

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/11/2018 through 3/17/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SANDALS CHURCH	RIVERSIDE, CA	3/15/2018	00835403-10	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS PREMIUM INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	3/16/2018	00835597-03	Pending-Cleared
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS PREMIUM INCOME FUND CLASS A	NEW YORK, NY	3/16/2018	00835599-03	Pending-Cleared
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS PREMIUM INCOME FUND ADVISOR CLASS	NEW YORK, NY	3/16/2018	00835598-03	Pending-Cleared
1ST TR COMBINED SERIES 573 TX EX MUNICIPAL INCOME TR SERIES 301	WHEATON, IL	3/16/2018	00835596-05	Registered
ADVISERS INVESTMENT TR VONTOBEL US EQUITY INSTITUTIONAL FUND CLASS I SHARES	GAHANNA, OH	3/16/2018	00835431-03	Registered
FT 7231 BIOTECHNOLOGY PORTFOLIO SERIES 40	WHEATON, IL	3/16/2018	00835581-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7232 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 30	WHEATON, IL	3/16/2018	00835583-05	Registered
FT 7233 STONEBRIDGE PREFERRED INCOME PORTFOLIO SERIES 17	WHEATON, IL	3/16/2018	00835591-05	Registered
FT 7234 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 32	WHEATON, IL	3/16/2018	00835590-05	Registered
FT 7235 DIVIDEND GROWTH PORTFOLIO SERIES 21	WHEATON, IL	3/16/2018	00835580-05	Registered
FT 7236 DIVIDEND STRENGTH OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	3/16/2018	00835584-05	Registered
FT 7237 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 27	WHEATON, IL	3/16/2018	00835585-05	Registered
FT 7239 SABRIENT BAKERS DOZEN PORTFOLIO MARCH 2018 SERIES	WHEATON, IL	3/16/2018	00835582-05	Registered
FT 7240 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 30	WHEATON, IL	3/16/2018	00835578-05	Registered
FT 7241 INTERNATIONAL CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	3/16/2018	00835576-05	Registered
FT 7241 SMID CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	3/16/2018	00835575-05	Registered
FT 7242 PREFERRED INCOME PORTFOLIO SERIES 94	WHEATON, IL	3/16/2018	00835577-05	Registered
FT 7264 EQUITY CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	3/16/2018	00835587-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 7265 TACTICAL ALPHA PORTFOLIO SERIES 16	WHEATON, IL	3/16/2018	00835593-05	Registered
FT 7266 ALTERNATIVE INCOME PORTFOLIO SERIES 27	WHEATON, IL	3/16/2018	00835589-05	Registered
FT 7277 BALANCED INCOME PORTFOLIO SERIES 61	WHEATON, IL	3/16/2018	00835592-05	Registered
FT 7278 BALANCED INCOME SELECT PORTFOLIO SERIES 75	WHEATON, IL	3/16/2018	00835586-05	Registered
FT 7279 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 81	WHEATON, IL	3/16/2018	00835595-05	Registered
FT 7309 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 80	WHEATON, IL	3/16/2018	00835588-05	Registered
FT 7312 ENERGY OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	3/16/2018	00835594-05	Registered
FT 7313 FINANCIALS OPPORTUNITY PORTFOLIO SERIES	WHEATON, IL	3/16/2018	00835579-05	Registered
ADVISORS DISCIPLINED TR 1858 BAHL & GAYNOR SMIG SMALL MID CAP INCOME GROWTH PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	3/15/2018	00835394-05	Registered
ADVISORS DISCIPLINED TR 1858 COHEN & STEERS PREFERRED INCOME OPPORTUNITIES PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	3/15/2018	00835396-05	Registered
