
FT 7294 INCOME ALLOCATION CLOSED END 2Q 18	WHEATON, IL	4/9/2018	00836313-05	Registered
FT 7296 TARGET DIVSD GLOBAL ALLOCATION 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836307-05	Registered
FT 7297 EUROPEAN TARGET HIGH QUALITY DVD 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836318-05	Registered
FT 7297 TARGET DVD BLEND 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836319-05	Registered
FT 7297 TARGET HIGH QUALITY DVD 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836320-05	Registered
FT 7298 VALUE LINE TARGET SAFETY 30 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836311-05	Registered
FT 7300 DOW TARGET 5 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836322-05	Registered
FT 7300 DOW TARGET DVD 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836323-05	Registered
FT 7300 GLOBAL TARGET 15 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836324-05	Registered
FT 7300 S&P DIVIDEND ARISTOCRATS 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836325-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 7300 S&P TARGET 24 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836331-05	Registered
FT 7300 S&P TARGET SMID 60 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836330-05	Registered
FT 7300 TARGET DBL PLAY 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836333-05	Registered
FT 7300 TARGET DIVSD DVD 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836332-05	Registered
FT 7300 TARGET FOCUS 4 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836334-05	Registered
FT 7300 TARGET GLOBAL DVD LEADERS 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836321-05	Registered
FT 7300 TARGET GROWTH 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836326-05	Registered
FT 7300 TARGET TRIAD 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836327-05	Registered
FT 7300 TARGET VIP 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836328-05	Registered
FT 7300 VALUE LINE TARGET 25 2Q 18 TERM 7 9 19	WHEATON, IL	4/9/2018	00836329-05	Registered
FT 7303 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 34	WHEATON, IL	4/9/2018	00836312-05	Registered
FT 7316 STRATEGIC INCOME ADVANTAGE OPPORTUNITY CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	4/9/2018	00836314-05	Registered
FT 7317 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 15	WHEATON, IL	4/9/2018	00836303-05	Registered
GAMCO GROWTH FUND CLASS A SHARES	RYE, NY	4/9/2018	00836277-03	Registered

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GREEN CENTURY FUNDS GREEN CENTURY EQUITY FUND INSTITUTIONAL CLASS	BOSTON, MA	4/9/2018	00836300-03	Pending-Cleared
SMART TR 376 SMART TR DORSEY WRIGHT INTERNATIONAL MOMENTUM TR SERIES 11	PARSIPPANY, NJ	4/9/2018	00836308-05	Pending-Cleared
SMART TR 376 SMART TR MILLER HOWARD NORTH AMERICAN ENERGY OPPORTUNITIES TR SERIES 10	PARSIPPANY, NJ	4/9/2018	00836309-05	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE LARGE CAP FOCUSED FUND CLASS Y	CINCINNATI, OH	4/9/2018	00836276-03	Registered
<b>Number of Investment Company Filings: 61</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLOOM TREE OFFSHORE FUND LTD	GRAND CAYMAN, AA	4/12/2018	00836425-07	Filed
SEP GREEN BAY MOB LLC	SKOKIE, IL	4/11/2018	00836386-07	Filed
INTEGRATED VITAL MEDICAL DYNAMICS LLC	MADISON, WI	4/10/2018	00836387-07	Filed
<b>Number of Regulation D Notice Filings: 3</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Chronic Tacos Enterprises, Inc. / Chronic Tacos	Aliso Viejo, CA	4/13/2018	619112	Registered
Better Together, LLC / Dogtopia	Phoenix, AZ	4/13/2018	619111	Registered
MMS Franchising LLC / Marilyn Monroe Spas	Orlando, FL	4/13/2018	619108	Registered
InXpress, LLC / InXpress	South Jordan, UT	4/13/2018	619106	Registered

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HCAC Franchise, Inc. / Heart Certified Auto Care	Northbrook, IL	4/13/2018	619104	Registered
GameTruck Licensing, LLC / GameTruck	Tempe, AZ	4/13/2018	619105	Registered
Pump It Up Holdings, LLC / Pump It Up	Scottsdale, AZ	4/13/2018	619103	Registered
MARCO'S FRANCHISING, LLC / Marco's Pizza	TOLEDO, OH	4/13/2018	619101	Registered
PETS ARE INN INC / Pets Are Inn	MINNEAPOLIS, MN	4/13/2018	619100	Registered
Rococo Franchise Corporation / Rocky Rococo Pizza and Pasta	Oconomowoc, WI	4/13/2018	619099	Registered
Clean Juice Franchising, LLC / Clean Juice	Charlotte, NC	4/13/2018	619097	Registered
BL Restaurant Franchises, LLC / Bar Louie	Addison, TX	4/13/2018	619096	Registered
Saladworks, LLC / Saladworks	West Conshohocken, PA	4/13/2018	619095	Registered
LABOR FINDERS INTERNATIONAL INC / Labor Finders	PALM BEACH GARDENS, FL	4/13/2018	619094	Registered
GOLDEN CORRAL FRANCHISING SYSTEMS INC / Golden Corral	RALEIGH, NC	4/13/2018	619092	Registered
Zava Zone LG, LLC / Zava Zone	Rockville, MD	4/13/2018	619093	Registered
Ground Round Independent Owners Cooperative, LLC / Ground Round Grill & Bar Restaurants; Ground Round Sports Grille & Restaurants	Freeport, ME	4/13/2018	619091	Registered
RPM Summit Group, LLC / Rocket Fizz	Incline Village, NV	4/12/2018	619084	Registered
Renew Crew Franchising Corporation / Renew Crew	Richmond, VA	4/12/2018	619088	Registered
ENGEL & VOLKERS Americas INC / Engel & Volkers North America and Engel & Volkers Americas	New York, NY	4/12/2018	619087	Registered
Pandora Franchising, LLC / Pandora	Baltimore, MD	4/12/2018	619085	Registered
Uptown Cheapskate, LLC / Uptown Cheapskate	North Salt Lake, UT	4/12/2018	619083	Registered
HAND AND STONE FRANCHISE CORP / Hand & Stone Massage, Facial Spa	Treose, PA	4/12/2018	619081	Registered
Chick-fil-A, Inc. / "Chick-fil-A" or "Chick-fil-A, Inc."	Atlanta, GA	4/12/2018	619080	Registered

