

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/14/2018 through 1/20/2018**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JONES LANG LASALLE INCOME PROPERTY TR INC	CHICAGO, IL	1/19/2018	00830973-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INLAND RESIDENTIAL PROPERTIES TR INC	OAK BROOK, IL	1/16/2018	00830365-08	Not Disallowed
LIONHEART CIDER LLC	MINNEAPOLIS, MN	1/16/2018	00830361-08	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CONESTOGA FUNDS CONESTOGA SMID CAP FUND INVESTORS CLASS	CINCINNATI, OH	1/19/2018	00830355-03	Registered
MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS A SHARES	BOSTON, MA	1/17/2018	00830068-03	Registered
MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS B SHARES	BOSTON, MA	1/17/2018	00830069-03	Registered
MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS C SHARES	BOSTON, MA	1/17/2018	00830070-03	Registered
MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS I SHARES	BOSTON, MA	1/17/2018	00830071-03	Registered

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MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS R1 SHARES	BOSTON, MA	1/17/2018	00830073-03	Registered
MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS R2 SHARES	BOSTON, MA	1/17/2018	00830075-03	Registered
MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS R3 SHARES	BOSTON, MA	1/17/2018	00830072-03	Registered
MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS R4 SHARES	BOSTON, MA	1/17/2018	00830074-03	Registered
MFS SERIES TR XVI MFS PRUDENT INVESTOR FUND CLASS R6 SHARES	BOSTON, MA	1/17/2018	00830076-03	Registered
1ST TR COMBINED SERIES 568 TX EX MUNICIPAL INCOME TR 10 20 YEAR SERIES 32	WHEATON, IL	1/16/2018	00830376-05	Registered
ADVISORS DISCIPLINED TR 1854 INFLATION SENSITIVE DIVIDEND PORTFOLIO SERIES 2018 1Q HIMCO PORTFOLIO	MONUMENT, CO	1/16/2018	00830395-05	Registered
ADVISORS DISCIPLINED TR 1855 BRIC ADVANTAGE PORTFOLIO SERIES 2018 1	MONUMENT, CO	1/16/2018	00830396-05	Registered
ADVISORS DISCIPLINED TR 1856 60 40 ASSET ALLOCATION PORTFOLIO SERIES 2018 1Q	MONUMENT, CO	1/16/2018	00830392-05	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE NEXTGEN TECHNOLOGY FUND INVESTOR CLASS SHARES	BOSTON, MA	1/16/2018	00830011-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE NEXTGEN TECHNOLOGY FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	1/16/2018	00830009-03	Registered

