

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/10/2017 through 12/16/2017**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Q BIOMED INC	NEW YORK, NY	12/14/2017	00825018-01	Pending
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ARBEITER BREWING CO LLC	MINNEAPOLIS, MN	12/13/2017	00824977-08	Not Disallowed
GRACELIFE CHURCH	COLUMBIA, SC	12/11/2017	00824886-10	Not Disallowed
MACEDONIA MISSIONARY BAPTIST CHURCH MINISTRIES INC	WILMINGTON, NC	12/11/2017	00824887-10	Not Disallowed
<b>Number of Exemption Filings: 3</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1836 BAHL & GAYNOR SMIG PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	12/15/2017	00825083-05	Registered
ADVISORS DISCIPLINED TR 1836 COHEN & STEERS PREFERRED INCOME OPPORTUNITIES PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	12/15/2017	00825081-05	Registered
ADVISORS DISCIPLINED TR 1836 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	12/15/2017	00825078-05	Registered
ADVISORS DISCIPLINED TR 1836 EMERGING MARKETS DIVIDEND PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	12/15/2017	00825077-05	Registered

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ADVISORS DISCIPLINED TR 1836 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	12/15/2017	00825080-05	Registered
ADVISORS DISCIPLINED TR 1836 PERONI GROWTH PORTFOLIO WINTER 2018 SERIES	MONUMENT, CO	12/15/2017	00825076-05	Registered
ADVISORS DISCIPLINED TR 1836 REIT PORTFOLIO SERIES 2017 4Q HIMCO PORTFOLIO	MONUMENT, CO	12/15/2017	00825075-05	Registered
ADVISORS DISCIPLINED TR 1836 UTILITIES PORTFOLIO SERIES 2017 4Q HIMCO PORTFOLIO	MONUMENT, CO	12/15/2017	00825079-05	Registered
ADVISORS DISCIPLINED TR 1837 Q GARP PORTFOLIO SERIES 2017 4Q	MONUMENT, CO	12/15/2017	00825082-05	Registered
FT 7047 ENERGY SELECT PORTFOLIO SERIES 66	WHEATON, IL	12/15/2017	00825067-05	Registered
FT 7055 DIVIDEND STRENGTH OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	12/15/2017	00825068-05	Registered
FT 7056 BIOTECHNOLOGY PORTFOLIO SERIES 39	WHEATON, IL	12/15/2017	00825065-05	Registered
FT 7057 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 29	WHEATON, IL	12/15/2017	00825069-05	Registered
FT 7058 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 31	WHEATON, IL	12/15/2017	00825070-05	Registered
FT 7060 INTERNATIONAL CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	12/15/2017	00825063-05	Registered
FT 7060 SMID CAPITAL STRENGTH OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	12/15/2017	00825061-05	Registered

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FT 7072 STIFEL BEST PICKS DECEMBER 2017	WHEATON, IL	12/15/2017	00825071-05	Registered
FT 7082 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 47	WHEATON, IL	12/15/2017	00825062-05	Registered
FT 7089 INTEREST RATE HEDGE PORTFOLIO SERIES 115	WHEATON, IL	12/15/2017	00825064-05	Registered
FT 7090 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 44	WHEATON, IL	12/15/2017	00825066-05	Registered
FT 7094 EQUITY CLOSED END PORTFOLIO SERIES 47	WHEATON, IL	12/15/2017	00825074-05	Registered
FT 7095 STONEBRIDGE PREFERRED INCOME PORTFOLIO SERIES 16	WHEATON, IL	12/15/2017	00825073-05	Registered
FT 7096 ALTERNATIVE INCOME PORTFOLIO SERIES 26	WHEATON, IL	12/15/2017	00825072-05	Registered
FT 7141 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 71	WHEATON, IL	12/15/2017	00825060-05	Registered
MAINSTAY FUNDS TR MAINSTAY BALANCED FUND CLASS R6	NEW YORK, NY	12/15/2017	00826747-03	Registered
SCHWAB CAPITAL TR SCHWAB US LARGE CAP GROWTH INDEX FUND INVESTOR SHARES	SAN FRANCISCO, CA	12/15/2017	00826744-03	Registered
SCHWAB CAPITAL TR SCHWAB US LARGE CAP VALUE INDEX FUND INVESTOR SHARES	SAN FRANCISCO, CA	12/15/2017	00826745-03	Registered
SCHWAB CAPITAL TR SCHWAB US MID CAP INDEX FUND INVESTOR SHARES	SAN FRANCISCO, CA	12/15/2017	00826746-03	Registered

