

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/4/2018 through 2/10/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BROADSTONE REAL ESTATE ACCESS FUND INC BROADSTONE REAL ESTATE ACCESS FUND CLASS I SHARES	ROCHESTER, NY	2/9/2018	00832143-01	Pending-Cleared
BROADSTONE REAL ESTATE ACCESS FUND INC BROADSTONE REAL ESTATE ACCESS FUND CLASS W SHARES	ROCHESTER, NY	2/9/2018	00832144-01	Pending-Cleared
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SONRISE CHRISTIAN CENTER	EVERETT, WA	2/9/2018	00833210-10	Not Disallowed
ELECTROMEDICAL TECHNOLOGIES INC	SCOTTSDALE, AZ	2/6/2018	00832108-25	Filed
GROUND FLOOR FINANCE INC	ATLANTA, GA	2/5/2018	00832036-25	Filed
Number of Exemption Filings: 3				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FINANCIAL INVESTORS TR HIGHLAND RESOLUTE FUND CLASS I	DENVER, CO	2/9/2018	00832142-03	Registered
FT 7167 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 37	WHEATON, IL	2/9/2018	00833191-05	Registered
FT 7167 SMID CAPITAL STRENGTH PORTFOLIO SERIES 37	WHEATON, IL	2/9/2018	00833190-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 7176 CORPORATE TAX REFORM PORTFOLIO SERIES 5	WHEATON, IL	2/9/2018	00833197-05	Registered
FT 7178 AMERICAN AGENDA PORTFOLIO SERIES 4	WHEATON, IL	2/9/2018	00833200-05	Registered
FT 7179 DEEP VALUE DIVIDEND PORTFOLIO SERIES 18	WHEATON, IL	2/9/2018	00833201-05	Registered
FT 7180 EQUITY INCOME SELECT PORTFOLIO SERIES 56	WHEATON, IL	2/9/2018	00833192-05	Registered
FT 7182 GLOBAL DIVIDEND PORTFOLIO SERIES 54	WHEATON, IL	2/9/2018	00833202-05	Registered
FT 7183 SMID HIGH DIVIDEND PORTFOLIO SERIES 29	WHEATON, IL	2/9/2018	00833194-05	Registered
FT 7207 EQUITY INCOME PORTFOLIO SERIES 59	WHEATON, IL	2/9/2018	00833195-05	Registered
FT 7214 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	2/9/2018	00833198-05	Registered
FT 7216 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 93	WHEATON, IL	2/9/2018	00833193-05	Registered
FT 7217 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 64	WHEATON, IL	2/9/2018	00833199-05	Registered
FT 7251 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 53	WHEATON, IL	2/9/2018	00833196-05	Registered
VANGUARD WELLINGTON FUND VANGUARD US MULTIFACTOR FUND ADMIRAL SHARES	VALLEY FORGE, PA	2/9/2018	00833420-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TCW FUNDS INC TCW ARTIFICIAL INTELLIGENCE EQUITY FUND CLASS I	LOS ANGELES, CA	2/8/2018	00832150-03	Registered
TCW FUNDS INC TCW ARTIFICIAL INTELLIGENCE EQUITY FUND CLASS N	LOS ANGELES, CA	2/8/2018	00832149-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI MULTI ASSET INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	2/7/2018	00832085-03	Registered
BLACKROCK LARGE CAP SERIES FUNDS INC BLACKROCK ADVANTAGE LARGE CAP CORE FUND CLASS K SHARES	WILMINGTON, DE	2/7/2018	00832086-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN ABSOLUTE RETURN BOND FUND SI CLASS	LOS ANGELES, CA	2/7/2018	00833412-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN CORE BOND FUND SI CLASS	LOS ANGELES, CA	2/7/2018	00833414-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN EMERGING MARKETS CORPORATE BOND FUND SI CLASS	LOS ANGELES, CA	2/7/2018	00833410-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN EQUITY INCOME FUND SI CLASS	LOS ANGELES, CA	2/7/2018	00833415-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN FLOATING RATE FUND SI CLASS	LOS ANGELES, CA	2/7/2018	00833413-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN