

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/21/2018 through 1/27/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MOODY NATIONAL REIT 2 INC	HOUSTON, TX	1/25/2018	00831102-01	Pending
NEXPOINT REAL ESTATE STRATEGIES FUND	DALLAS, TX	1/23/2018	00831078-01	Registered
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LIQUID CHURCH NJ NONPROFIT CORP	PARSIPPANY, NJ	1/23/2018	00831076-10	Not Disallowed
GRACEPOINT CHURCH	BREMERTON, WA	1/22/2018	00831037-10	Not Disallowed
HEARTLAND CHURCH INC	SOUTHAVEN, MS	1/22/2018	00831036-10	Not Disallowed
<b>Number of Exemption Filings: 3</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACKROCK ADVANTAGE GLOBAL FUND INC CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831427-03	Registered
BLACKROCK ADVANTAGE US TOTAL MARKET FUND INC CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831406-03	Registered
BLACKROCK ASIAN DRAGON FUND INC CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831422-03	Registered
BLACKROCK BALANCED CAPITAL FUND INC CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831404-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACKROCK CA MUNICIPAL SERIES TR BLACKROCK CA MUNICIPAL OPPORTUNITIES FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831416-03	Registered
BLACKROCK EMERGING MARKETS FUND INC CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831417-03	Registered
BLACKROCK EUROFUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831419-03	Registered
BLACKROCK FUNDS 2 BLACKROCK US GOVERNMENT BOND PORTFOLIO CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831407-03	Registered
BLACKROCK FUNDS BLACKROCK ADVANTAGE EMERGING MARKETS FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831426-03	Registered
BLACKROCK FUNDS BLACKROCK ADVANTAGE INTERNATIONAL FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831411-03	Registered
BLACKROCK FUNDS BLACKROCK ADVANTAGE LARGE CAP GROWTH FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831414-03	Registered
BLACKROCK FUNDS BLACKROCK ADVANTAGE SMALL CAP GROWTH FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831410-03	Registered
BLACKROCK FUNDS BLACKROCK COMMODITY STRATEGIES FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831415-03	Registered
BLACKROCK FUNDS BLACKROCK EMERGING MARKETS DIVIDEND FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831413-03	Registered

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BLACKROCK FUNDS BLACKROCK INTERNATIONAL DIVIDEND FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831412-03	Registered
BLACKROCK LARGE CAP SERIES FUNDS INC BLACKROCK ADVANTAGE LARGE CAP VALUE FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831408-03	Registered
BLACKROCK LARGE CAP SERIES FUNDS INC BLACKROCK LARGE CAP FOCUS GROWTH FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831405-03	Registered
BLACKROCK LATIN AMERICA FUND INC CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831421-03	Registered
BLACKROCK MID CAP DIVIDEND SERIES INC BLACKROCK MID CAP DIVIDEND FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831425-03	Registered
BLACKROCK MULTI STATE MUNICIPAL SERIES TR BLACKROCK NJ MUNICIPAL BOND FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831418-03	Registered
BLACKROCK MULTI STATE MUNICIPAL SERIES TR BLACKROCK NY MUNICIPAL OPPORTUNITIES FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831420-03	Registered
BLACKROCK MULTI STATE MUNICIPAL SERIES TR BLACKROCK PA MUNICIPAL BOND FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831424-03	Registered
BLACKROCK MUNICIPAL BOND FUND INC BLACKROCK HIGH YIELD MUNICIPAL FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831428-03	Registered