ADVISORS DISCIPLINED TR 1858 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	3/15/2018	00835397-05	Registered
ADVISORS DISCIPLINED TR 1858 EMERGING MARKETS DIVIDEND PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	3/15/2018	00835398-05	Registered

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ADVISORS DISCIPLINED TR 1858 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	3/15/2018	00835399-05	Registered
ADVISORS DISCIPLINED TR 1858 PERONI GROWTH PORTFOLIO SPRING 2018 SERIES	MONUMENT, CO	3/15/2018	00835400-05	Registered
ADVISORS DISCIPLINED TR 1858 REIT PORTFOLIO SERIES 2018 1Q HIMCO PORTFOLIO	MONUMENT, CO	3/15/2018	00835401-05	Registered
ADVISORS DISCIPLINED TR 1858 UTILITIES PORTFOLIO SERIES 2018 1Q HIMCO PORTFOLIO	MONUMENT, CO	3/15/2018	00835402-05	Registered
ADVISORS DISCIPLINED TR 1859 Q GARP PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	3/15/2018	00835395-05	Registered
FIDELITY RUTLAND SQUARE TR 2 STRATEGIC ADVISERS FIDELITY US TOTAL STOCK FUND STRATEGIC ADVISERS FIDELITY US TOTAL STOCK	BOSTON, MA	3/15/2018	00835414-03	Registered
PFM MULTI MANAGER SERIES TR PFM MULTI MANAGER DOMESTIC EQUITY FUND INSTITUTIONAL CLASS	HARRISBURG, PA	3/15/2018	00835416-03	Registered
PFM MULTI MANAGER SERIES TR PFM MULTI MANAGER FIXED INCOME FUND INSTITUTIONAL CLASS	HARRISBURG, PA	3/15/2018	00835417-03	Registered
PFM MULTI MANAGER SERIES TR PFM MULTI MANAGER INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	HARRISBURG, PA	3/15/2018	00835418-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE SMALL CO FUND CLASS C	CINCINNATI, OH	3/15/2018	00835415-03	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1734 BDC SCORECARD PORTFOLIO SERIES 11	LISLE, IL	3/14/2018	00835389-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1734 EUROPEAN HIGH DIVIDEND PORTFOLIO SERIES 11	LISLE, IL	3/14/2018	00835390-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1735 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 32	LISLE, IL	3/14/2018	00835393-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1735 GLOBAL 100 DIVIDEND STRATEGY PORTFOLIO SERIES 14	LISLE, IL	3/14/2018	00835391-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1736 TECHNOLOGICAL INNOVATION PORTFOLIO SERIES 11	LISLE, IL	3/14/2018	00835392-05	Registered
VOYA FUNDS TR VOYA FLOATING RATE FUND CLASS P	SCOTTSDALE, AZ	3/14/2018	00835326-03	Registered
VOYA FUNDS TR VOYA HIGH YIELD BOND FUND CLASS P	SCOTTSDALE, AZ	3/14/2018	00835327-03	Registered
VOYA FUNDS TR VOYA HIGH YIELD BOND FUND CLASS R6	SCOTTSDALE, AZ	3/14/2018	00835323-03	Registered
VOYA FUNDS TR VOYA INTERMEDIATE BOND FUND CLASS R6	SCOTTSDALE, AZ	3/14/2018	00835325-03	Registered
VOYA FUNDS TR VOYA SHORT TERM BOND FUND CLASS R	SCOTTSDALE, AZ	3/14/2018	00835328-03	Registered
VOYA FUNDS TR VOYA STRATEGIC INCOME OPPORTUNITIES FUND CLASS R6	SCOTTSDALE, AZ	3/14/2018	00835324-03	Registered

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Firm Name	Location	Date Received	File Number	Status
VOYA MUTUAL FUNDS VOYA GLOBAL BOND FUND CLASS P SHARES	SCOTTSDALE, AZ	3/14/2018	00835316-03	Registered
VOYA MUTUAL FUNDS VOYA GLOBAL BOND FUND CLASS R6	SCOTTSDALE, AZ	3/14/2018	00835314-03	Registered
VOYA MUTUAL FUNDS VOYA GLOBAL REAL ESTATE FUND CLASS R6	SCOTTSDALE, AZ	3/14/2018	00835315-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA EMERGING MARKETS HARD CURRENCY DEBT FUND CLASS I SHARES	SCOTTSDALE, AZ	3/14/2018	00835321-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA INVESTMENT GRADE CREDIT FUND CLASS P	SCOTTSDALE, AZ	3/14/2018	00835322-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA SECURITIZED CREDIT FUND CLASS P	SCOTTSDALE, AZ	3/14/2018	00835320-03	Registered
