

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 3/4/2018 through 3/10/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MINISTRY PARTNERS INVESTMENT CO LLC	BREA, CA	3/6/2018	00834890-01	Pending
TRITON PACIFIC INVESTMENT CORP INC	LOS ANGELES, CA	3/5/2018	00834873-01	Registered
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GROUNDLOOR FINANCE INC	ATLANTA, GA	3/8/2018	00835203-25	Filed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACKROCK FUNDS BLACKROCK SHORT OBLIGATIONS FUND INVESTOR A SHARES	WILMINGTON, DE	3/9/2018	00835167-03	Registered
FT 7210 DOW TARGET 10 MAR 18 TERM 4 9 19	WHEATON, IL	3/9/2018	00835183-05	Registered
FT 7219 CYCLICAL STRENGTH PORTFOLIO SERIES 21	WHEATON, IL	3/9/2018	00835184-05	Registered
FT 7220 DIVIDEND EDGE PORTFOLIO SERIES 10	WHEATON, IL	3/9/2018	00835185-05	Registered
FT 7223 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 49	WHEATON, IL	3/9/2018	00835176-05	Registered
FT 7225 CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	3/9/2018	00835181-05	Registered

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FT 7226 US INFRASTRUCTURE PORTFOLIO SERIES 14	WHEATON, IL	3/9/2018	00835182-05	Registered
FT 7238 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 45	WHEATON, IL	3/9/2018	00835177-05	Registered
FT 7255 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 38	WHEATON, IL	3/9/2018	00835178-05	Registered
FT 7263 US ENERGY INDEPENDENCE PORTFOLIO SERIES 18	WHEATON, IL	3/9/2018	00835179-05	Registered
FT 7287 INTEREST RATE HEDGE PORTFOLIO SERIES 117	WHEATON, IL	3/9/2018	00835180-05	Registered
SMART TR 370 SMART TR CEFA SELECT BDC TR SERIES 12	PARSIPPANY, NJ	3/9/2018	00835188-05	Registered
SMART TR 371 SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 19	PARSIPPANY, NJ	3/9/2018	00835187-05	Registered
SMART TR 372 SMART TR PREFERRED PLUS TR SERIES 6	PARSIPPANY, NJ	3/9/2018	00835186-05	Registered
TRANSAMERICA FUNDS TRANSAMERICA INTERNATIONAL GROWTH CLASS R6 SHARES	DENVER, CO	3/9/2018	00835146-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA INTERNATIONAL GROWTH CLASS A SHARES	DENVER, CO	3/9/2018	00835144-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA INTERNATIONAL GROWTH CLASS I SHARES	DENVER, CO	3/9/2018	00835145-03	Registered
ADVISORS SERIES TR SEMPER SHORT DURATION FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/8/2018	00835168-03	Registered

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VOYA SERIES FUND INC VOYA GLOBAL TARGET PAYMENT FUND CLASS R6	SCOTTSDALE, AZ	3/7/2018	00834894-03	Registered
INVESCO UNIT TR SERIES 1813	DOWNERS GROVE, IL	3/6/2018	00834874-05	Pending-Cleared
INVESCO UNIT TR SERIES 1860	DOWNERS GROVE, IL	3/6/2018	00834875-05	Pending-Cleared
INVESCO UNIT TR SERIES 1861 CLOSED END STRATEGY SELECT OPPORTUNITY PORTFOLIO 2018 2	DOWNERS GROVE, IL	3/6/2018	00834876-05	Pending-Cleared
INVESCO UNIT TR SERIES 1862	DOWNERS GROVE, IL	3/6/2018	00834877-05	Pending-Cleared
3RD AVENUE TR 3RD AVENUE REAL ESTATE VALUE FUND CLASS Z	NEW YORK, NY	3/5/2018	00834858-03	Registered
3RD AVENUE TR 3RD AVENUE SMALL CAP VALUE FUND CLASS Z	NEW YORK, NY	3/5/2018	00834857-03	Registered
3RD AVENUE TR 3RD AVENUE VALUE FUND CLASS Z	NEW YORK, NY	3/5/2018	00834859-03	Registered
<b>Number of Investment Company Filings: 26</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
COVENANT APARTMENT FUND 9 LP	NASHVILLE, TN	3/9/2018	00834934-07	Filed
CUNAT MULTI FAMILY INVESTMENT FUND 2 LLC	MCHENRY, IL	3/9/2018	00834928-07	Filed
DECON7 SYSTEMS LLC	SCOTTSDALE, AZ	3/9/2018	00834929-07	Filed
DEERFIELD TOPCO CORP	NEW YORK, NY	3/9/2018	00834930-07	Filed
GRAIDE NETWORK INC	CHICAGO, IL	3/9/2018	00834932-07	Filed

