

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 3/18/2018 through 3/24/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DESTRA INTERNATIONAL & EVENT DRIVEN CREDIT FUND	CHICAGO, IL	3/21/2018	00835564-01	Pending
RIVER CHRISTIAN CENTER INC DBA RIVER CHRISTIAN CHURCH	RENO, NV	3/19/2018	00836084-02	Registered
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GANBEI LLC	MINNEAPOLIS, MN	3/22/2018	00835905-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALGER FUNDS ALGER 35 FUND CLASS P SHARES	NEW YORK, NY	3/23/2018	00835890-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 SMALL CAP GROWTH FUND CLASS A	BOSTON, MA	3/23/2018	00835886-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 SMALL CAP GROWTH FUND CLASS R6	BOSTON, MA	3/23/2018	00835889-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 SMALL CAP GROWTH FUND CLASS I	BOSTON, MA	3/23/2018	00835888-03	Registered

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HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 SMALL CAP GROWTH FUND CLASS C	BOSTON, MA	3/23/2018	00835887-03	Registered
FIDELITY SCHOOL STREET TR FIDELITY ADVISOR MULTI ASSET INCOME FUND FIDELITY MULTI ASSET INCOME FUND	BOSTON, MA	3/22/2018	00835860-03	Registered
HORIZON FUNDS HORIZON ACTIVE ASSET ALLOCATION FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/21/2018	00835567-03	Registered
HORIZON FUNDS HORIZON ACTIVE INCOME FUND ADVISOR CLASS	MILWAUKEE, WI	3/21/2018	00835568-03	Registered
HORIZON FUNDS HORIZON ACTIVE INCOME FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/21/2018	00835566-03	Registered
HORIZON FUNDS HORIZON ACTIVE RISK ASSIST FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/21/2018	00835565-03	Registered
GMO SERIES TR GMO QUALITY SERIES FUND CLASS R6	BOSTON, MA	3/20/2018	00835479-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1737 DIVERSIFIED DIVIDEND & INCOME PORTFOLIO SERIES 28	LISLE, IL	3/20/2018	00836103-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1738 MLP & ENERGY FUNDS PORTFOLIO SERIES 21	LISLE, IL	3/20/2018	00836102-05	Registered
GMO SERIES TR GMO GLOBAL EQUITY ALLOCATION SERIES FUND CLASS R6	BOSTON, MA	3/19/2018	00835432-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE LARGE CAP FOCUSED FUND CLASS C	CINCINNATI, OH	3/19/2018	00835433-03	Registered
<b>Number of Investment Company Filings: 15</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
2X CONSUMER PRODUCTS GROWTH PARTNERS SBS E 3 LP	CHICAGO, IL	3/23/2018	00836140-07	Filed
ACTIVEAETHER INC	NEW YORK, NY	3/23/2018	00836145-07	Filed
API PERMIAN 1 JOINT VENTURE	DALLAS, TX	3/23/2018	00836152-07	Filed
CAPRI HOSPITALITY INC	APPLETON, WI	3/23/2018	00836052-07	Filed
CAREVIEW COMMUNICATIONS INC	LEWISVILLE, TX	3/23/2018	00836148-07	Filed
CLS HOLDINGS USA INC	MIAMI, FL	3/23/2018	00836142-07	Filed
CONNOR PREFERRED DEBT FUND LLC	MIAMISBURG, OH	3/23/2018	00836138-07	Filed
CRESTLINE PORTFOLIO FINANCING FUND OFFSHORE B LP	GEORGE TOWN, KY1-9008, AA	3/23/2018	00836147-07	Filed
G2VP 1 LLC	MENLO PARK, CA	3/23/2018	00836141-07	Filed
IBEX DRIVERLESS FUND LP	DENVER, CO	3/23/2018	00836144-07	Filed
JUNIPER CAPITAL 3 LP	HOUSTON, TX	3/23/2018	00836154-07	Filed
MISO BROTHERS INC	GLENDALE, CA	3/23/2018	00836150-07	Filed
PRIVATE ADVISORS SECONDARY FUND 5 LP	RICHMOND, VA	3/23/2018	00836149-07	Filed
RED & BLUE PENN & HUNT ANNEX FUND LP	PHILADELPHIA, PA	3/23/2018	00836156-07	Filed
RESIDENCES AT RIM LP	HOUSTON, TX	3/23/2018	00836155-07	Filed
ROSE 3 D JOINT VENTURE	SAN ANTONIO, TX	3/23/2018	00836151-07	Filed
SILVERGATE CAPITAL CORP	LA JOLLA, CA	3/23/2018	00836139-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SWAN & LEGEND FUND 4 LP	LEESBURG, VA	3/23/2018	00836157-07	Filed
WATUSI CAPITAL CORP	VANCOUVER, ON	3/23/2018	00836143-07	Filed
WYNTHROPE FORREST LLC	NEW YORK, NY	3/23/2018	00836137-07	Filed
ACTIVORTHO INC	PLYMOUTH, MN	3/20/2018	00836101-07	Filed
HELLO BEVERAGES LLC	CHICAGO, IL	3/20/2018	00836100-07	Filed
<b>Number of Regulation D Notice Filings: 22</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Sign*A*Rama Inc. / Signarama	West Palm Beach, FL	3/24/2018	618660	Registered
Pet Butler, LLC / Pet Butler®	Plainfield, IL	3/23/2018	618659	Registered
SPRING GREEN LAWN CARE CORP / Spring-Green	PLAINFIELD, IL	3/23/2018	618658	Registered
NewPoint Franchisor, LLC / Homes & Land; Estates & Homes	Lawrenceville, GA	3/23/2018	618657	Registered
PANERA, LLC / PANERA BREAD BAKERY-CAFE	St. Louis, MO	3/23/2018	618656	Registered
GrandStay Hospitality, LLC / GrandStay Residential Suites, GrandStay conference centers, GrandStay Hotel & Suites	Brooklyn Park, MN	3/23/2018	618655	Registered
NPM Franchising, LLC / Earthwise Pet Supply	Wenatchee, WA	3/23/2018	618653	Registered
First Choice Business Brokers, Inc. / First Choice Business Brokers	Las Vegas, NV	3/23/2018	618652	Registered
Pro One Janitorial, Inc. / Pro One Janitorial	Green Bay, WI	3/23/2018	618651	Registered
KFC US, LLC / Kentucky Fried Chicken, KFC (Express)	Louisville, KY	3/22/2018	618649	Registered
KFC US, LLC / Kentucky Fried Chicken, KFC (Traditional)	Louisville, KY	3/22/2018	618648	Registered
Tom's Urban Franchising LLC / Tom's Urban or Tom's Urban 24	Denver, CO	3/22/2018	618646	Registered
RX2LIVE, LLC / RX2LIVE (Regional Developer)	Pleasant Grove, UT	3/22/2018	618644	Registered

