

**WI Securities Law and Franchise Law Filings
for 12/17/2017 through 12/23/2017**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST AMERICAN FUNDS INC GOVERNMENT OBLIGATIONS FUND CLASS P SHARES	MILWAUKEE, WI	12/18/2017	00828380-03	Registered
1ST AMERICAN FUNDS INC TREASURY OBLIGATIONS FUND CLASS P SHARES	MILWAUKEE, WI	12/18/2017	00828381-03	Registered
CUSHING MUTUAL FUNDS TR CUSHING MLP INFRASTRUCTURE FUND CLASS I SHARES	MILWAUKEE, WI	12/18/2017	00828389-03	Registered
CUSHING MUTUAL FUNDS TR CUSHING MLP INFRASTRUCTURE FUND CLASS A SHARES	MILWAUKEE, WI	12/18/2017	00828388-03	Registered
CUSHING MUTUAL FUNDS TR CUSHING MLP INFRASTRUCTURE FUND CLASS C SHARES	MILWAUKEE, WI	12/18/2017	00828387-03	Registered
Number of Investment Company Filings: 5				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

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