

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/11/2018 through 2/17/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1851 UBIQUITOUS STRATEGY PORTFOLIO 15 MONTH SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833378-05	Registered
ADVISORS DISCIPLINED TR 1853 ADVISORS CORE EQUITY STRATEGY PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833383-05	Registered
ADVISORS DISCIPLINED TR 1853 BAHL & GAYNOR INCOME GROWTH PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833377-05	Registered
ADVISORS DISCIPLINED TR 1853 BLUE CHIP PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833376-05	Registered
ADVISORS DISCIPLINED TR 1853 DIVIDEND ADVANTAGE PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833375-05	Registered
ADVISORS DISCIPLINED TR 1853 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2018 1Q HIMCO PORTFOLIO	MONUMENT, CO	2/16/2018	00833384-05	Registered
ADVISORS DISCIPLINED TR 1853 DOW VALUE 10 PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833373-05	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1853 ENERGY OPPORTUNITIES PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833372-05	Registered
ADVISORS DISCIPLINED TR 1853 EUROPEAN SELECT PORTFOLIO SERIES 2018 1Q CJL PORTFOLIO	MONUMENT, CO	2/16/2018	00833371-05	Registered
ADVISORS DISCIPLINED TR 1853 INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833379-05	Registered
ADVISORS DISCIPLINED TR 1853 MINIMUM VOLATILITY EQUITY INCOME PORTFOLIO SERIES 2018 1Q HIMCO PORTFOLIO	MONUMENT, CO	2/16/2018	00833382-05	Registered
ADVISORS DISCIPLINED TR 1853 STRATEGIC FOUNDATIONS OF GROWTH PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833380-05	Registered
ADVISORS DISCIPLINED TR 1853 STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833374-05	Registered
ADVISORS DISCIPLINED TR 1853 TACTICAL PLUS CLOSED END PORTFOLIO 15 MONTH SERIES 2018 1Q	MONUMENT, CO	2/16/2018	00833381-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1722 BLUE CHIP GROWTH PORTFOLIO SERIES 17	LISLE, IL	2/16/2018	00833367-05	Registered
SMART TR 367 SMART TR RISING INTEREST RATES HEDGE TR SERIES 10	PARSIPPANY, NJ	2/16/2018	00833370-05	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE INTERNATIONAL EQUITY FUND CLASS A	CINCINNATI, OH	2/15/2018	00833211-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISERS INVESTMENT TR JOHCM EMERGING MARKETS SMALL MID CAP EQUITY FUND CLASS I SHARES	GAHANNA, OH	2/14/2018	00833489-03	Registered
ADVISERS INVESTMENT TR JOHCM EMERGING MARKETS SMALL MID CAP EQUITY FUND INSTITUTIONAL SHARES	GAHANNA, OH	2/14/2018	00833488-03	Registered
ADVISERS INVESTMENT TR JOHCM US SMALL MID CAP EQUITY FUND CLASS I SHARES	GAHANNA, OH	2/14/2018	00833491-03	Registered
ADVISERS INVESTMENT TR JOHCM US SMALL MID CAP EQUITY FUND INSTITUTIONAL SHARES	GAHANNA, OH	2/14/2018	00833490-03	Registered
FT 7151 AUTOMATED QUANTITATIVE ANALYSIS AQA PORTFOLIO SERIES 16	WHEATON, IL	2/14/2018	00833386-05	Registered
FT 7181 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	2/14/2018	00833388-05	Registered
FT 7206 ESG IMPACT OPPORTUNITY PORTFOLIO SERIES 2	WHEATON, IL	2/14/2018	00833387-05	Registered
FT 7215 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 66	WHEATON, IL	2/14/2018	00833385-05	Registered
MANAGER DIRECTED PORTFOLIOS MARMONT REDWOOD INTERNATIONAL EQUITY FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	2/14/2018	00833325-03	Registered
VOYA EQUITY TR VOYA LARGE CAP GROWTH FUND CLASS R	SCOTTSDALE, AZ	2/14/2018	00833087-03	Registered
VOYA EQUITY TR VOYA LARGE CAP GROWTH FUND CLASS R6	SCOTTSDALE, AZ	2/14/2018	00833085-03	Registered

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Firm Name	Location	Date Received	File Number	Status
VOYA EQUITY TR VOYA LARGE CAP VALUE FUND CLASS R6	SCOTTSDALE, AZ	2/14/2018	00833088-03	Registered
VOYA EQUITY TR VOYA MIDCAP OPPORTUNITIES FUND CLASS R6	SCOTTSDALE, AZ	2/14/2018	00833082-03	Registered
VOYA EQUITY TR VOYA REAL ESTATE FUND CLASS R6	SCOTTSDALE, AZ	2/14/2018	00833083-03	Registered