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RX2LIVE, LLC / RX2LIVE (Unit)	Pleasant Grove, UT	3/22/2018	618643	Registered
The Little Gym International, Inc. / The Little Gym	Scottsdale, AZ	3/22/2018	618642	Registered
MICHELIN RETREAD TECHNOLOGIES INC	DUNCAN, SC	3/22/2018	618641	Registered
MICHELIN RETREAD TECHNOLOGIES INC / Michelin Commercial Service Network	DUNCAN, SC	3/22/2018	618640	Registered
Camp Bow Wow Franchising, Inc. / "Camp Bow Wow" "Behavior Buddies"	Westminster, CO	3/22/2018	618638	Registered
Breadsmith Franchising, Inc. / Breadsmith	Whitefish Bay, WI	3/22/2018	618637	Registered
UATP Management, LLC / Urban Air Adventure Park	Grapevine, TX	3/22/2018	618636	Registered
Great Greek Franchising, LLC / The Great Greek Mediterranean Grill	West Palm Beach, FL	3/22/2018	618635	Registered
Moran Industries Inc. / Mr. Transmission/Milex Complete Auto Care	Midlothian, IL	3/22/2018	618634	Registered
Touching Hearts Inc	Minneapolis, MN	3/22/2018	618633	Registered
American Decorative Coatings, LLC / Concrete Craft	Orange, CA	3/22/2018	618632	Registered
Budget Blinds, LLC / Budget Blinds	Orange, CA	3/22/2018	618630	Registered
Motel 6 / G6 Hospitality Franchising LLC	Carrollton, TX	3/22/2018	618631	Registered
Studio 6 / G6 Hospitality Franchising LLC	Carrollton, TX	3/22/2018	618629	Registered
TeamLogic, Inc. / TeamLogicIT	Mission Viejo, CA	3/22/2018	618627	Registered
Renue Systems Development Corp., Inc. / Renue Systems	Lombard, IL	3/22/2018	618626	Registered
WaveMAX Franchise LLC / WaveMAX Coin Laundry (Area Representative)	Jacksonville, FL	3/22/2018	618625	Registered
WaveMAX Franchise LLC / WaveMAX Coin Laundry (Unit Franchise)	Jacksonville, FL	3/22/2018	618624	Registered
V'S BARBERSHOP FRANCHISE LLC / V's Barbershop	PHOENIX, AZ	3/21/2018	618622	Registered
WKSA, LLC / WKSA	Magnolia, TX	3/21/2018	618621	Registered
CareBuilders At Home, Inc. / CareBuilders At Home	Lake Success, NY	3/20/2018	618617	Registered

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Tailored Living, LLC / Tailored Living	Orange, CA	3/20/2018	618616	Registered
SureStay, Inc. / "SureStay Hotel by Best Western®", "SureStay Plus Hotel by Best Western®", or "SureStay Collection Hotel by Best Western®"	Phoenix, AZ	3/20/2018	618615	Registered
CP Franchising, LLC / Cruise Planners	Coral Springs, FL	3/20/2018	618614	Registered
Certified Restoration DryCleaning Network LLC / CRDN or Certified Restoration DryCleaning Network	Berkley, MI	3/20/2018	618613	Registered
World Inspection Network International, Inc. / WIN Home Inspection	Franklin, TN	3/20/2018	618612	Registered
HEALTHSOURCE CHIROPRACTIC, INC / HealthSource Chiropractic Single-Unit Clinics	Avon, OH	3/20/2018	618611	Registered
Studio 6 / G6 Hospitality Franchising LLC	Carrollton, TX	3/20/2018	618610	Registered
STC Franchising, LLC / Sun Tan City	Elizabethtown, KY	3/20/2018	618608	Registered
Motel 6 / G6 Hospitality Franchising LLC	Carrollton, TX	3/20/2018	618605	Registered
Worldwide Refinishing Systems, Inc. / DreamMaker Bath & Kitchen by Worldwide	Waco, TX	3/19/2018	618607	Registered
PIRTEK USA LLC / Pirtek	ROCKLEDGE, FL	3/19/2018	618606	Registered
Aurelio's Is Pizza Franchise, Ltd.	Homewood, IL	3/19/2018	618603	Registered
FranNet, LLC / FranNet	Louisville, KY	3/19/2018	618602	Registered
Cinnaholic Franchising, LLC / Cinnaholic	Atlanta, GA	3/19/2018	618601	Registered
EmbroidMe.com, Inc. / Fully Promoted	West Palm Beach, FL	3/18/2018	618600	Registered
<b>Number of Franchise Filings: 49</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	