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BLACKROCK MUNICIPAL SERIES TR BLACKROCK STRATEGIC MUNICIPAL OPPORTUNITIES FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831423-03	Registered
BLACKROCK SERIES INC BLACKROCK INTERNATIONAL FUND CLASS K SHARES	WILMINGTON, DE	1/26/2018	00831409-03	Registered
CALVERT WORLD VALUES FUND INC CALVERT EMERGING MARKETS EQUITY FUND CLASS R6	WASHINGTON, DC	1/26/2018	00831264-03	Registered
FT 7135 CAPITAL STRENGTH PORTFOLIO SERIES 41	WHEATON, IL	1/26/2018	00831301-05	Registered
FT 7137 DIVIDEND STRENGTH PORTFOLIO SERIES 39	WHEATON, IL	1/26/2018	00831298-05	Registered
FT 7138 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 30	WHEATON, IL	1/26/2018	00831306-05	Registered
FT 7155 PREFERRED INCOME OPPORTUNITY PORTFOLIO SERIES 8	WHEATON, IL	1/26/2018	00831307-05	Registered
FT 7156 SENIOR LOAN & DIVIDEND GROWERS PORTFOLIO SERIES 17	WHEATON, IL	1/26/2018	00831300-05	Registered
FT 7160 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 30	WHEATON, IL	1/26/2018	00831305-05	Registered
FT 7171 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 58	WHEATON, IL	1/26/2018	00831299-05	Registered
FT 7173 ELECTION PORTFOLIO 2016 SERIES 6	WHEATON, IL	1/26/2018	00831309-05	Registered
FT 7174 EQUITY INCOME OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	1/26/2018	00831303-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 7198 CAROLINAS LEADERS PORTFOLIO SERIES	WHEATON, IL	1/26/2018	00831304-05	Registered
FT 7201 MLP CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	1/26/2018	00831302-05	Registered
FT 7213 GLOBAL COMMODITIES PORTFOLIO SERIES 33	WHEATON, IL	1/26/2018	00831308-05	Registered
PIMCO FUNDS PIMCO GLOBAL BOND FUND UNHEDGED CLASS A	NEWPORT BEACH, CA	1/26/2018	00831263-03	Registered
ADVISORS DISCIPLINED TR 1847 ANGELS PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	1/24/2018	00831094-05	Registered
ADVISORS DISCIPLINED TR 1847 BEST IDEAS STRATEGY PORTFOLIO SERIES 2018 1Q HIMCO PORTFOLIO	MONUMENT, CO	1/24/2018	00831092-05	Registered
ADVISORS DISCIPLINED TR 1847 BRAND FAVORITES FOCUS PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	1/24/2018	00831091-05	Registered
ADVISORS DISCIPLINED TR 1847 BULLDOG PORTFOLIO SERIES 2018 1Q CJL PORTFOLIO	MONUMENT, CO	1/24/2018	00831090-05	Registered
ADVISORS DISCIPLINED TR 1847 GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 2018 1Q HIMCO PORTFOLIO	MONUMENT, CO	1/24/2018	00831089-05	Registered
ADVISORS DISCIPLINED TR 1847 GLOBAL TECHNOLOGY PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	1/24/2018	00831088-05	Registered
ADVISORS DISCIPLINED TR 1847 NASDAQ Q 50 INDEXSM PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	1/24/2018	00831087-05	Registered

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ADVISORS DISCIPLINED TR 1847 STRATEGIC HIGH 50 Q 50 DIVIDEND & GROWTH PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	1/24/2018	00831086-05	Registered
ADVISORS DISCIPLINED TR 1848 DIVIDEND RULER PORTFOLIO SERIES 2018A	MONUMENT, CO	1/24/2018	00831095-05	Registered
ADVISORS DISCIPLINED TR 1850 FINANCIAL OPPORTUNITIES PORTFOLIO SERIES 2018 1	MONUMENT, CO	1/24/2018	00831093-05	Registered
ADVISORS DISCIPLINED TR 1850 UBIQUITOUS STRATEGY PORTFOLIO SERIES 2018 1	MONUMENT, CO	1/24/2018	00831085-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1711 SMID DIVIDEND STRENGTH PORTFOLIO SERIES 9	LISLE, IL	1/24/2018	00831083-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1711 US 50 DIVIDEND STRATEGY PORTFOLIO SERIES 15	LISLE, IL	1/24/2018	00831098-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1712 S&P DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 10	LISLE, IL	1/24/2018	00831097-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1713 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 45	LISLE, IL	1/24/2018	00831096-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1714 GLOBAL BALANCED INCOME BUILDER PORTFOLIO SERIES 11	LISLE, IL	1/24/2018	00831099-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1714 GUGGENHEIM BALANCED INCOME BUILDER PORTFOLIO SERIES 22	LISLE, IL	1/24/2018	00831084-05	Registered