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AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO US MANAGED VOLATILITY FUND CLASS R6 SHARES	HOUSTON, TX	12/14/2017	00825049-03	Registered
FIDELITY SALEM STREET TR FIDELITY SAI INTERNATIONAL VALUE INDEX FUND FIDELITY SAI INTERNATIONAL VALUE INDEX FUND	BOSTON, MA	12/14/2017	00825051-03	Registered
FIDELITY SALEM STREET TR FIDELITY SAI US VALUE INDEX FUND FIDELITY SAI US VALUE INDEX FUND	BOSTON, MA	12/14/2017	00825050-03	Registered
RBC FUNDS TR RBC IMPACT BOND FUND CLASS I	MINNEAPOLIS, MN	12/14/2017	00825047-03	Registered
RBC FUNDS TR RBC IMPACT BOND FUND CLASS R6	MINNEAPOLIS, MN	12/14/2017	00825048-03	Registered
VOYA EQUITY TR VOYA MULTI MANAGER MID CAP VALUE FUND CLASS I	SCOTTSDALE, AZ	12/14/2017	00825056-03	Registered
VOYA FUNDS TR VOYA HIGH YIELD BOND FUND CLASS R	SCOTTSDALE, AZ	12/14/2017	00825053-03	Registered
VOYA MUTUAL FUNDS VOYA GLOBAL PERSPECTIVES FUND CLASS R	SCOTTSDALE, AZ	12/14/2017	00825054-03	Registered
VOYA MUTUAL FUNDS VOYA MULTI MANAGER INTERNATIONAL EQUITY FUND CLASS I	SCOTTSDALE, AZ	12/14/2017	00825055-03	Registered
VOYA SERIES FUND INC VOYA SMALL CO FUND CLASS R6	SCOTTSDALE, AZ	12/14/2017	00825052-03	Registered
ADVISORS DISCIPLINED TR 1835 BUILDING AMERICA STRATEGY PORTFOLIO SERIES 2017 4	MONUMENT, CO	12/12/2017	00824979-05	Registered

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ADVISORS DISCIPLINED TR 1835 BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES 2017 4	MONUMENT, CO	12/12/2017	00824981-05	Registered
ADVISORS DISCIPLINED TR 1835 COHEN & STEERS MLP MIDSTREAM & ENERGY INCOME CLOSED END PORTFOLIO SERIES 2017 4	MONUMENT, CO	12/12/2017	00824982-05	Registered
ADVISORS DISCIPLINED TR 1835 COHEN & STEERS MUNICIPAL CLOSED END PORTFOLIO SERIES 2017 4	MONUMENT, CO	12/12/2017	00824983-05	Registered
ADVISORS DISCIPLINED TR 1835 COHEN & STEERS SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO SERIES 2017 4	MONUMENT, CO	12/12/2017	00824984-05	Registered
ADVISORS DISCIPLINED TR 1835 HEALTH CARE OPPORTUNITIES OPPORTUNITIES PORTFOLIO SERIES 2017 4	MONUMENT, CO	12/12/2017	00824985-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1700 BDC SCORECARD PORTFOLIO SERIES 10	LISLE, IL	12/12/2017	00824980-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1700 EUROPEAN HIGH DIVIDEND PORTFOLIO SERIES 10	LISLE, IL	12/12/2017	00824988-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1701 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 31	LISLE, IL	12/12/2017	00824986-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1701 GLOBAL 100 DIVIDEND STRATEGY PORTFOLIO SERIES 13	LISLE, IL	12/12/2017	00824987-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1701 GUGGENHEIM DISCOUNT OPPORTUNITY STRATEGY PORTFOLIO OF CEFS SERIES 13	LISLE, IL	12/12/2017	00824989-05	Registered
STEWARD FUNDS INC STEWARD COVERED CALL INCOME FUND INSTITUTIONAL CLASS	HOUSTON, TX	12/12/2017	00824942-03	Registered
STEWARD FUNDS INC STEWARD COVERED CALL INCOME FUND CLASS A	HOUSTON, TX	12/12/2017	00824938-03	Registered
STEWARD FUNDS INC STEWARD COVERED CALL INCOME FUND CLASS C	HOUSTON, TX	12/12/2017	00824940-03	Registered
STEWARD FUNDS INC STEWARD COVERED CALL INCOME FUND CLASS K	HOUSTON, TX	12/12/2017	00824944-03	Registered
STEWARD FUNDS INC STEWARD GLOBAL EQUITY INCOME FUND CLASS C	HOUSTON, TX	12/12/2017	00824933-03	Registered
STEWARD FUNDS INC STEWARD GLOBAL EQUITY INCOME FUND CLASS K	HOUSTON, TX	12/12/2017	00824935-03	Registered
STEWARD FUNDS INC STEWARD INTERNATIONAL ENHANCED INDEX FUND CLASS C	HOUSTON, TX	12/12/2017	00824934-03	Registered
STEWARD FUNDS INC STEWARD INTERNATIONAL ENHANCED INDEX FUND CLASS K	HOUSTON, TX	12/12/2017	00824936-03	Registered
STEWARD FUNDS INC STEWARD LARGE CAP ENHANCED INDEX FUND CLASS K	HOUSTON, TX	12/12/2017	00824932-03	Registered