VOYA SERIES FUND INC VOYA CORPORATE LEADERS 100 FUND CLASS P3	SCOTTSDALE, AZ	3/14/2018	00835319-03	Registered
VOYA SERIES FUND INC VOYA MID CAP RESEARCH ENHANCED INDEX FUND CLASS P3	SCOTTSDALE, AZ	3/14/2018	00835317-03	Registered
VOYA SERIES FUND INC VOYA SMALL CO FUND CLASS P3	SCOTTSDALE, AZ	3/14/2018	00835318-03	Registered
ASPIRIANT TR ASPIRIANT RISK MANAGED TAXABLE BOND FUND	LOS ANGELES, CA	3/12/2018	00835335-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1314 INSURED MUNICIPALS INCOME TR SERIES 699	DOWNERS GROVE, IL	3/12/2018	00835364-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1863 DIVIDEND INCOME & VALUE PORTFOLIO 2018 2	DOWNERS GROVE, IL	3/12/2018	00835362-05	Pending-Cleared
INVESCO UNIT TR SERIES 1864	DOWNERS GROVE, IL	3/12/2018	00835361-05	Pending-Cleared
INVESCO UNIT TR SERIES 1865 BUYBACK LEADERS PORTFOLIO 2018 2	DOWNERS GROVE, IL	3/12/2018	00835360-05	Pending-Cleared
INVESCO UNIT TR SERIES 1866	DOWNERS GROVE, IL	3/12/2018	00835359-05	Pending-Cleared
INVESCO UNIT TR SERIES 1867 BUYOUT OPPORTUNITY PORTFOLIO 2018 1	DOWNERS GROVE, IL	3/12/2018	00835358-05	Pending-Cleared
MORGAN STANLEY PORTFOLIOS SERIES 20 GLOBAL SUSTAINABLE SOLUTIONS 2018	PURCHASE, NY	3/12/2018	00835363-05	Registered
Number of Investment Company Filings: 68				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
101 EDU INC	NEW YORK, NY	3/16/2018	00835382-07	Filed
4FRONT HOLDINGS LLC	PHOENIX, AZ	3/16/2018	00835366-07	Filed
AIT THERAPEUTICS INC	NESS ZIONA, 7403635, AA	3/16/2018	00835373-07	Filed
ALLIANZGI STRUCTURED ALPHA US FIXED INCOME 250 LLC	NEW YORK, NY	3/16/2018	00835381-07	Filed
ARBEL GROWTH PARTNERS LP	MILWAUKEE, WI	3/16/2018	00835375-07	Filed
ARTURO CAPITAL LP SERIES C	GOLDEN VALLEY, MN	3/16/2018	00835367-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ATLAS SAND MANAGEMENT CO LLC	AUSTIN, TX	3/16/2018	00835370-07	Filed
CASDIN VENTURE OPPORTUNITIES FUND LP	NEW YORK, NY	3/16/2018	00835371-07	Filed
CULTIVATE MD CAPITAL FUND 2 LP	HOLLAND, MI	3/16/2018	00835383-07	Filed
DRUM SPECIAL SITUATION PARTNERS 4 LP	STAMFORD, CT	3/16/2018	00835376-07	Filed
EXIMIS SURGICAL INC	LOUISVILLE, CO	3/16/2018	00835380-07	Filed
HATTERAS EVERGREEN PRIVATE EQUITY FUND LLC	RALEIGH, NC	3/16/2018	00835384-07	Filed
INDUSTRIOUS NATIONAL MANAGEMENT CO LLC	BROOKLYN, NY	3/16/2018	00835365-07	Filed
PRIVATE EQUITY MANAGERS 2018 LP	NEW YORK, NY	3/16/2018	00835369-07	Filed
PRIVATE EQUITY MANAGERS 2018 OFFSHORE SCSP	NEW YORK, NY	3/16/2018	00835372-07	Filed
PTN USA LTD	PARKLAND, FL	3/16/2018	00835368-07	Filed
SELKIRK PHARMA INC	SPOKANE VALLEY, WA	3/16/2018	00835374-07	Filed
SOL BOARDS INC	BEND, OR	3/16/2018	00835378-07	Filed
SUGAR CREEK COMMONS NOTE FUND LLC	VERONA, WI	3/16/2018	00835386-07	Filed
WRR MADISON 6 LP	FORT WORTH, TX	3/16/2018	00835377-07	Filed
BBH CAPITAL PARTNERS OPPORTUNITIES CAYMAN 3	GRAND CAYMAN KY1-1106, AA	3/15/2018	00835408-07	Filed
BBH WEALTH STRATEGIES LLC BARES MID LARGE CAP SERIES	WALL STREET, NY	3/15/2018	00835404-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BBH WEALTH STRATEGIES LLC BURGUNDY EMERGING MARKETS SERIES	WALL STREET, NY	3/15/2018	00835406-07	Filed