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INSITU BIOLOGICS INC	WOODBURY, MN	3/9/2018	00834935-07	Filed
KINZIE CO INVEST FUND LP	CHICAGO, IL	3/9/2018	00834926-07	Filed
KPW HOSPITALITY LLC	VERONA, WI	3/9/2018	00834927-07	Filed
MONET FOODS LLC	BELLEVILLE, WI	3/9/2018	00834933-07	Filed
T0COM INC	NEW YORK, NY	3/9/2018	00834931-07	Filed
HELOMICS HOLDING CORP	PITTSBURGH, PA	3/7/2018	00835200-07	Filed
<b>Number of Regulation D Notice Filings: 11</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Beyond Franchise Group, LLC / Pokeworks	Baldwin Park, CA	3/9/2018	618565	Registered
ABCSP, LLC / Always Best Care Senior Services	Roseville, CA	3/9/2018	618563	Registered
WOODCRAFT FRANCHISE, LLC / WOODCRAFT	PARKERSBURG, WV	3/9/2018	618561	Registered
Vision Source LP / Vision Source	Kingwood, TX	3/9/2018	618560	Registered
GloStation Franchising USA, Inc. / Sandbox VR	Pleasanton, CA	3/8/2018	618559	Registered
AmSpirit Franchise Corp / AmSpirit Business Connections	Columbus, OH	3/8/2018	618558	Registered
Hobby Town Unlimited, Inc. / HobbyTown®/HobbyTown USA®	Lincoln, NE	3/8/2018	618556	Registered
GREAT HARVEST FRANCHISING, INC / Great Harvest Bread Co.	DILLON, MT	3/8/2018	618555	Registered
K-12 Tech Franchising, LLC	Independence, OH	3/8/2018	618554	Registered
Leadership Management, Inc. / LMI	Waco, TX	3/8/2018	618553	Registered
Universal Entertainment Group, LLC / Luv 2 Play	Surprise, AZ	3/7/2018	618550	Registered
Rock & Brews Franchising, LLC / Rock & Brews	Manhattan Beach, CA	3/7/2018	618549	Registered
Buck's Pizza Franchising Corporation, Inc. / Buck's Pizza	DuBois, PA	3/6/2018	618546	Registered

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<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Matco Tools Corporation / Matco Tools	STOW, OH	3/6/2018	618544	Registered
Freddy's, L.L.C. / Freddy's Frozen Custard & Steakburgers	Wichita, KS	3/6/2018	618543	Registered
MDSA, LLC	Norcross, GA	3/6/2018	618542	Registered
The Tutoring Center Franchise Corp. / Tutoring Center	Long Beach, CA	3/5/2018	618541	Registered
CITYROW FRANCHISE, LLC / CityRow Fitness Facility	Ann Arbor, MI	3/5/2018	618540	Registered
TFL Franchise Systems, LLC / The Flying Locksmiths	Braintree, MA	3/5/2018	618539	Registered
Mister Sparky Franchising, L.L.C. / Mister Sparky	Houston, TX	3/4/2018	618538	Registered
One Hour Air Conditioning Franchising, L.L.C. / One Hour Heating & Air Conditioning	Houston, TX	3/4/2018	618537	Registered
Benjamin Franklin Franchising, LLC / d/b/a Benjamin Franklin Plumbing	Houston, TX	3/4/2018	618536	Registered
Chicago Doughnut Franchise Company, LLC / The Dapper Doughnut	Las Vegas, NV	3/4/2018	618535	Registered
<b>Number of Franchise Filings: 23</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	