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JANUS INVESTMENT FUND JANUS HENDERSON ASIA EQUITY FUND CLASS N SHARES	DENVER, CO	1/24/2018	00831132-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL LIFE SCIENCES FUND CLASS N SHARES	DENVER, CO	1/24/2018	00831131-03	Registered
JANUS INVESTMENT FUND JANUS HENDERSON GLOBAL REAL ESTATE FUND CLASS N SHARES	DENVER, CO	1/24/2018	00831130-03	Registered
FIDELITY HEREFORD STREET TR FIDELITY GOVERNMENT MONEY MARKET FUND CLASS K6	BOSTON, MA	1/23/2018	00830883-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2022 FUND CLASS R6	SAN MATEO, CA	1/23/2018	00830353-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2022 FUND ADVISOR CLASS	SAN MATEO, CA	1/23/2018	00830352-03	Registered
1ST TR COMBINED SERIES 569 TX EX MUNICIPAL INCOME TR SERIES 300	WHEATON, IL	1/22/2018	00831055-05	Registered
1ST TR COMBINED SERIES 570 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 37	WHEATON, IL	1/22/2018	00831051-05	Registered
FT 7123 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 33	WHEATON, IL	1/22/2018	00831039-05	Registered
FT 7132 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 37	WHEATON, IL	1/22/2018	00831059-05	Registered
FT 7133 INVESTMENT GRADE SELECT CLOSED END & ETF PORTFOLIO SERIES 5	WHEATON, IL	1/22/2018	00831041-05	Registered

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FT 7134 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 13	WHEATON, IL	1/22/2018	00831043-05	Registered
FT 7140 7TWELVE BALANCED PORTFOLIO SERIES	WHEATON, IL	1/22/2018	00831040-05	Registered
FT 7145 S&P INTERNATIONAL DIVIDEND ARISTOCRATS PORT 1Q 18	WHEATON, IL	1/22/2018	00831049-05	Registered
FT 7147 HIGH DIVIDEND EQUITY OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	1/22/2018	00831046-05	Registered
FT 7148 BALANCED INCOME EQUITY & ETF OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	1/22/2018	00831045-05	Registered
FT 7153 HEALTH CARE OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	1/22/2018	00831038-05	Registered
FT 7154 MULTI SECTOR INCOME PORTFOLIO SERIES 39	WHEATON, IL	1/22/2018	00831044-05	Registered
FT 7159 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 46	WHEATON, IL	1/22/2018	00831058-05	Registered
FT 7169 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 28	WHEATON, IL	1/22/2018	00831057-05	Registered
FT 7170 BALANCED INCOME SELECT PORTFOLIO SERIES 74	WHEATON, IL	1/22/2018	00831050-05	Registered
FT 7172 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 52	WHEATON, IL	1/22/2018	00831042-05	Registered
FT 7196 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	1/22/2018	00831048-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 7200 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	1/22/2018	00831056-05	Registered
FT 7203 INTEREST RATE HEDGE PORTFOLIO SERIES 116	WHEATON, IL	1/22/2018	00831047-05	Registered
SMART TR 363 SMART TR DEFENSIVE 50 EQUITIES TR SERIES 14	PARSIPPANY, NJ	1/22/2018	00831052-05	Registered
SMART TR 363 SMART TR SMART 10 TR SERIES 11	PARSIPPANY, NJ	1/22/2018	00831053-05	Registered
SMART TR 363 SMART TR ZACKS GARP COMPOSITE 35 TR SERIES 16	PARSIPPANY, NJ	1/22/2018	00831054-05	Registered
<b>Number of Investment Company Filings: 84</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ANDE CORP	WALTHAM, MA	1/26/2018	00831660-07	Filed
ANN ARBOR SENIOR LIVING LLC	DENVER, CO	1/26/2018	00831652-07	Filed
ARGENTIERE ENHANCED FUND LP	GRAND CAYMAN, AA	1/26/2018	00831643-07	Filed
AVADIM TECHNOLOGIES INC	ASHEVILLE, NC	1/26/2018	00831661-07	Filed
BAYOU JONAS DEVELOPMENT 2018 JOINT VENTURE	SAN ANTONIO, TX	1/26/2018	00831642-07	Filed
EXCHANGERIGHT NET LEASED PORTFOLIO 19 DST	PASADENA, CA	1/26/2018	00831664-07	Filed
FRANCHISE LEAGUE CO LLC	LOS ANGELES, CA	1/26/2018	00831646-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HALEY COMMUNITIES LP FUND NO 4	OMAHA, NE	1/26/2018	00831640-07	Filed
HENGISTBURY FUND LTD	LONDON, AA	1/26/2018	00831639-07	Filed
HGI KFB K41 LLC	NORFOLK, VA	1/26/2018	00831651-07	Filed
HIVE 3 LLC	PALO ALTO, CA	1/26/2018	00831663-07	Filed
KARLOV STREET LEVEL INVESTOR OPPORTUNITY 5 LLC	CHICAGO, IL	1/26/2018	00831645-07	Filed
MEGA BLOCKCHAIN CORP	SCHENECTADY, NY	1/26/2018	00831666-07	Filed
MONARCH FUNDING 1 LLC	FAIRFIELD, CT	1/26/2018	00831659-07	Filed
NORTHWEST BIOTHERAPEUTICS INC	BETHESDA, MD	1/26/2018	00831649-07	Filed
POLESTAR INNOVATION LLC	SHREWSBURY, MA	1/26/2018	00831657-07	Filed
RCP SECONDARY OPPORTUNITY FUND 3 LP	CHICAGO, IL	1/26/2018	00831641-07	Filed
RED & BLUE VENTURES 1 LP	PHILADELPHIA, PA	1/26/2018	00831655-07	Filed
SOLOMON HESS OPPORTUNITY FUND LLC	FALLS CHURCH, VA	1/26/2018	00831653-07	Filed
SPRING CREEK 1600 ACQUISITIONS LLC	ATLANTA, GA	1/26/2018	00831650-07	Filed
TFG 1 GLOBAL VIEW REIT LLC	BOSTON, MA	1/26/2018	00831648-07	Filed
WINDSAIL CREDIT QP FUND LP	BOSTON, MA	1/26/2018	00831662-07	Filed
WUNDER CAPITAL 5 LLC	BOULDER, CO	1/26/2018	00831647-07	Filed
ZYMTRONIX LLC	ITHACA, NY	1/26/2018	00831665-07	Filed