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ADVISORS INNER CIRCLE FUND ALPHAONE VIMCO SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	1/16/2018	00830008-03	Registered
ADVISORS INNER CIRCLE FUND ALPHAONE VIMCO SMALL CAP VALUE FUND INVESTOR CLASS SHARES	BOSTON, MA	1/16/2018	00830010-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI REAL ESTATE DEBT FUND INSTITUTIONAL CLASS	NEW YORK, NY	1/16/2018	00829940-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI REAL ESTATE DEBT FUND CLASS P	NEW YORK, NY	1/16/2018	00829941-03	Registered
CENTRE FUNDS CENTRE GLOBAL INFRASTRUCTURE FUND INVESTOR CLASS	NEW YORK, NY	1/16/2018	00829939-03	Pending-Cleared
CHARLES SCHWAB FAMILY OF FUNDS SCHWAB US TREASURY MONEY FUND INVESTOR SHARES	SAN FRANCISCO, CA	1/16/2018	00830007-03	Registered
DREYFUS INVESTMENT FUNDS DREYFUS BOSTON CO SMALL MID CAP GROWTH FUND CLASS Z	NEW YORK, NY	1/16/2018	00830006-03	Registered
FT 7107 1ST TR ETF ALLOCATION PORTFOLIO SERIES 23	WHEATON, IL	1/16/2018	00830369-05	Registered
FT 7119 ETF GROWTH & INCOME JAN 18	WHEATON, IL	1/16/2018	00830380-05	Registered
FT 7120 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 14	WHEATON, IL	1/16/2018	00830381-05	Registered
FT 7121 TECHNOLOGY OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	1/16/2018	00830379-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 7122 SABRIENT BAKERS DOZEN PORTFOLIO JANUARY 2018 SERIES	WHEATON, IL	1/16/2018	00830372-05	Registered
FT 7124 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 39	WHEATON, IL	1/16/2018	00830375-05	Registered
FT 7142 UBS FALLEN ANGELS EQUITY ADVISOR GROUP PORTFOLIO	WHEATON, IL	1/16/2018	00830370-05	Registered
FT 7143 INFLATION HEDGE PORTFOLIO SERIES 36	WHEATON, IL	1/16/2018	00830371-05	Registered
FT 7144 BOFA MERRILL LYNCH 11 STOCKS FOR 2018 PORTFOLIO	WHEATON, IL	1/16/2018	00830378-05	Registered
FT 7146 INCOME DIVIDEND EQUITY ALLOCATION IDEA PORTFOLIO SERIES 15	WHEATON, IL	1/16/2018	00830374-05	Registered
FT 7168 DEEP VALUE DIVIDEND OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	1/16/2018	00830377-05	Registered
FT 7195 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 72	WHEATON, IL	1/16/2018	00830373-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1695 BOFA MERRILL LYNCH TAX REFORM BENEFICIARIES PORTFOLIO SERIES 1	LISLE, IL	1/16/2018	00830391-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1710 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 27	LISLE, IL	1/16/2018	00830393-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1710 GUGGENHEIM US SMID HIGH DIVIDEND PORTFOLIO SERIES 27	LISLE, IL	1/16/2018	00830394-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
OPPENHEIMER INTEGRITY FUNDS OPPENHEIMER GLOBAL UNCONSTRAINED BOND FUND CLASS I SHARES	DENVER, CO	1/16/2018	00829891-03	Registered
OPPENHEIMER INTEGRITY FUNDS OPPENHEIMER GLOBAL UNCONSTRAINED BOND FUND CLASS Y SHARES	DENVER, CO	1/16/2018	00829892-03	Registered
OPPENHEIMER INTEGRITY FUNDS OPPENHEIMER GLOBAL UNCONSTRAINED BOND FUND CLASS A SHARES	DENVER, CO	1/16/2018	00829890-03	Registered
SCHRODER SERIES TR SCHRODER CORE BOND FUND R6 SHARES	NEW YORK, NY	1/16/2018	00830012-03	Registered
SMART TR 362 SMART TR DORSEY WRIGHT INTERNATIONAL MOMENTUM TR SERIES 10	PARSIPPANY, NJ	1/16/2018	00830368-05	Registered
SMART TR 362 SMART TR MILLER HOWARD NORTH AMERICAN ENERGY OPPORTUNITIES TR SERIES 9	PARSIPPANY, NJ	1/16/2018	00830367-05	Registered
STARBOARD INVESTMENT TR ROUMELL OPPORTUNISTIC VALUE FUND INSTITUTIONAL CLASS	ROCKY MOUNT, NC	1/16/2018	00830354-03	Registered
Number of Investment Company Filings: 45				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
3RD ELEMENT INC	GREEN BAY, WI	1/19/2018	00830087-07	Filed
ALLIANCEBERNSTEIN DE BUSINESS TR AB GLOBAL RESEARCH INSIGHTS SERIES 2	NEW YORK, NY	1/19/2018	00830081-07	Filed
AMAZING ENERGY OIL & GAS GO	AMARILLO, TX	1/19/2018	00830098-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMC FUND 10 LLC	NORTHRIDGE, CA	1/19/2018	00830078-07	Filed
ANDERSON POINTE INVESTMENTS LLC	ROME, GA	1/19/2018	00830079-07	Filed
BEECKEN PETTY OKEEFE FUND 5 LP	CHICAGO, IL	1/19/2018	00830097-07	Filed
CENTRAL PARK GROUP ALPINVEST CO INVESTMENT FUND 7 LLC	NEW YORK, NY	1/19/2018	00830971-07	Filed
COALG ON BROADWAY LTD LIABILITY CO	NEW YORK, NY	1/19/2018	00830083-07	Filed
CRESTLAWN INVESTORS LLC	ALPHARETTA, GA	1/19/2018	00830093-07	Filed
ENERGY TR PARTNERS 5 LP	DALLAS, TX	1/19/2018	00830100-07	Filed
FOUNTAIN PARC INVESTMENTS LLC	INDIANAPOLIS, IN	1/19/2018	00831025-07	Filed
HARBERT EUROPEAN REAL ESTATE FUND 5 LP	BIRMINGHAM, AL	1/19/2018	00830080-07	Filed
HEDGEPREMIER MILLENNIUM INTERNATIONAL LTD	GRAND CAYMAN, AA	1/19/2018	00830094-07	Filed
HUB JUNCTION EXCHANGE IP LLC	ATLANTA, GA	1/19/2018	00830091-07	Filed
PASSCO GREENWOOD DST	IRVINE, CA	1/19/2018	00830089-07	Filed
PDR INVESTMENTS LLC	BOWLING GREEN, KY	1/19/2018	00830077-07	Filed
REALTYSHARES 347 LLC	LEWES, DE	1/19/2018	00830084-07	Filed
REVOLVERCAP PARTNERS FUND LP	NEW YORK, NY	1/19/2018	00830088-07	Filed
ROYALE ENERGY INC	EL CAJON, CA	1/19/2018	00830086-07	Filed
STATON TECHIYA LLC	DELRAY BEACH, FL	1/19/2018	00830095-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SUNSTONE INCOME APARTMENTS 4 LLC	WESTLAKE VILLAGE, CA	1/19/2018	00830090-07	Filed
THOMA BRAVO DISCOVER FUND 2 LP	CHICAGO, IL	1/19/2018	00830082-07	Filed
EWM ALTERNATIVE INVESTMENTS SPV LLC SERIES 5 FINTECH	APPLETON, WI	1/16/2018	00830363-07	Filed
IPSIDY INC	LONG BEACH, NY	1/16/2018	00830364-07	Filed
PRIMUS HIGH YIELD BOND FUND LP	NEW YORK, NY	1/16/2018	00830366-07	Filed
Number of Regulation D Notice Filings: 25				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ANNEX BRANDS INC / Annex Brands Retail Centers	SAN DIEGO, CA	1/19/2018	618419	Registered
Sharkey's Cuts for Kids International Co., LLC / Sharkey's Cuts for Kids	Westport, CT	1/19/2018	618418	Registered
Dough Nation Headquarters, LLC / Urban Bricks Pizza	San Antonio, TX	1/18/2018	618413	Registered
Alair Enterprises USA Inc. / Alair Homes	Nanaimo, BC	1/17/2018	618412	Registered
Alair Enterprises USA Inc. / Alair Homes	Nanaimo, BC	1/17/2018	618411	Registered
Spring Touch Franchising, LLC / Spring Touch Lawn and Pest Control	St. Peter, MN	1/14/2018	618407	Registered
Number of Franchise Filings: 6				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	