

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/25/2018 through 3/3/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NATIONAL COVENANT PROPERTIES	CHICAGO, IL	3/2/2018	00834872-02	Pending
FS ENERGY TOTAL RETURN FUND	PHILADELPHIA, PA	3/1/2018	00834829-01	Registered
A&Q MULTI STRATEGY FUND	STAMFORD, CT	2/27/2018	00834818-01	Registered
<b>Number of Registration Filings: 3</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BITZUMI INC	NEW YORK, NY	2/26/2018	00834811-25	Filed
NOWRX INC	MOUNTAIN VIEW, CA	2/26/2018	00834815-25	Filed
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST TR COMBINED SERIES 572 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 29	WHEATON, IL	3/1/2018	00834838-05	Registered
ADVISORS DISCIPLINED TR 1840 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 125	MONUMENT, CO	3/1/2018	00834848-05	Registered
ADVISORS DISCIPLINED TR 1857 AMERICAN RENAISSANCE PORTFOLIO SERIES 2018 1 CJL PORTFOLIO	MONUMENT, CO	3/1/2018	00834847-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1857 BUILDING AMERICA STRATEGY PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834844-05	Registered
ADVISORS DISCIPLINED TR 1857 BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834852-05	Registered
ADVISORS DISCIPLINED TR 1857 COHEN & STEERS CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834843-05	Registered
ADVISORS DISCIPLINED TR 1857 COHEN & STEERS MLP MIDSTREAM & ENERGY INCOME CLOSED END PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834854-05	Registered
ADVISORS DISCIPLINED TR 1857 COHEN & STEERS MUNICIPAL CLOSED END PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834842-05	Registered
ADVISORS DISCIPLINED TR 1857 COHEN & STEERS SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834851-05	Registered
ADVISORS DISCIPLINED TR 1857 GLOBAL BASIC MATERIALS PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834840-05	Registered
ADVISORS DISCIPLINED TR 1857 HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834841-05	Registered
ADVISORS DISCIPLINED TR 1857 SMALL MID CAP ADVANTAGE PORTFOLIO SERIES 2018 1	MONUMENT, CO	3/1/2018	00834853-05	Registered

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ADVISORS INNER CIRCLE FUND 2 RQSI GAA SYSTEMATIC GLOBAL MACRO FUND RETAIL SHARES	BOSTON, MA	3/1/2018	00834764-03	Registered
ADVISORS INNER CIRCLE FUND 2 RQSI GAA SYSTEMATIC GLOBAL MACRO FUND INSTITUTIONAL SHARES	BOSTON, MA	3/1/2018	00834763-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA OVERSEAS CORE FUND INSTITUTIONAL 3	BOSTON, MA	3/1/2018	00834755-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA OVERSEAS CORE FUND INSTITUTIONAL 2	BOSTON, MA	3/1/2018	00834754-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA OVERSEAS CORE FUND ADVISOR	BOSTON, MA	3/1/2018	00834752-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA OVERSEAS CORE FUND INSTITUTIONAL	BOSTON, MA	3/1/2018	00834756-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA OVERSEAS CORE FUND CLASS C	BOSTON, MA	3/1/2018	00834753-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA OVERSEAS CORE FUND CLASS R	BOSTON, MA	3/1/2018	00834757-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA OVERSEAS CORE FUND CLASS A	BOSTON, MA	3/1/2018	00834751-03	Registered
FT 7221 ENERGY SELECT PORTFOLIO SERIES 67	WHEATON, IL	3/1/2018	00834835-05	Registered
FT 7224 CLOUD COMPUTING PORTFOLIO SERIES 20	WHEATON, IL	3/1/2018	00834839-05	Registered
FT 7227 DEFENSIVE EQUITY PORTFOLIO SERIES 44	WHEATON, IL	3/1/2018	00834831-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 7228 BANKING OPPORTUNITY PORTFOLIO SERIES 29	WHEATON, IL	3/1/2018	00834833-05	Registered
FT 7229 SABRIENT DEFENSIVE EQUITY PORTFOLIO SERIES 15	WHEATON, IL	3/1/2018	00834836-05	Registered
FT 7249 CYBERSECURITY PORTFOLIO SERIES 11	WHEATON, IL	3/1/2018	00834837-05	Registered
FT 7250 CORPORATE INVESTMENT GRADE PORTFOLIO 3 7 YEAR SERIES 3	WHEATON, IL	3/1/2018	00834832-05	Registered
FT 7252 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 79	WHEATON, IL	3/1/2018	00834830-05	Registered
FT 7254 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 47	WHEATON, IL	3/1/2018	00834834-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1731 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 55	LISLE, IL	3/1/2018	00834849-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1732 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 68	LISLE, IL	3/1/2018	00834846-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1733 UBS OPPORTUNISTIC EQUITY INCOME LIST EQUITY ADVISORY GROUP 2018 1 SERIES 12	LISLE, IL	3/1/2018	00834845-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1740 ML TOP PICKS FOR 2018	LISLE, IL	3/1/2018	00834850-05	Registered
JPMORGAN TR IV JPMORGAN INSTITUTIONAL TAX FREE MONEY MARKET FUND IM SHARES	NEW YORK, NY	3/1/2018	00834738-03	Registered