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FRED 1 GLENN PLACE LLC	MILWAUKEE, WI	1/24/2018	00831079-07	Filed
HOLDREGE HOTEL GROUP LLC	NEENAH, WI	1/22/2018	00831035-07	Filed
<b>Number of Regulation D Notice Filings: 26</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BMB Franchising Services, Inc. / "BOMBSHELLS RESTAURANT & BAR" and "BOMBSHELLS"	Houston, TX	1/25/2018	618440	Registered
Apex Fun Run, LLC / Apex Fun Run	Phoenix, AZ	1/25/2018	618439	Registered
Pizzeria Uno Corporation / Uno Pizzeria & Grill	West Roxbury, MA	1/25/2018	618438	Registered
The Camp Franchise Systems, LLC / The Camp Transformation Center	Chino, CA	1/25/2018	618436	Registered
Row House Franchise, LLC / Row House	Costa Mesa, CA	1/25/2018	618435	Registered
Rita's Franchise Company, LLC / Ritas Ice Custard Happiness	Trevese, PA	1/25/2018	618434	Registered
Town Planner Franchising, Inc. / Town Planner	Richfield, OH	1/24/2018	618432	Registered
AirMD, LLC / AirMD	Boca Raton, FL	1/24/2018	618431	Registered
IMG Universe, LLC / Miss Universe; Miss Universe Organization; Miss Teen USA	New York, NY	1/23/2018	618429	Registered
IMG Universe, LLC / Miss Universe; Miss Universe Organization; Miss USA	New York, NY	1/23/2018	618428	Registered
Happy Joe's Franchising, Inc. / Happy Joes Pizza and Ice Cream Parlor	Bettendorf, IA	1/23/2018	618424	Registered
AirMD, LLC / AirMD	Boca Raton, FL	1/23/2018	618423	Registered
THE HBH FRANCHISE COMPANY, LLC / HoneyBaked Ham and The HoneyBaked Ham Co. and Cafe	Alphretta, GA	1/22/2018	618422	Registered
InFrasys, Inc. / SealMaster	Sandusky, OH	1/22/2018	618420	Registered
<b>Number of Franchise Filings: 14</b>				

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<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
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<b>Takeover Filing Reference</b>	<b>Date Received</b>
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<b>Number of Takeover Filings: 0</b>	
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