BBH WEALTH STRATEGIES LLC CLARKSTON CAPITAL PARTNERS SMALL MID CAP SERIES	WALL STREET, NY	3/15/2018	00835405-07	Filed
BBH WEALTH STRATEGIES LLC REMS GROUP SERIES	WALL STREET, NY	3/15/2018	00835407-07	Filed
CORRIB ONSHORE FUND LP	MINNEAPOLIS, MN	3/14/2018	00835388-07	Filed
MOUNTAINEER OFFSHORE FUND LTD	CAMANA BAY, KY1-9003, AA	3/14/2018	00835387-07	Filed
ALWYNE PARTNERS LP	CHAPPAQUA, NY	3/12/2018	00835337-07	Filed
Number of Regulation D Notice Filings: 28				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Canine Dimensions Franchising, LLC / Canine Dimensions	Marlton, NJ	3/16/2018	618599	Registered
FLOORS TO GO LLC / Floors To Go	BONITA SPRINGS, FL	3/16/2018	618598	Registered
ABBAY CARPET CO., INC / Abbey Carpet & Floor Showrooms	BONITA SPRINGS, FL	3/16/2018	618597	Registered
JFE Franchising, Inc. / JFE, Sushi Box, Snow Fox	Houston, TX	3/16/2018	618596	Registered
Zoom Room Franchising, LLC / Zoom Room	Culver City, CA	3/16/2018	618595	Registered
ABC, Inc., a North Dakota corporation / abc Seamless	Fargo, ND	3/16/2018	618594	Registered
Drama Kids International, Inc. / Drama Kids	Fort Myers, FL	3/16/2018	618593	Registered
Restoration Specialties Franchise Group, LLC / ART	Livonia, MI	3/16/2018	618592	Registered
Restoration Specialties Franchise Group, LLC / ERS	Livonia, MI	3/16/2018	618591	Registered
Restoration 1 Franchise Holding, LLC / Restoration 1	Waco, TX	3/15/2018	618590	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Schmizza International, Inc. / Pizza Schmizza	Salem, OR	3/15/2018	618589	Registered
MAID BRIGADE INC / Maid Brigade	ATLANTA, GA	3/15/2018	618587	Registered
Winmark Corporation / Style Encore	Minneapolis, MN	3/15/2018	618586	Registered
Winmark Corporation / Plato's Closet	Minneapolis, MN	3/15/2018	618585	Registered
Winmark Corporation / Music Go Round	Minneapolis, MN	3/15/2018	618584	Registered
WINMARK CORP / Once Upon A Child Franchise Program	MINNEAPOLIS, MN	3/15/2018	618583	Registered
Winmark Corporation / Play It Again Sports	Minneapolis, MN	3/15/2018	618582	Registered
Figaro's Italian Pizza, Inc. / Figaro's and Nick-N-Willy's	Salem, OR	3/14/2018	618581	Registered
Transworld Business Advisors, LLC / Transworld	West Palm Beach, FL	3/14/2018	618579	Registered
Bojangles' International, LLC / Bojangles'®, Bojangles' Famous Chicken 'n Biscuits®, and Bojangles' Express	Charlotte, NC	3/13/2018	618577	Registered
VALVOLINE INSTANT OIL CHANGE FRANCHISING, INC. / VALVOLINE INSTANT OIL CHANGE	LEXINGTON, KY	3/13/2018	618576	Registered
Pancho's Franchise Corporation / Pancho's	Coralville, IA	3/13/2018	618575	Registered
Bonchon Franchise LLC / Bonchon Business and Restaurant	New York, NY	3/13/2018	618574	Registered
PRECISION HOLDINGS OF BREVARD INC / Precision Door Service	TITUSVILLE, FL	3/13/2018	618573	Registered
FITNESS TOGETHER FRANCHISE, LLC / Fitness Together (Individual Unit Franchise Program)	englewood, CO	3/12/2018	618572	Registered
Fit 36, LLC / Fit 36 (Individual Unit Franchise Program)	Englewood, CA	3/12/2018	618571	Registered
ELEMENTS THERAPEUTIC MASSAGE, LLC / Elements Massage (Individual Unit Franchise Program)	Englewood, CO	3/12/2018	618570	Registered
Jani-King Franchising, Inc. / Jani-King	Addison, TX	3/12/2018	618569	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Enviro-Master International Franchise, LLC / Enviro-Master	Charlotte, NC	3/12/2018	618567	Registered
Number of Franchise Filings: 29				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	