STRATEGIC INCOME FUND SI CLASS	LOS ANGELES, CA	2/7/2018	00833411-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1665 GUGGENHEIM INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 11	LISLE, IL	2/6/2018	00832091-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1717 GUGGENHEIM REIT PORTFOLIO SERIES 18	LISLE, IL	2/6/2018	00832090-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1717 MULTINATIONAL TITANS PORTFOLIO SERIES 14	LISLE, IL	2/6/2018	00832093-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1717 PRECIOUS METALS & MINERS PORTFOLIO SERIES 14	LISLE, IL	2/6/2018	00832092-05	Registered
SEI INSTITUTIONAL MANAGED TR LARGE CAP INDEX FUND CLASS F SHARES	OAKS, PA	2/6/2018	00832084-03	Registered
BROOKFIELD INVESTMENT FUNDS CENTER COAST BROOKFIELD MLP FOCUS FUND CLASS I	WEST ALLIS, WI	2/5/2018	00832035-03	Registered
Number of Investment Company Filings: 31				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
5TH AVENUE REAL ASSETS 4 LLC	NEW YORK, NY	2/9/2018	00832174-07	Filed
ALZAMEND NEURO INC	SALT LAKE CTY, UT	2/9/2018	00832178-07	Filed
CUREMARK LLC	RYE, NY	2/9/2018	00832185-07	Filed
DELOITTE CONSULTING LLP	NEW YORK, NY	2/9/2018	00832172-07	Filed
ECHO CAPITAL INVESTMENTS LP	WILMETTE, IL	2/9/2018	00832175-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HEARTIS BROOKFIELD INVESTORS LP	DALLAS, TX	2/9/2018	00832182-07	Filed
I 17 & JOMAX PARTNERS LLC	SCOTTSDALE, AZ	2/9/2018	00832171-07	Filed
LEXSHARES MARKETPLACE FUND 1 LLC	NEW YORK, NY	2/9/2018	00832176-07	Filed
LEXSHARES MARKETPLACE FUND 1 QP LLC	NEW YORK, NY	2/9/2018	00832177-07	Filed
MUKC FUND 1 LP	MEQUON, WI	2/9/2018	00832173-07	Filed
MURANO APT VENTURE LLC	NORTHRIDGE, CA	2/9/2018	00832180-07	Filed
RIVERSIDE CAPITAL APPRECIATION 7 ASP FUND SERIES OF ASPE LLC	CHARLOTTE, NC	2/9/2018	00832184-07	Filed
SACKS VENTURES 1 LP	SAN FRANCISCO, CA	2/9/2018	00832181-07	Filed
VITAHEAT MEDICAL HOLDINGS LLC	DEER PARK, IL	2/9/2018	00832183-07	Filed
ENVIROLASTECH INC	ROCHESTER, MN	2/7/2018	00833416-07	Filed
FASTER FASTER INC	BARTLESVILLE, OK	2/6/2018	00832107-07	Filed
MCHENRY BANCORP INC	MCHENRY, IL	2/6/2018	00832109-07	Filed
HUBSTAR INC	AUSTIN, TX	2/5/2018	00832087-07	Filed
PORTFOLIO ADVISORS PRIVATE EQUITY FUND 2017 LP	DARIEN, CT	2/5/2018	00832037-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BAB SYSTEMS INC / BIG APPLE BAGELS, MY FAVORITE MUFFIN	DEERFIELD, IL	2/8/2018	618479	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Maid Right Franchising LLC / Might Right	Alphretta, GA	2/8/2018	618476	Registered
Qdoba Restaurant Corporation / "Qdoba®" "Qdoba Mexican Eats®" "Qdoba Mexican Grill®"	San Diego, CA	2/8/2018	618475	Registered
Ultimate Fitness Group LLC / Orange Theory Unit Franchise	Boca Raton, FL	2/7/2018	618474	Registered
Happy & Healthy Products, Inc. / Happy & Healthy Products	Boca Raton, FL	2/7/2018	618473	Registered
GDK USA, Inc. / Doner Kebab Outlet	Concord, MA	2/7/2018	618472	Registered
Zoom Franchise Company LLC / Zoom Sewer and Drain Cleaning	Norristown, PA	2/7/2018	618470	Registered
Be A Legend, LLC / Legends Boxing	Salt Lake City, UT	2/7/2018	618469	Registered
ANNEX BRANDS INC / Annex Brands Commercial Center	SAN DIEGO, CA	2/6/2018	618468	Registered
ANB Clean Franchising, LLC / ANB Clean	Shakopee, MN	2/5/2018	618466	Registered
Storm Guard Franchise Systems, LLC / Storm Guard	Fort Worth, TX	2/4/2018	618465	Registered
Number of Franchise Filings: 11				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	