VOYA EQUITY TR VOYA SMALLCAP OPPORTUNITIES FUND CLASS R6	SCOTTSDALE, AZ	2/14/2018	00833086-03	Registered
VOYA EQUITY TR VOYA SMID CAP GROWTH FUND CLASS R6	SCOTTSDALE, AZ	2/14/2018	00833084-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY BEUTEL GOODMAN LARGE CAP VALUE FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	2/13/2018	00833394-03	Registered
SMEAD FUNDS TR SMEAD VALUE FUND CLASS I3 SHARES	SEATTLE, WA	2/13/2018	00832170-03	Registered
SMEAD FUNDS TR SMEAD VALUE FUND CLASS S SHARES	SEATTLE, WA	2/13/2018	00832169-03	Registered
FORUM FUNDS 2 ABR DYNAMIC SHORT VOLATILITY FUND INSTITUTIONAL SHARES	PORTLAND, ME	2/12/2018	00833486-03	Registered
FORUM FUNDS 2 ABR DYNAMIC SHORT VOLATILITY FUND INVESTOR SHARES	PORTLAND, ME	2/12/2018	00833487-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1718 DIVIDEND GROWTH PORTFOLIO SERIES 13	LISLE, IL	2/12/2018	00833433-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1718 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 13	LISLE, IL	2/12/2018	00833431-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1719 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 41	LISLE, IL	2/12/2018	00833432-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1720 DIVERSIFIED CREDIT PORTFOLIO OF ETFs SERIES 12	LISLE, IL	2/12/2018	00833428-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1721 DOW JONES SUSTAINABLE GROWTH PORTFOLIO SERIES 2	LISLE, IL	2/12/2018	00833429-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1739 CORE 4 60 40 RETIREMENT PORTFOLIO SERIES 2	LISLE, IL	2/12/2018	00833430-05	Registered
Number of Investment Company Filings: 44				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVENIR@PGA INVESTORS LP	AVENTURA, FL	2/16/2018	00834127-07	Filed
BAEBIES INC	DURHAM, NC	2/16/2018	00834131-07	Filed
BFC INK LLC	GRAND RAPIDS, MI	2/16/2018	00834134-07	Filed
BOSTON COMMON ALL COUNTRY INTERNATIONAL FUND LLC	BOSTON, MA	2/16/2018	00834126-07	Filed
CITY OF NEWARK COUNTY OF ESSEX STATE OF NJ	NEWARK, NJ	2/16/2018	00834129-07	Filed
COWEN HEALTHCARE INVESTMENTS 2 LP	NEW YORK, NY	2/16/2018	00834118-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HGI BSW FUND 7 LLC	NORFOLK, VA	2/16/2018	00834123-07	Filed
MCI PREFERRED INCOME FUND 2 LLC	FARMERS BRANCH, TX	2/16/2018	00834122-07	Filed
MERITAGE HOSPITALITY GROUP INC	GRAND RAPIDS, MI	2/16/2018	00834124-07	Filed
NEUBERGER BERMAN US EQUITY INDEX PUTWRITE FUND LLC	NEW YORK, NY	2/16/2018	00834133-07	Filed
PV OPPORTUNITY FUND 5 LP	SAN DIEGO, CA	2/16/2018	00834128-07	Filed
SENTINEL CAPITAL INVESTORS 6 LP	NEW YORK, NY	2/16/2018	00834120-07	Filed
SENTINEL CAPITAL PARTNERS 6 LP	NEW YORK, NY	2/16/2018	00834121-07	Filed
SENTINEL JUNIOR CAPITAL 1 LP	NEW YORK, NY	2/16/2018	00834119-07	Filed
SOMA PARTNERS LP	SAN FRANCISCO, CA	2/16/2018	00834130-07	Filed
WATT FUNDING LLC	SANTA MONICA, CA	2/16/2018	00834125-07	Filed
TANAGRAM SOLUTIONS LLC	CHICAGO, IL	2/13/2018	00833395-07	Filed
BONGIOVI MEDIA & TECHNOLOGY INC	PORT ST LUCIE, FL	2/12/2018	00833421-07	Filed
Number of Regulation D Notice Filings: 18				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Red Collection, LLC / The Red Collection	Columbus, OH	2/16/2018	618494	Registered
Praxis S-10, LLC / PriceFixer.com	Sarasota, FL	2/16/2018	618493	Registered
Snap-on Tools Company LLC / Snap-on Tools	KENOSHA, WI	2/16/2018	618492	Registered
Manduu EMS, LLC	Miami, FL	2/15/2018	618491	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Beef Jerky Outlet Franchise, Inc. / Beef Jerky Outlet	Kodak, TN	2/15/2018	618489	Registered
LEADING EDGE MARKETING, INC. / ProSource Wholesale	St. Louis, MO	2/14/2018	618487	Registered
Smoke's Poutinerie US Franchising Inc. / SMOKE'S POUTINERIE	Ajax, ON	2/14/2018	618488	Registered
Home-Grown Industries of Georgia, Inc. / Mellow Mushroom Pizza	Atlanta, GA	2/14/2018	618486	Registered
ATC HEALTHCARE SERVICES INC / ATC Healthcare Services	LAKE SUCCESS, NY	2/13/2018	618485	Registered
Number of Franchise Filings: 9				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	