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STEWARD FUNDS INC STEWARD LARGE CAP ENHANCED INDEX FUND CLASS C	HOUSTON, TX	12/12/2017	00824931-03	Registered
STEWARD FUNDS INC STEWARD SELECT BOND FUND CLASS C	HOUSTON, TX	12/12/2017	00824937-03	Registered
STEWARD FUNDS INC STEWARD SELECT BOND FUND CLASS K	HOUSTON, TX	12/12/2017	00824939-03	Registered
STEWARD FUNDS INC STEWARD SMALL MID CAP ENHANCED INDEX FUND CLASS C	HOUSTON, TX	12/12/2017	00824943-03	Registered
STEWARD FUNDS INC STEWARD SMALL MID CAP ENHANCED INDEX FUND CLASS K	HOUSTON, TX	12/12/2017	00824941-03	Registered
HARVEST VOLATILITY EDGE TR HARVEST EDGE ABSOLUTE FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/11/2017	00824552-03	Registered
HARVEST VOLATILITY EDGE TR HARVEST EDGE ABSOLUTE FUND INVESTOR CLASS	NEW YORK, NY	12/11/2017	00824551-03	Registered
HARVEST VOLATILITY EDGE TR HARVEST EDGE BOND FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/11/2017	00824556-03	Registered
HARVEST VOLATILITY EDGE TR HARVEST EDGE BOND FUND INVESTOR CLASS	NEW YORK, NY	12/11/2017	00824555-03	Registered
HARVEST VOLATILITY EDGE TR HARVEST EDGE EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/11/2017	00824554-03	Registered
HARVEST VOLATILITY EDGE TR HARVEST EDGE EQUITY FUND INVESTOR CLASS	NEW YORK, NY	12/11/2017	00824553-03	Registered

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KINETICS MUTUAL FUNDS INC KINETICS SPIN OFF & CORPORATE RESTRUCTURING FUND NO LOAD CLASS	MILWAUKEE, WI	12/11/2017	00824882-03	Registered
<b>Number of Investment Company Filings: 70</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALLIANCEBERNSTEIN DELEWARE BUSINESS TR AB INTERNATIONAL RESEARCH INSIGHTS SERIES	NEW YORK, NY	12/15/2017	00827899-07	Filed
CMB INFRASTRUCTURE INVESTMENT GROUP 65 LP	ROCK ISLAND, IL	12/15/2017	00827901-07	Filed
COMPOSTNOW INC	RALEIGH, NC	12/15/2017	00827892-07	Filed
CURATE SOLUTIONS INC	MADISON, WI	12/15/2017	00827890-07	Filed
ENCAP FLATROCK MIDSTREAM FUND 4 LP	SAN ANTONIO, TX	12/15/2017	00827900-07	Filed
HASELECT LIFE FUND 2 LLC	LA GRANGE, IL	12/15/2017	00827897-07	Filed
INTELLINETICS INC	COLUMBUS, OH	12/15/2017	00827893-07	Filed
NORTHERN LIGHTS ROYALTIES 3 LP	SOUTHLAKE, TX	12/15/2017	00827894-07	Filed
REALTYSHARES 326 LLC	LEWES, DE	12/15/2017	00827902-07	Filed
TH REAL ESTATE US STRATEGIC INDUSTRIAL FUND 1A LP	NEW YORK, NY	12/15/2017	00827895-07	Filed
TTCP EXECUTIVE FUND TS LLC	EDINA, MN	12/15/2017	00827896-07	Filed
TUCKAWAY COUNTRY CLUB	FRANKLIN, WI	12/15/2017	00827889-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
UBIQUITLINK INC	FALLS CHURCH, VA	12/15/2017	00827891-07	Filed
JUHL CLEAN ENERGY ASSETS INC	CHANHASSEN, MN	12/13/2017	00824976-07	Filed
MAD RIVER INVESTMENTS LLC	INDIANAPOLIS, IN	12/12/2017	00824978-07	Filed
BIG WIND CAPITAL INC	KELOWNA, BC	12/11/2017	00824884-07	Filed
EWM ALTERNATIVE INVESTMENTS SPV LLC SERIES 4 CBD	APPLETON, WI	12/11/2017	00824883-07	Filed
<b>Number of Regulation D Notice Filings: 17</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Legacy Franchise Group, LLC / Country Kitchen Restaurants	Middleton, WI	12/14/2017	618330	Registered
SANDLER SYSTEMS INC / SANDLER TRAINING	Owings Mills, MD	12/14/2017	618329	Registered
The Xercize Studio, LLC / IM=X Pilates & Fitness	Clinton, CT	12/13/2017	618328	Registered
Critter Control, Inc.	Atlanta, GA	12/13/2017	618322	Registered
KALEIDOSCOOPS INC / Kaleidoscoops	Amarillo, TX	12/11/2017	618321	Registered
<b>Number of Franchise Filings: 5</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	