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JPMORGAN TR IV JPMORGAN INSTITUTIONAL TAX FREE MONEY MARKET FUND AGENCY SHARES	NEW YORK, NY	3/1/2018	00834740-03	Registered
JPMORGAN TR IV JPMORGAN INSTITUTIONAL TAX FREE MONEY MARKET FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	3/1/2018	00834739-03	Registered
JPMORGAN TR IV JPMORGAN INSTITUTIONAL TAX FREE MONEY MARKET FUND CAPITAL SHARES	NEW YORK, NY	3/1/2018	00834737-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE GLOBAL GROWTH FUND CLASS A	CINCINNATI, OH	3/1/2018	00834762-03	Registered
VIRTUS EQUITY TR VIRTUS KAR SMALL MID CAP CORE FUND CLASS A	GREENFIELD, MA	3/1/2018	00834758-03	Registered
VIRTUS EQUITY TR VIRTUS KAR SMALL MID CAP CORE FUND CLASS C	GREENFIELD, MA	3/1/2018	00834759-03	Registered
VIRTUS EQUITY TR VIRTUS KAR SMALL MID CAP CORE FUND CLASS I	GREENFIELD, MA	3/1/2018	00834760-03	Registered
VIRTUS EQUITY TR VIRTUS KAR SMALL MID CAP CORE FUND CLASS R6	GREENFIELD, MA	3/1/2018	00834761-03	Registered
MILLER HOWARD FUNDS TR MILLER HOWARD INFRASTRUCTURE FUND CLASS I	WEST ALLIS, WI	2/28/2018	00834746-03	Registered
WORLD FUNDS TR CBOE VEST S&P 500 BUFFER PROTECT STRATEGY FUND INSTITUTIONAL CLASS	RICHMOND, VA	2/28/2018	00834824-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA GLOBAL BOND FUND ADVISOR CLASS	BOSTON, MA	2/27/2018	00834702-03	Registered

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COLUMBIA FUNDS SERIES TR 2 COLUMBIA INFLATION PROTECTED SECURITIES FUND ADVISOR CLASS	BOSTON, MA	2/27/2018	00834704-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA SELECT GLOBAL EQUITY FUND ADVISOR CLASS	BOSTON, MA	2/27/2018	00834703-03	Registered
FIDELITY MUNICIPAL TR FIDELITY ADVISOR MUNICIPAL INCOME FUND CLASS A FIDELITY ADVISOR MUNICIPAL INCOME FUND CLASS A	BOSTON, MA	2/27/2018	00834710-03	Registered
FIDELITY MUNICIPAL TR FIDELITY MUNICIPAL INCOME FUND FIDELITY ADVISOR MUNICIPAL INCOME FUND CLASS I	BOSTON, MA	2/27/2018	00834712-03	Registered
FIDELITY MUNICIPAL TR FIDELITY MUNICIPAL INCOME FUND FIDELITY ADVISOR MUNICIPAL INCOME FUND CLASS C	BOSTON, MA	2/27/2018	00834711-03	Registered
FIDELITY MUNICIPAL TR FIDELITY MUNICIPAL INCOME FUND FIDELITY ADVISOR MUNICIPAL INCOME FUND CLASS M	BOSTON, MA	2/27/2018	00834713-03	Registered
GMO SERIES TR GMO BENCHMARK FREE ALLOCATION SERIES FUND CLASS PS	BOSTON, MA	2/27/2018	00834708-03	Registered
GMO SERIES TR GMO CLIMATE CHANGE SERIES FUND CLASS PS	BOSTON, MA	2/27/2018	00834707-03	Registered
GMO SERIES TR GMO RESOURCES SERIES FUND CLASS PS	BOSTON, MA	2/27/2018	00834709-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1726 LARGE CAP CORE PORTFOLIO SERIES 33	LISLE, IL	2/27/2018	00834820-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1726 NDR THEMATIC OPPORTUNITIES PORTFOLIO SERIES 3	LISLE, IL	2/27/2018	00834819-05	Registered
MFS SERIES TR VIII MFS STRATEGIC INCOME FUND CLASS R6 SHARES	BOSTON, MA	2/27/2018	00834705-03	Registered
NUVEEN INVESTMENT FUNDS INC NUVEEN SMALL CAP SELECT FUND CLASS R6	CHICAGO, IL	2/27/2018	00834706-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON MID CAP VALUE FUND R6 CLASS	IRVING, TX	2/26/2018	00834302-03	Registered
BLACKROCK FUNDS BLACKROCK ADVANTAGE SMALL CAP GROWTH FUND CLASS R SHARES	WILMINGTON, DE	2/26/2018	00834449-03	Registered
CONSULTING GROUP CAPITAL MARKETS FUNDS ALTERNATIVE STRATEGIES FUND	PURCHASE, NY	2/26/2018	00834447-03	Registered
FT 7211 INTEREST RATE HEDGE OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	2/26/2018	00834813-05	Registered
FT 7248 TACTICAL INCOME OPPORTUNITY PORTFOLIO SERIES 4	WHEATON, IL	2/26/2018	00834812-05	Registered
FT 7253 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 73	WHEATON, IL	2/26/2018	00834814-05	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2015 FUND CLASS J	DES MOINES, IA	2/26/2018	00834448-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2020 FUND CLASS J	DES MOINES, IA	2/26/2018	00834452-03	Registered

