

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/18/2018 through 2/24/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACKROCK MULTI SECTOR OPPORTUNITIES TR	WILMINGTON, DE	2/20/2018	00834053-01	Registered
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MEAD & HUNT COMPANIES INC	MIDDLETON, WI	2/21/2018	00834271-08	Not Disallowed
STREETSHARES INC	RESTON, VA	2/20/2018	00834117-25	Filed
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
JPMORGAN TR IV JPMORGAN SMARTSPENDING 2050 FUND CLASS A SHARES	NEW YORK, NY	2/23/2018	00834151-03	Registered
JPMORGAN TR IV JPMORGAN SMARTSPENDING 2050 FUND CLASS I SHARES	NEW YORK, NY	2/23/2018	00834152-03	Registered
JPMORGAN TR IV JPMORGAN SMARTSPENDING 2050 FUND CLASS R2 SHARES	NEW YORK, NY	2/23/2018	00834153-03	Registered
JPMORGAN TR IV JPMORGAN SMARTSPENDING 2050 FUND CLASS R3 SHARES	NEW YORK, NY	2/23/2018	00834154-03	Registered
JPMORGAN TR IV JPMORGAN SMARTSPENDING 2050 FUND CLASS R4 SHARES	NEW YORK, NY	2/23/2018	00834155-03	Registered
JPMORGAN TR IV JPMORGAN SMARTSPENDING 2050 FUND CLASS R5 SHARES	NEW YORK, NY	2/23/2018	00834156-03	Registered

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JPMORGAN TR IV JPMORGAN SMARTSPENDING 2050 FUND CLASS R6 SHARES	NEW YORK, NY	2/23/2018	00834157-03	Registered
OLD WESTBURY FUNDS INC OLD WESTBURY ALL CAP ESG FUND	KING OF PRUSSIA, PA	2/23/2018	00834277-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA INVESTMENT GRADE CREDIT FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834205-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET IN RETIREMENT FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834213-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2020 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834207-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2025 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834211-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2030 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834209-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2035 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834204-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2040 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834206-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2045 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834208-03	Registered

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VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2050 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834210-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2055 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834212-03	Registered
VOYA SEPARATE PORTFOLIOS TR VOYA TARGET RETIREMENT 2060 FUND CLASS R6	SCOTTSDALE, AZ	2/22/2018	00834214-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1723 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 25	LISLE, IL	2/21/2018	00834063-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1723 UNDERVALUED TOP PICKS PORTFOLIO SERIES 16	LISLE, IL	2/21/2018	00834066-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1724 DIVIDEND STRENGTH PORTFOLIO SERIES 29	LISLE, IL	2/21/2018	00834064-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1725 TOTAL INCOME PORTFOLIO SERIES 12	LISLE, IL	2/21/2018	00834065-05	Registered
HARTFORD MUTUAL FUNDS 2 INC HARTFORD QUALITY VALUE FUND CLASS R6 SHARES	WAYNE, PA	2/21/2018	00834055-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED FUND CLASS R6 SHARES	WAYNE, PA	2/21/2018	00834057-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD EMERGING MARKETS EQUITY FUND CLASS R6 SHARES	WAYNE, PA	2/21/2018	00834056-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD GLOBAL ALL ASSET FUND CLASS R6 SHARES	WAYNE, PA	2/21/2018	00834058-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL EQUITY FUND CLASS R6 SHARES	WAYNE, PA	2/21/2018	00834054-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD INTERNATIONAL GROWTH FUND CLASS R6 SHARES	WAYNE, PA	2/21/2018	00834059-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD SMALL CAP CORE FUND CLASS R6 SHARES	WAYNE, PA	2/21/2018	00834060-03	Registered
IVY FUNDS IVY ACCUMULATIVE FUND CLASS N SHARES	SHAWNEE MISSION, KS	2/21/2018	00834221-03	Registered
IVY FUNDS IVY ACCUMULATIVE FUND CLASS R SHARES	SHAWNEE MISSION, KS	2/21/2018	00834218-03	Registered
IVY FUNDS IVY ACCUMULATIVE FUND CLASS Y SHARES	SHAWNEE MISSION, KS	2/21/2018	00834219-03	Registered
IVY FUNDS IVY GLOBAL EQUITY INCOME FUND CLASS E SHARES	SHAWNEE MISSION, KS	2/21/2018	00834217-03	Registered
IVY FUNDS IVY WILSHIRE GLOBAL ALLOCATION FUND CLASS N SHARES	SHAWNEE MISSION, KS	2/21/2018	00834220-03	Registered
IVY FUNDS IVY WILSHIRE GLOBAL ALLOCATION FUND CLASS R SHARES	SHAWNEE MISSION, KS	2/21/2018	00834215-03	Registered
IVY FUNDS IVY WILSHIRE GLOBAL ALLOCATION FUND CLASS Y SHARES	SHAWNEE MISSION, KS	2/21/2018	00834216-03	Registered

