

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 1/7/2018 through 1/13/2018**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACKSTONE GSO FLOATING RATE ENHANCED INCOME FUND INSTITUTIONAL CLASS I	NEW YORK, NY	1/12/2018	00829869-01	Registered
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESCO UNIT TR MUNICIPAL SERIES 1312 INVESTMENT GRADE MUNICIPAL TR 10 20 YEAR SERIES 16	DOWNERS GROVE, IL	1/11/2018	00830066-05	Pending-Cleared
INVESCO UNIT TR SERIES 1842	DOWNERS GROVE, IL	1/11/2018	00830064-05	Pending-Cleared
INVESCO UNIT TR SERIES 1843 BALANCED DIVIDEND SUSTAINABILITY & INCOME PORTFOLIO 2018 1	DOWNERS GROVE, IL	1/11/2018	00830063-05	Pending-Cleared
INVESCO UNIT TR SERIES 1844 CLOSED END STRATEGY DISCOUNT OPPORTUNITY PORTFOLIO 2018 1	DOWNERS GROVE, IL	1/11/2018	00830062-05	Pending-Cleared
INVESCO UNIT TR SERIES 1845 INFLATION HEDGE PORTFOLIO 2018 1	DOWNERS GROVE, IL	1/11/2018	00830061-05	Pending-Cleared
INVESCO UNIT TR SERIES 1847	DOWNERS GROVE, IL	1/11/2018	00830060-05	Pending-Cleared
INVESCO UNIT TR SERIES 1848	DOWNERS GROVE, IL	1/11/2018	00830059-05	Pending-Cleared

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MORGAN STANLEY PORTFOLIOS SERIES 19 NUVEENS BOB DOLL 2018 EQUITY OUTLOOK PORTFOLIO	PURCHASE, NY	1/11/2018	00830065-05	Pending-Cleared
CALVERT RESPONSIBLE INDEX SERIES INC CALVERT US LARGE CAP CORE RESPONSIBLE INDEX FUND CLASS C	WASHINGTON, DC	1/10/2018	00829700-03	Registered
FT 7092 STRUCTURED PORTFOLIO PLAN DEFINED PORTFOLIO JANUARY 2018 SERIES	WHEATON, IL	1/9/2018	00829737-05	Pending-Cleared
FT 7093 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 25	WHEATON, IL	1/9/2018	00829736-05	Pending-Cleared
FT 7105 60 40 STRATEGIC ALLOCATION PORT 1Q 18 TERM 4 16 19	WHEATON, IL	1/9/2018	00829735-05	Pending-Cleared
FT 7105 75 25 STRATEGIC ALLOCATION PORT 1Q 18 TERM 4 16 19	WHEATON, IL	1/9/2018	00829734-05	Pending-Cleared
FT 7106 40 60 STRATEGIC ALLOCATION PORT 1Q 18 TERM 4 17 19	WHEATON, IL	1/9/2018	00829728-05	Pending-Cleared
FT 7108 SELECT DSIP PORTFOLIO 1ST QUARTER 2018 SERIES	WHEATON, IL	1/9/2018	00829729-05	Pending-Cleared
FT 7117 HOMEBUILDERS RECOVERY SELECT PORTFOLIO SERIES 18	WHEATON, IL	1/9/2018	00829731-05	Pending-Cleared
FT 7125 INCOME ALLOCATION CLOSED END 1Q 18	WHEATON, IL	1/9/2018	00829733-05	Pending-Cleared
FT 7128 TARGET DIVSD GLOBAL ALLOCATION 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829727-05	Pending-Cleared
FT 7130 DOW TARGET 5 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829719-05	Pending-Cleared

