

**WI Securities Law and Franchise Law Filings
for 3/18/2018 through 3/24/2018**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HORIZON FUNDS HORIZON ACTIVE ASSET ALLOCATION FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/21/2018	00835567-03	Registered
HORIZON FUNDS HORIZON ACTIVE INCOME FUND ADVISOR CLASS	MILWAUKEE, WI	3/21/2018	00835568-03	Registered
HORIZON FUNDS HORIZON ACTIVE INCOME FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/21/2018	00835566-03	Registered
HORIZON FUNDS HORIZON ACTIVE RISK ASSIST FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/21/2018	00835565-03	Registered
Number of Investment Company Filings: 4				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CAPRI HOSPITALITY INC	APPLETON, WI	3/23/2018	00836052-07	Filed
Number of Regulation D Notice Filings: 1				

**WI Securities Law and Franchise Law Filings
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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Pro One Janitorial, Inc. / Pro One Janitorial	Green Bay, WI	3/23/2018	618651	Registered
Breadsmith Franchising, Inc. / Breadsmith	Whitefish Bay, WI	3/22/2018	618637	Registered
Number of Franchise Filings: 2				