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Chick-fil-A, Inc. / "Chick-fil-A" or "Chick-fil-A, Inc."	Atlanta, GA	4/12/2018	619079	Registered
Amazing Athletes Franchise System, LLC / Amazing Athletes	Franklin, TN	4/12/2018	619078	Registered
CoreLife Eatery Franchisor, LLC / CoreLife Eatery	Binghamton, NY	4/12/2018	619077	Registered
HOTWORX Franchising, LLC / HOTWORX Studio	Marrero, LA	4/12/2018	619076	Registered
MJ MK Enterprises LLC / Deka Lash	McMurray, PA	4/12/2018	619075	Registered
Mosquito Squad Franchising Corporation / Mosquito Squad	Richmond, VA	4/11/2018	619074	Registered
Outdoor Lighting Perspectives Franchising, Inc. / Outdoor Lighting Business	Richmond, VA	4/11/2018	619073	Registered
HomeVestors of America, Inc. / HomeVestors	Dallas, TX	4/11/2018	619072	Registered
FLOORCOVERINGS INTERNATIONAL LTD / FCI	Norcross, GA	4/11/2018	619071	Registered
KILWINS CHOCOLATES FRANCHISE, INC / Kilwins	PETOSKY, MI	4/11/2018	619070	Registered
Kitchen Wise, LLC / Kitchen Wise	La Crosse, WI	4/11/2018	619068	Registered
KS La Crosse Investments, LLC / Kitchen Solvers	La Crosse, WI	4/11/2018	619067	Registered
HomeWell Senior Care, Inc. / HomeWell Senior Care	Fort Worth, TX	4/11/2018	619066	Registered
NATIONAL HUNTING LEASE NETWORK LLC / Hunting Lease Network	OMAHA, NE	4/11/2018	619065	Registered
Big Frog Custom T-Shirts, Inc. / Big Frog	Dunedin, FL	4/11/2018	619064	Registered
ZOUP! Systems, LLC / Zoup Restaurants	Southfield, MI	4/11/2018	619063	Registered
THE CLEANING AUTHORITY LLC	COLUMBIA, MD	4/11/2018	619062	Registered
AFC Franchising, LLC / AFC/American Family Care	Birmingham, AL	4/11/2018	619061	Registered
Burrito Holdings Franchise Services, Inc. / Uberrito	Houston, TX	4/11/2018	619060	Registered
Image Marketing Enterprises, LLC / John Casablancas Modeling & Career Center	Chesterfield, MO	4/10/2018	619058	Registered
WOW 1 DAY PAINTING LLC / WOW 1 DAY PAINTING	Vancouver, BC	4/10/2018	619057	Registered
Next Step Franchising, LLC / Lapels Dry Cleaning	Clearwater, FL	4/10/2018	619055	Registered

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<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Gotcha Covered Franchising, LLC / Gotcha Covered	Denver, CO	4/10/2018	619054	Registered
USA INSULATION FRANCHISE CORP / USA INSULATION	Cleveland, OH	4/10/2018	619053	Registered
Sperry Commercial Global Affiliates, LLC / Sperry Commercial Global Affiliates and SperryCGA	Irvine, CA	4/9/2018	619052	Registered
PB Franchising, LLC / Pure Barre	Denver, CO	4/9/2018	619051	Registered
New Horizons Franchising Group Inc. / New Horizons	Anaheim, CA	4/9/2018	619050	Registered
Paisano's Franchise System, Inc. / Paisano's	Chantilly, VA	4/9/2018	619049	Registered
DREAM DINNERS INC / Dream Dinners	SNOHOMISH, WA	4/9/2018	619048	Registered
My Place Hotels of America, LLC / My Place	Aberdeen, SD	4/9/2018	619047	Registered
Mr. Goodcents Franchise Systems, Inc. / Goodcents Deli Fresh Subs	De Soto, KS	4/9/2018	619046	Registered
CruiseOne, Inc. / CruiseOne	Ft Lauderdale, FL	4/9/2018	619045	Registered
Board and Brush Creative Studio Franchising LLC / Board and Brush Creative Studio	Hartland, WI	4/9/2018	619042	Registered
The Haagen-Dazs Shoppe Company, Inc. / Haagen-Dazs Shop	Minneapolis, MN	4/9/2018	619041	Registered
<b>Number of Franchise Filings: 58</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	