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FT 7130 DOW TARGET DVD 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829717-05	Pending-Cleared
FT 7130 GLOBAL TARGET 15 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829718-05	Pending-Cleared
FT 7130 S&P DIVIDEND ARISTOCRATS TARGET 25 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829716-05	Pending-Cleared
FT 7130 S&P TARGET 24 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829713-05	Pending-Cleared
FT 7130 S&P TARGET SMID 60 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829714-05	Pending-Cleared
FT 7130 TARGET DBL PLAY 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829711-05	Pending-Cleared
FT 7130 TARGET DIVSD DVD 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829712-05	Pending-Cleared
FT 7130 TARGET FOCUS 4 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829715-05	Pending-Cleared
FT 7130 TARGET GLOBAL DVD LEADERS 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829724-05	Pending-Cleared
FT 7130 TARGET GROWTH 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829723-05	Pending-Cleared
FT 7130 TARGET TRIAD 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829722-05	Pending-Cleared
FT 7130 TARGET VIP 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829721-05	Pending-Cleared
FT 7130 VALUE LINE TARGET 25 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829720-05	Pending-Cleared
FT 7139 VALUE LINE TARGET SAFETY 30 1Q 18 TERM 4 9 19	WHEATON, IL	1/9/2018	00829726-05	Pending-Cleared
FT 7157 US EQUITY CLOSED END PORTFOLIO SERIES 26	WHEATON, IL	1/9/2018	00829725-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 7158 BALANCED INCOME PORTFOLIO SERIES 60	WHEATON, IL	1/9/2018	00829730-05	Pending-Cleared
FT 7194 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 63	WHEATON, IL	1/9/2018	00829732-05	Pending-Cleared
LEGG MASON GLOBAL ASSET MANAGEMENT TR MARTIN CURRIE SMASH SERIES EM FUND	BALTIMORE, MD	1/8/2018	00829543-03	Pending-Cleared
<b>Number of Investment Company Filings: 37</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
APX NEW HARMONY PARTNERS B LP	BUFFALO, NY	1/12/2018	00829797-07	Filed
AUGMATE CORP	NEW YORK, NY	1/12/2018	00829809-07	Filed
AZUL BAY RESORT HOLDINGS LLC	ATLANTA, GA	1/12/2018	00829798-07	Filed
CRP 8 PRIVATE INVESTORS LLC	NEW YORK, NY	1/12/2018	00829812-07	Filed
DUO ON FILLMORE LLC	SCOTTSDALE, AZ	1/12/2018	00829808-07	Filed
HERONBRIDGE VALUE EQUITY FUND	BATH, AA	1/12/2018	00829799-07	Filed
IMBED BIOSCIENCES INC	FITCHBURG, WI	1/12/2018	00829806-07	Filed
LINDEN CAPITAL PARTNERS 4 LP	CHICAGO, IL	1/12/2018	00829810-07	Filed
NEXUS SPECIAL SITUATIONS 2 CAYMAN LP	LOS ANGELES, CA	1/12/2018	00829807-07	Filed
NORMA DEAN 1 INVESTORS LLC	ROME, GA	1/12/2018	00829801-07	Filed
PRECEPTIS MEDICAL INC	PLYMOUTH, MN	1/12/2018	00829796-07	Filed

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PROPERO SENIORS HOUSING EQUITY FUND 3 LLC	COLUMBUS, OH	1/12/2018	00829800-07	Filed
REALTYSHARES 336 LLC	SAN FRANCISCO, CA	1/12/2018	00829794-07	Filed
RENTON WESTGATE 275 LLC	LYNNWOOD, WA	1/12/2018	00829805-07	Filed
SUMMIT PARTNERS CREDIT FUND 3 LP	BOSTON, MA	1/12/2018	00829803-07	Filed
SUMMIT PARTNERS CREDIT OFFSHORE FUND 3 LP	BOSTON, MA	1/12/2018	00829804-07	Filed
TRANSOM CAPITAL FUND 3 LP	LOS ANGELES, CA	1/12/2018	00829811-07	Filed
VESTAR CAPITAL PARTNERS 7 LP	NEW YORK, NY	1/12/2018	00829802-07	Filed
BEP LEGACY 2A LLC	HOUSTON, TX	1/11/2018	00829790-07	Filed
INFRACAPITAL PARTNERS 3 EURO SCSP	LUXEMBOURG, AA	1/10/2018	00829787-07	Filed
SKYSPECS INC	ANN ARBOR, MI	1/10/2018	00829788-07	Filed
1505 LUMBER YARD LLC	MILWAUKEE, WI	1/8/2018	00829710-07	Filed
<b>Number of Regulation D Notice Filings: 22</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Club KO Franchise, LLC / CKO Kickboxing	Hoboken, NJ	1/12/2018	618406	Registered
Stretch Lab Franchise, LLC / Stretch Lab	Costa Mesa, CA	1/11/2018	618405	Registered
Dale Carnegie & Associates, Inc. / Dale Carnegie Training	Hauppauge, NY	1/9/2018	618401	Registered
SZAMM Franchising Corporation / Pizza Planet	Somerset, WI	1/8/2018	618397	Registered
KTU WORLDWIDE, INC. / Kitchen Tune-Up	ABERDEEN, SD	1/8/2018	618386	Registered
Hire Quest, L.L.C. / "Acrux" and "Trojan Labor"	Goose Creek, SC	1/8/2018	618385	Registered

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<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Board and Brush Creative Studio Franchising LLC / Board and Brush Creative Studio	Hartland, WI	1/8/2018	618384	Registered
<b>Number of Franchise Filings: 7</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	