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THRIVENT MUTUAL FUNDS THRIVENT HIGH INCOME MUNICIPAL BOND FUND CLASS S SHARES	MINNEAPOLIS, MN	2/21/2018	00834062-03	Registered
THRIVENT MUTUAL FUNDS THRIVENT SMALL CAP GROWTH FUND CLASS S SHARES	MINNEAPOLIS, MN	2/21/2018	00834061-03	Registered
1ST TR COMBINED SERIES 571 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 33	WHEATON, IL	2/19/2018	00834087-05	Registered
FT 7152 SABRIENT BAKERS DOZEN PORTFOLIO FEBRUARY 2018 SERIES	WHEATON, IL	2/19/2018	00834079-05	Registered
FT 7184 SABRIENT RISING RATE PORTFOLIO SERIES 7	WHEATON, IL	2/19/2018	00834086-05	Registered
FT 7185 FINANCIALS SELECT PORTFOLIO SERIES 63	WHEATON, IL	2/19/2018	00834085-05	Registered
FT 7186 UTILITIES SELECT PORTFOLIO SERIES 46	WHEATON, IL	2/19/2018	00834081-05	Registered
FT 7187 HEALTH CARE SELECT PORTFOLIO SERIES 49	WHEATON, IL	2/19/2018	00834078-05	Registered
FT 7188 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 25	WHEATON, IL	2/19/2018	00834084-05	Registered
FT 7190 TOP PICKS FEBRUARY 2018 PORTFOLIO	WHEATON, IL	2/19/2018	00834076-05	Registered
FT 7191 CORE HOLDINGS GROWTH TR SPRING 2018 SERIES	WHEATON, IL	2/19/2018	00834077-05	Registered
FT 7212 SMID BIOTECHNOLOGY PORTFOLIO SERIES	WHEATON, IL	2/19/2018	00834083-05	Registered

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FT 7218 STRATEGIC INCOME ADVANTAGE OPPORTUNITY CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	2/19/2018	00834082-05	Registered
FT 7230 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	2/19/2018	00834080-05	Registered
FT 7247 JAPAN PORTFOLIO SERIES 11	WHEATON, IL	2/19/2018	00834075-05	Registered
SMART TR 368 SMART TR DYNAMIC SECTOR INCOME TR SERIES 20	PARSIPPANY, NJ	2/19/2018	00834088-05	Registered
<b>Number of Investment Company Filings: 53</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
2304 PEARL LLC	BOULDER, CO	2/23/2018	00834779-07	Filed
AMBAC LSNI LLC	NEW YORK, NY	2/23/2018	00834766-07	Filed
CULEDGER LLC	DENVER, CO	2/23/2018	00834769-07	Filed
DILIGERE CO INVESTMENT PARTNERS LLC	SANTA MONICA, CA	2/23/2018	00834781-07	Filed
GRYPHON PARTNERS 5 LP	SAN FRANCISCO, CA	2/23/2018	00834772-07	Filed
HANCOCK TIMBERLAND & FARMLAND FUND LP	BOSTON, MA	2/23/2018	00834771-07	Filed
HSR INCOME & VALUE REIT 1 INC	LOS ANGELES, CA	2/23/2018	00834777-07	Filed
IB PHARMACEUTICALS INC	RALEIGH, NC	2/23/2018	00834780-07	Filed
MIDOCEAN 5 PRIVATE INVESTORS LLC	NEW YORK, NY	2/23/2018	00834770-07	Filed

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MULLEN TECHNOLOGIES INC	LA HABRA, CA	2/23/2018	00834775-07	Filed
RS BLASTWAVE LLC	NORWALK, CT	2/23/2018	00834768-07	Filed
SPINETHERA INC	PLYMOUTH, MN	2/23/2018	00834783-07	Filed
STRYVE FOODS LLC	PLANO, TX	2/23/2018	00834767-07	Filed
TL FUNDING 1 LLC	FAIRFIELD, CT	2/23/2018	00834774-07	Filed
TTCP EXECUTIVE FUND SS LLC	EDINA, MN	2/23/2018	00834765-07	Filed
CAPRI HOSPITALITY INC	APPLETON, WI	2/20/2018	00834116-07	Filed
METALLIS RESOURCES INC	VANCOUVER, BC	2/20/2018	00834090-07	Filed
MED X INC	CANOGA PARK, CA	2/19/2018	00834089-07	Filed
<b>Number of Regulation D Notice Filings: 18</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Surface Specialists Systems, Inc. / Surface Specialists	Matthews, NC	2/24/2018	618522	Registered
BOR Franchising, LLC / BOR Restoration	Greenwood Village, CO	2/23/2018	618521	Registered
Patrice & Associates Franchising, Inc. / Patrice & Associates - Unit Program	Dunkirk, MD	2/23/2018	618520	Registered
AVF Franchising, LLC / Art Van	Warren, MI	2/22/2018	618513	Registered
Legg SMS Franchising Inc / Superior Mosquito Defense	South Decatur, AL	2/22/2018	618514	Registered
101 Mobility Franchise Systems, LLC / 101 Mobility	Wilmington, NC	2/22/2018	618509	Registered
Certa ProPainters, Ltd. / CertaPro Painters	Oaks, PA	2/22/2018	618510	Registered
Jack in the Box Inc. / Jack in the Box	San Diego, CA	2/21/2018	618508	Registered
YOUNG REMBRANDTS FRANCHISE INC / YOUNG REMBRANDTS	ELGIN, IL	2/21/2018	618507	Registered

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Owens Corning Remodeling Systems, LLC / Owens Corning Basement Finishing System	Toledo, OH	2/21/2018	618506	Registered
ACE SUSHI FRANCHISE CORP / Ace Sushi	Torrance, CA	2/20/2018	618505	Registered
Jan-Pro Franchising International, Inc. / Jan-Pro; Jan-Pro Cleaning Systems	Alpharetta, GA	2/20/2018	618504	Registered
Bear Paddle Swim Schools, LLC / Bear Paddle Swim School	Minneapolis, MN	2/20/2018	618502	Registered
Cobblestone Hotels, LLC / Boarders Inn & Suites by Cobblestone	Neenah, WI	2/19/2018	618497	Registered
Cobblestone Hotels, LLC / Cobblestone Inn & Suites; Cobblestone Hotel & Suites	Neenah, WI	2/19/2018	618496	Registered
<b>Number of Franchise Filings: 15</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	