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PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2025 FUND CLASS J	DES MOINES, IA	2/26/2018	00834459-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2030 FUND CLASS J	DES MOINES, IA	2/26/2018	00834454-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2035 FUND CLASS J	DES MOINES, IA	2/26/2018	00834457-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2040 FUND CLASS J	DES MOINES, IA	2/26/2018	00834450-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2045 FUND CLASS J	DES MOINES, IA	2/26/2018	00834451-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2050 FUND CLASS J	DES MOINES, IA	2/26/2018	00834453-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2055 FUND CLASS J	DES MOINES, IA	2/26/2018	00834455-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2060 FUND CLASS J	DES MOINES, IA	2/26/2018	00834456-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID 2065 FUND CLASS J	DES MOINES, IA	2/26/2018	00834460-03	Registered
PRINCIPAL FUNDS INC PRINCIPAL LIFETIME HYBRID INCOME FUND CLASS J	DES MOINES, IA	2/26/2018	00834458-03	Registered
<b>Number of Investment Company Filings: 77</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ARTURO CAPITAL LP SERIES B	GOLDEN VALLEY, MN	3/2/2018	00834937-07	Filed
BIOLOGIQ INC	IDAHO FALLS, ID	3/2/2018	00834940-07	Filed
BION ENVIRONMENTAL TECHNOLOGIES INC	CRESTONE, CO	3/2/2018	00834943-07	Filed
BREP ASIA 2 PRIVATE INVESTORS LLC	NEW YORK, NY	3/2/2018	00834945-07	Filed
DIAMOND BAR CNG PARTNERS LLC	COSTA MESA, CA	3/2/2018	00834946-07	Filed
HEW TEX HANGING H SWD LLC	HOUSTON, TX	3/2/2018	00834944-07	Filed
INNOVATE BIOPHARMACEUTICALS INC	RALEIGH, NC	3/2/2018	00834936-07	Filed
MICRONOTES INC	CAMBRIDGE, MA	3/2/2018	00834942-07	Filed
WANDERING BEAR INC	NEW YORK, NY	3/2/2018	00834939-07	Filed
WHITEHORSE LIQUIDITY PARTNERS 2 LP	TORONTO, ON	3/2/2018	00834938-07	Filed
TRUST ME VODKA INC	CARLSBAD, CA	2/26/2018	00834810-07	Filed
<b>Number of Regulation D Notice Filings: 11</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PostNet International Franchise Corporation / PostNet	Lakewood, CO	3/2/2018	618534	Registered
PACCAR Leasing Company, a division of PACCAR Financial Corp.	Bellevue, WA	3/2/2018	618533	Registered
RE/MAX North Central, Inc. / RE/MAX	Bloomington, MN	3/2/2018	618532	Registered
Experimac Franchising, LLC / Experimac	West Palm Beach, FL	3/2/2018	618531	Registered
ApTask Workforce, LLC / ApTask	Iselin, NJ	3/2/2018	618530	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Mathnasium Center Licensing, LLC / Mathnasium, Mathnasium The Math Learning Center, Mathnasium Learning Centers, and Mathnasium Centers	Los Angeles, CA	3/2/2018	618529	Registered
WILD BIRDS UNLIMITED INC	Carmel, IN	3/1/2018	618528	Registered
Stanley Industrial & Automotive, LLC / Mac Tools	Westerville, OH	3/1/2018	618527	Registered
Casey Hawkins, Inc. / The Human Bean	Medford, OR	2/27/2018	618525	Registered
MONICAL PIZZA CORPORATION / MONICAL'S PIZZA	BRADLEY, IL	2/27/2018	618524	Registered
Sola Franchise, LLC f/k/a Sola Franchise Corporation / Sola Salon Studios	Denver, CO	2/26/2018	618523	Registered
<b>Number of Franchise Filings: 11</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	